



Circular sets of prime numbers and  
 $p$ -extensions of the rationals

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# Circular sets of prime numbers and $p$ -extensions of the rationals

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*Abstract:* Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . We prove that the group  $G_S(\mathbb{Q})(p)$  has cohomological dimension 2 if the linking diagram attached to  $S$  and  $p$  satisfies a certain technical condition, and we show that  $G_S(\mathbb{Q})(p)$  is a duality group in these cases. Furthermore, we investigate the decomposition behaviour of primes in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$  and we relate the cohomology of  $G_S(\mathbb{Q})(p)$  to the étale cohomology of the scheme  $\text{Spec}(\mathbb{Z}) - S$ . Finally, we calculate the dualizing module.

## 1 Introduction

Let  $k$  be a number field,  $p$  a prime number and  $S$  a finite set of places of  $k$ . The pro- $p$ -group  $G_S(k)(p) = G(k_S(p)/k)$ , i.e. the Galois group of the maximal  $p$ -extension of  $k$  which is unramified outside  $S$ , contains valuable information on the arithmetic of the number field  $k$ . If all places dividing  $p$  are in  $S$ , then we have some structural knowledge on  $G_S(k)(p)$ , in particular, it is of cohomological dimension less or equal to 2 (if  $p = 2$  one has to require that  $S$  contains no real place, [Sc3]), and it is often a so-called duality group, see [NSW], X, §7. Furthermore, the cohomology of  $G_S(k)(p)$  coincides with the étale cohomology of the arithmetic curve  $\text{Spec}(\mathcal{O}_k) - S$  in this case.

In the opposite case, when  $S$  contains no prime dividing  $p$ , only little is known. By a famous theorem of Golod and Šafarevič,  $G_S(k)(p)$  may be infinite. A conjecture due to Fontaine and Mazur [FM] asserts that  $G_S(k)(p)$  has no infinite quotient which is an analytic pro- $p$ -group. So far, nothing was known on the cohomological dimension of  $G_S(k)(p)$  and on the relation between its cohomology and the étale cohomology of the scheme  $\text{Spec}(\mathcal{O}_k) - S$ .

Recently, J. Labute [La] showed that pro- $p$ -groups with a certain kind of relation structure have cohomological dimension 2. By a result of H. Koch [Ko],  $G_S(\mathbb{Q})(p)$  has such a relation structure if the set of prime numbers  $S$  satisfies a certain technical condition. In this way, Labute obtained first examples of pairs  $(p, S)$  with  $p \notin S$  and  $cd G_S(\mathbb{Q})(p) = 2$ , e.g.  $p = 3$ ,  $S = \{7, 19, 61, 163\}$ .

The objective of this paper is to use arithmetic methods in order to extend Labute's result. First of all, we weaken the condition on  $S$  which implies cohomological dimension 2 (and strict cohomological dimension 3!) and we show that  $G_S(\mathbb{Q})(p)$  is a duality group in these cases. Furthermore, we investigate the decomposition behaviour of primes in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$  and we relate the cohomology of  $G_S(\mathbb{Q})(p)$  to the étale cohomology of the scheme  $\text{Spec}(\mathbb{Z}) - S$ . Finally, we calculate the dualizing module.

## 2 Statement of results

Let  $p$  be an odd prime number,  $S$  a finite set of prime numbers not containing  $p$  and  $G_S(p) = G_S(\mathbb{Q})(p)$  the Galois group of the maximal  $p$ -extension  $\mathbb{Q}_S(p)$  of  $\mathbb{Q}$  which is unramified outside  $S$ . Besides  $p$ , only prime numbers congruent to 1 modulo  $p$  can ramify in a  $p$ -extension of  $\mathbb{Q}$ , and we assume that all primes in  $S$  have this property. Then  $G_S(p)$  is a pro- $p$ -group with  $n$  generators and  $n$  relations, where  $n = \#S$  (see lemma 3.1).

Inspired by some analogies between knots and prime numbers (cf. [Mo]), J. Labute [La] introduced the notion of the linking diagram  $\Gamma(S)(p)$  attached to  $p$  and  $S$  and showed that  $cd G_S(p) = 2$  if  $\Gamma(S)(p)$  is a ‘non-singular circuit’. Roughly speaking, this means that there is an ordering  $S = \{q_1, q_2, \dots, q_n\}$  such that  $q_1 q_2 \cdots q_n q_1$  is a circuit in  $\Gamma(S)(p)$  (plus two technical conditions, see section 7 for the definition).

We generalize Labute’s result by showing

**Theorem 2.1.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Assume there exists a subset  $T \subset S$  such that the following conditions are satisfied.*

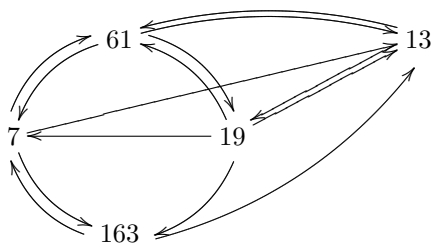
- (i)  $\Gamma(T)(p)$  is a non-singular circuit.
- (ii) For each  $q \in S \setminus T$  there exists a directed path in  $\Gamma(S)(p)$  starting in  $q$  and ending with a prime in  $T$ .

Then  $cd G_S(p) = 2$ .

*Remarks.* 1. Condition (ii) of Theorem 2.1 can be weakened, see section 7.

2. Given  $p$ , one can construct examples of sets  $S$  of arbitrary cardinality  $\#S \geq 4$  with  $cd G_S(p) = 2$ .

*Example.* For  $p = 3$  and  $S = \{7, 13, 19, 61, 163\}$ , the linking diagram has the following shape



The linking diagram associated to the subset  $T = \{7, 19, 61, 163\}$  is a non-singular circuit, and we obtain  $cd G_S(3) = 2$  in this case.

The proof of Theorem 2.1 uses arithmetic properties of  $G_S(p)$  in order to enlarge the set of prime numbers  $S$  without changing the cohomological dimension of  $G_S(p)$ . In particular, we show

**Theorem 2.2.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Assume that  $G_S(p) \neq 1$  and  $cd G_S(p) \leq 2$ . Then the following holds.*

- (i)  $cd G_S(p) = 2$  and  $scd G_S(p) = 3$ .
- (ii)  $G_S(p)$  is a pro- $p$  duality group (of dimension 2).
- (iii) For all  $\ell \in S$ ,  $\mathbb{Q}_S(p)$  realizes the maximal  $p$ -extension of  $\mathbb{Q}_\ell$ , i.e. (after choosing a prime above  $\ell$  in  $\bar{\mathbb{Q}}$ ), the image of the natural inclusion  $\mathbb{Q}_S(p) \hookrightarrow \mathbb{Q}_\ell(p)$  is dense.
- (iv) The scheme  $X = \text{Spec}(\mathbb{Z}) - S$  is a  $K(\pi, 1)$  for  $p$  and the étale topology, i.e. for any  $p$ -primary  $G_S(p)$ -module  $M$ , considered as a locally constant étale sheaf on  $X$ , the natural homomorphism

$$H^i(G_S(p), M) \rightarrow H_{\text{ét}}^i(X, M)$$

is an isomorphism for all  $i$ .

*Remarks.* 1. If  $S$  consists of a single prime number, then  $G_S(p)$  is finite, hence  $\#S \geq 2$  is necessary for the theorem. At the moment, we do not know examples of cardinality 2 or 3.

2. The property asserted in Theorem 2.2 (iv) implies that the natural morphism of pro-spaces

$$X_{\text{ét}}(p) \longrightarrow K(G_S(p), 1)$$

from the pro- $p$ -completion of the étale homotopy type  $X_{\text{ét}}$  of  $X$  (see [AM]) to the  $K(\pi, 1)$ -pro-space attached to the pro- $p$ -group  $G_S(p)$  is a weak equivalence. Since  $G_S(p)$  is the fundamental group of  $X_{\text{ét}}(p)$ , this justifies the notion ‘ $K(\pi, 1)$  for  $p$  and the étale topology’. If  $S$  contains the prime number  $p$ , this property always holds (cf. [Sc2]).

We can enlarge the set of prime numbers  $S$  by the following

**Theorem 2.3.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Assume that  $cd G_S(p) = 2$ . Let  $\ell \notin S$  be another prime number congruent to 1 modulo  $p$  which does not split completely in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$ . Then  $cd G_{S \cup \{\ell\}}(p) = 2$ .*

### 3 Comparison with étale cohomology

In this section we show that cohomological dimension 2 implies the  $K(\pi, 1)$ -property.

**Lemma 3.1.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Then*

$$\dim_{\mathbb{F}_p} H^i(G_S(p), \mathbb{Z}/p\mathbb{Z}) = \begin{cases} 1 & \text{if } i = 0 \\ \#S & \text{if } i = 1 \\ \#S & \text{if } i = 2. \end{cases}$$

*Proof.* The statement for  $H^0$  is obvious. [NSW], Theorem 8.7.11 implies the statement on  $H^1$  and yields the inequality

$$\dim_{\mathbb{F}_p} H^2(G_S(p), \mathbb{Z}/p\mathbb{Z}) \leq \#S.$$

The abelian pro- $p$ -group  $G_S(p)^{ab}$  has  $\#S$  generators. There is only one  $\mathbb{Z}_p$ -extension of  $\mathbb{Q}$ , namely the cyclotomic  $\mathbb{Z}_p$ -extension, which is ramified at  $p$ . Since  $p$  is not in  $S$ ,  $G_S(p)^{ab}$  is finite, which implies that  $G_S(p)$  must have at least as many relations as generators. By [NSW], Corollary 3.9.5, the relation rank of  $G_S(p)$  is  $\dim_{\mathbb{F}_p} H^2(G_S(p), \mathbb{Z}/p\mathbb{Z})$ , which yields the remaining inequality for  $H^2$ .  $\square$

**Proposition 3.2.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . If  $cd G_S(p) \leq 2$ , then the scheme  $X = \text{Spec}(\mathbb{Z}) - S$  is a  $K(\pi, 1)$  for  $p$  and the étale topology, i.e. for any discrete  $p$ -primary  $G_S(p)$ -module  $M$ , considered as locally constant étale sheaf on  $X$ , the natural homomorphism*

$$H^i(G_S(p), M) \rightarrow H_{\text{ét}}^i(X, M)$$

*is an isomorphism for all  $i$ .*

*Proof.* Let  $L/k$  be a finite subextension of  $k$  in  $k_S(p)$ . We denote the normalization of  $X$  in  $L$  by  $X_L$ . Then  $H_{\text{ét}}^i(X_L, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i > 3$  ([Ma], §3, Proposition C). Since flat and étale cohomology coincide for finite étale group schemes ([Mi1], III, Theorem 3.9), the flat duality theorem of Artin-Mazur ([Mi2], III Theorem 3.1) implies

$$H_{\text{ét}}^3(X_L, \mathbb{Z}/p\mathbb{Z}) = H_{\text{ét}}^3(X_L, \mathbb{Z}/p\mathbb{Z}) \cong H_{\text{ét},c}^0(X_L, \mu_p)^\vee = 0,$$

since a  $p$ -extension of  $\mathbb{Q}$  cannot contain a primitive  $p$ -th root of unity. Let  $\tilde{X}$  be the universal (pro-) $p$ -covering of  $X$ . We consider the Hochschild-Serre spectral sequence

$$E_2^{p,q} = H^p(G_S(p), H_{\text{ét}}^q(\tilde{X}, \mathbb{Z}/p\mathbb{Z})) \Rightarrow H_{\text{ét}}^{p+q}(X, \mathbb{Z}/p\mathbb{Z}).$$

Étale cohomology commutes with inverse limits of schemes if the transition maps are affine (see [AGV], VII, 5.8). Therefore we have  $H_{\text{ét}}^i(\tilde{X}, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i \geq 3$ , and for  $i = 1$  by definition. Hence  $E_2^{i,j} = 0$  unless  $i = 0, 2$ . Using the assumption  $cd G_S(p) \leq 2$ , the spectral sequence implies isomorphisms  $H^i(G_S(p), \mathbb{Z}/p\mathbb{Z}) \xrightarrow{\sim} H_{\text{ét}}^i(X, \mathbb{Z}/p\mathbb{Z})$  for  $i = 0, 1$  and a short exact sequence

$$0 \rightarrow H^2(G_S(p), \mathbb{Z}/p\mathbb{Z}) \xrightarrow{\phi} H_{\text{ét}}^2(X, \mathbb{Z}/p\mathbb{Z}) \rightarrow H_{\text{ét}}^2(\tilde{X}, \mathbb{Z}/p\mathbb{Z})^{G_S(p)} \rightarrow 0.$$

Let  $\bar{X} = \text{Spec}(\mathbb{Z})$ . By the flat duality theorem of Artin-Mazur, we have an isomorphism  $H_{\text{ét}}^2(\bar{X}, \mathbb{Z}/p\mathbb{Z}) \cong H_{\text{ét}}^1(\bar{X}, \mu_p)^\vee$ . The flat Kummer sequence  $0 \rightarrow \mu_p \rightarrow \mathbb{G}_m \rightarrow \mathbb{G}_m \rightarrow 0$ , together with  $H_{\text{ét}}^0(\bar{X}, \mathbb{G}_m)/p = 0 = {}_p H_{\text{ét}}^1(\bar{X}, \mathbb{G}_m)$  implies  $H_{\text{ét}}^2(\bar{X}, \mathbb{Z}/p\mathbb{Z}) = 0$ . Furthermore,  $H_{\text{ét}}^3(\bar{X}, \mathbb{Z}/p\mathbb{Z}) \cong H_{\text{ét}}^0(\bar{X}, \mu_p)^\vee = 0$ . Considering the étale excision sequence for the pair  $(\bar{X}, X)$ , we obtain an isomorphism

$$H_{\text{ét}}^2(X, \mathbb{Z}/p\mathbb{Z}) \xrightarrow{\sim} \bigoplus_{\ell \in S} H_{\text{ét}}^3(\text{Spec}(\mathbb{Z}_\ell), \mathbb{Z}/p\mathbb{Z}).$$

The local duality theorem ([Mi2], II, Theorem 1.8) implies

$$H_\ell^3(\mathrm{Spec}(\mathbb{Z}_\ell), \mathbb{Z}/p\mathbb{Z}) \cong \mathrm{Hom}_{\mathrm{Spec}(\mathbb{Z}_\ell)}(\mathbb{Z}/p\mathbb{Z}, \mathbb{G}_m)^\vee.$$

All primes  $\ell \in S$  are congruent to 1 modulo  $p$  by assumption, hence  $\mathbb{Z}_\ell$  contains a primitive  $p$ -th root of unity for  $\ell \in S$ , and we obtain  $\dim_{\mathbb{F}_p} H_{\mathrm{et}}^2(X, \mathbb{Z}/p\mathbb{Z}) = \#S$ . Now Lemma 3.1 implies that  $\phi$  is an isomorphism. We therefore obtain

$$H_{\mathrm{et}}^2(\tilde{X}, \mathbb{Z}/p\mathbb{Z})^{G_S(p)} = 0.$$

Since  $G_S(p)$  is a pro- $p$ -group, this implies ([NSW], Corollary 1.7.4) that

$$H_{\mathrm{et}}^2(\tilde{X}, \mathbb{Z}/p\mathbb{Z}) = 0.$$

We conclude that the Hochschild-Serre spectral sequence degenerates to a series of isomorphisms

$$H^i(G_S(p), \mathbb{Z}/p\mathbb{Z}) \xrightarrow{\sim} H_{\mathrm{et}}^i(X, \mathbb{Z}/p\mathbb{Z}), \quad i \geq 0.$$

If  $M$  is a finite  $p$ -primary  $G_S(p)$ -module, it has a composition series with graded pieces isomorphic to  $\mathbb{Z}/p\mathbb{Z}$  with trivial  $G_S(p)$ -action ([NSW], Corollary 1.7.4), and the statement of the proposition for  $M$  follows from that for  $\mathbb{Z}/p\mathbb{Z}$  and from the five-lemma. An arbitrary discrete  $p$ -primary  $G_S(p)$ -module is the filtered inductive limit of finite  $p$ -primary  $G_S(p)$ -modules, and the statement of the proposition follows since group cohomology ([NSW], Proposition 1.5.1) and étale cohomology ([AGV], VII, 3.3) commute with filtered inductive limits.  $\square$

## 4 Proof of Theorem 2.2

In this section we prove Theorem 2.2. Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Assume that  $G_S(p) \neq 1$  and  $cd G_S(p) \leq 2$ .

Let  $U \subset G_S(p)$  be an open subgroup. The abelianization  $U^{ab}$  of  $U$  is a finitely generated abelian pro- $p$ -group. If  $U^{ab}$  were infinite, it would have a quotient isomorphic to  $\mathbb{Z}_p$ , which corresponds to a  $\mathbb{Z}_p$ -extension  $K_\infty$  of the number field  $K = \mathbb{Q}_S(p)^U$  inside  $\mathbb{Q}_S(p)$ . By [NSW], Theorem 10.3.20 (ii), a  $\mathbb{Z}_p$ -extension of a number field is ramified at at least one prime dividing  $p$ . This contradicts  $K_\infty \subset \mathbb{Q}_S(p)$  and we conclude that  $U^{ab}$  is finite.

In particular,  $G_S(p)^{ab}$  is finite. Hence  $G_S(p)$  is not free, and we obtain  $cd G_S(p) = 2$ . This shows the first part of assertion (i) of Theorem 2.2 and assertion (iv) follows from Proposition 3.2.

By Lemma 3.1, we know that for each prime number  $\ell \in S$ , the group  $G_{S \setminus \{\ell\}}(p)$  is a proper quotient of  $G_S(p)$ , hence each  $\ell \in S$  is ramified in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$ . Let  $G_\ell(\mathbb{Q}_S(p)/\mathbb{Q})$  denote the decomposition group of  $\ell$  in  $G_S(p)$  with respect to some prolongation of  $\ell$  to  $\mathbb{Q}_S(p)$ . As a subgroup of  $G_S(p)$ ,  $G_\ell(\mathbb{Q}_S(p)/\mathbb{Q})$  has cohomological dimension less or equal to 2. We have a natural surjection  $G(\mathbb{Q}_\ell(p)/\mathbb{Q}_\ell) \twoheadrightarrow G_\ell(\mathbb{Q}_S(p)/\mathbb{Q})$ . By [NSW], Theorem 7.5.2,  $G(\mathbb{Q}_\ell(p)/\mathbb{Q}_\ell)$  is the pro- $p$ -group on two generators  $\sigma, \tau$  subject to the relation  $\sigma\tau\sigma^{-1} = \tau^\ell$ .  $\tau$  is a generator of the inertia group and  $\sigma$  is a Frobenius lift.

Therefore,  $G(\mathbb{Q}_\ell(p)/\mathbb{Q}_\ell)$  has only three quotients of cohomological dimension less or equal to 2: itself, the trivial group and the Galois group of the maximal unramified  $p$ -extension of  $\mathbb{Q}_\ell$ . Since  $\ell$  is ramified in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$ , the map  $G(\mathbb{Q}_\ell(p)/\mathbb{Q}_\ell) \rightarrow G_\ell(\mathbb{Q}_S(p)/\mathbb{Q})$  is an isomorphism, and hence  $\mathbb{Q}_S(p)$  realizes the maximal  $p$ -extension of  $\mathbb{Q}_\ell$ . This shows statement (iii) of Theorem 2.2.

Next we show the second part of statement (i). By [NSW], Proposition 3.3.3, we have  $\text{scd } G_S(p) \in \{2, 3\}$ . Assume that  $\text{scd } G = 2$ . We consider the  $G_S(p)$ -module

$$D_2(\mathbb{Z}) = \varinjlim_U U^{ab},$$

where the limit runs over all open normal subgroups  $U \triangleleft G_S(p)$  and for  $V \subset U$  the transition map is the transfer  $\text{Ver}: U^{ab} \rightarrow V^{ab}$ , i.e. the dual of the corestriction map  $\text{cor}: H^2(V, \mathbb{Z}) \rightarrow H^2(U, \mathbb{Z})$  (see [NSW], I, §5). By [NSW], Theorem 3.6.4 (iv), we obtain  $G_S(p)^{ab} = D_2(\mathbb{Z})^{G_S(p)}$ . On the other hand,  $U^{ab}$  is finite for all  $U$  and the group theoretical version of the Principal Ideal Theorem (see [Ne], VI, Theorem 7.6) implies  $D_2(\mathbb{Z}) = 0$ . Hence  $G_S(p)^{ab} = 0$  which implies  $G_S(p) = 1$  producing a contradiction. Hence  $\text{scd } G_S(p) = 3$  showing the remaining assertion of Theorem 2.2, (i).

It remains to show that  $G_S(p)$  is a duality group. By [NSW], Theorem 3.4.6, it suffices to show that the terms

$$D_i(G_S(p), \mathbb{Z}/p\mathbb{Z}) = \varinjlim_U H^i(U, \mathbb{Z}/p\mathbb{Z})^\vee$$

are trivial for  $i = 0, 1$ . Here  $U$  runs through the open subgroups of  $G_S(p)$ ,  $^\vee$  denotes the Pontryagin dual and the transition maps are the duals of the corestriction maps. For  $i = 0$ , and  $V \subsetneq U$ , the transition map

$$\text{cor}^\vee: \mathbb{Z}/p\mathbb{Z} = H^0(V, \mathbb{Z}/p\mathbb{Z})^\vee \rightarrow H^0(U, \mathbb{Z}/p\mathbb{Z})^\vee = \mathbb{Z}/p\mathbb{Z}$$

is multiplication by  $(U : V)$ , hence zero. Since  $G_S(p)$  is infinite, we obtain  $D_0(G_S(p), \mathbb{Z}/p\mathbb{Z}) = 0$ . Furthermore,

$$D_1(G_S(p), \mathbb{Z}/p\mathbb{Z}) = \varinjlim_U U^{ab}/p = 0$$

by the Principal Ideal Theorem. This finishes the proof of Theorem 2.2.

## 5 The dualizing module

Having seen that  $G_S(p)$  is a duality group under certain conditions, it is interesting to calculate its dualizing module. The aim of this section is to prove

**Theorem 5.1.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Assume that  $\text{cd } G_S(p) = 2$ . Then we have a natural isomorphism*

$$D \cong \text{tor}_p(C_S(\mathbb{Q}_S(p)))$$

*between the dualizing module  $D$  of  $G_S(p)$  and the  $p$ -torsion submodule of the  $S$ -idèle class group of  $\mathbb{Q}_S(p)$ . There is a natural short exact sequence*

$$0 \rightarrow \bigoplus_{\ell \in S} \text{Ind}_{G_S(p)}^{G_\ell} \mu_{p^\infty}(\mathbb{Q}_\ell(p)) \rightarrow D \rightarrow E_S(\mathbb{Q}_S(p)) \otimes \mathbb{Q}_p/\mathbb{Z}_p \rightarrow 0,$$

in which  $G_\ell$  is the decomposition group of  $\ell$  in  $G_S(p)$  and  $E_S(\mathbb{Q}_S(p))$  is the group of  $S$ -units of the field  $\mathbb{Q}_S(p)$ .

Working in a more general situation, let  $S$  be a non-empty set of primes of a number field  $k$ . We recall some well-known facts from class field theory and we give some modifications for which we do not know a good reference.

By  $k_S$  we denote the maximal extension of  $k$  which is unramified outside  $S$  and we denote  $G(k_S/k)$  by  $G_S(k)$ . For an intermediate field  $k \subset K \subset k_S$ , let  $C_S(K)$  denote the  $S$ -idèle class group of  $K$ . If  $S$  contains the set  $S_\infty$  of archimedean primes of  $k$ , then the pair  $(G_S(k), C_S(k_S))$  is a class formation, see [NSW], Proposition 8.3.8. This remains true for arbitrary non-empty  $S$ , as can be seen as follows: We have the class formation

$$(G_S(k), C_{S \cup S_\infty}(k_S)).$$

Since  $k_S$  is closed under unramified extensions, the Principal Ideal Theorem implies  $Cl_S(k_S) = 0$ . Therefore we obtain the exact sequence

$$0 \rightarrow \bigoplus_{v \in S_\infty \setminus S(k)} \text{Ind}_{G_S(k)} k_v^\times \rightarrow C_{S \cup S_\infty}(k_S) \rightarrow C_S(k_S) \rightarrow 0.$$

Since the left term is a cohomologically trivial  $G_S(k)$ -module, we obtain that  $(G_S(k), C_S(k_S))$  is a class formation. Finally, if  $p$  is a prime number, then also  $(G_S(k)(p), C_S(k_S(p)))$  is a class formation.

*Remark:* An advantage of considering the class formation  $(G_S(k)(p), C_S(k_S(p)))$  for sets  $S$  of primes which do not contain  $S_\infty$  is that we get rid of ‘redundancy at infinity’. A technical disadvantage is the absence of a reasonable Hausdorff topology on the groups  $C_S(K)$  for finite subextensions  $K$  of  $k$  in  $k_S(p)$ .

Next we calculate the module

$$D_2(\mathbb{Z}_p) = \varinjlim_{U, n} H^2(U, \mathbb{Z}/p^n\mathbb{Z})^\vee,$$

where  $n$  runs through all natural numbers,  $U$  runs through all open subgroups of  $G_S(k)(p)$  and  $^\vee$  is the Pontryagin dual. If  $cd G_S(p) = 2$ , then  $D_2(\mathbb{Z}_p)$  is the dualizing module  $D$  of  $G_S(k)(p)$ .

**Theorem 5.2.** *Let  $k$  be a number field,  $p$  an odd prime number and  $S$  a finite non-empty set of non-archimedean primes of  $k$  such that the norm  $N(\mathfrak{p})$  of  $\mathfrak{p}$  is congruent to 1 modulo  $p$  for all  $\mathfrak{p} \in S$ . Assume that the scheme  $X = \text{Spec}(\mathcal{O}_k) - S$  is a  $K(\pi, 1)$  for  $p$  and the étale topology and that  $k_S(p)$  realizes the maximal  $p$ -extension  $k_{\mathfrak{p}}(p)$  of  $k_{\mathfrak{p}}$  for all  $\mathfrak{p} \in S$ . Then  $G_S(p)$  is a pro- $p$ -duality group of dimension 2 with dualizing module*

$$D \cong \text{tor}_p(C_S(k_S(p))).$$

*Remarks.* 1. In view of Theorem 2.2, Theorem 5.2 shows Theorem 5.1.  
2. In the case when  $S$  contains all primes dividing  $p$ , a similar result has been proven in [NSW], X, §5.



*Proof of Theorem 5.2.* We consider the schemes  $\bar{X} = \text{Spec}(\mathcal{O}_k)$  and  $X = \bar{X} - S$  and we denote the natural embedding by  $j : X \rightarrow \bar{X}$ . As in the proof of Proposition 3.2, the flat duality theorem of Artin-Mazur implies

$$H_{et}^3(X, \mathbb{Z}/p\mathbb{Z}) \cong H_{\text{fl},c}^0(X, \mu_p)^\vee,$$

and the group on the right vanishes since  $k_{\mathfrak{p}}$  contains a primitive  $p$ -th root of unity for all  $\mathfrak{p} \in S$ . The  $K(\pi, 1)$ -property yields  $cd G_S(k)(p) \leq 2$ . Since  $k_S(p)$  realizes the maximal  $p$ -extension  $k_{\mathfrak{p}}(p)$  of  $k_{\mathfrak{p}}$  for all  $\mathfrak{p} \in S$ , the inertia groups of these primes are of cohomological dimension 2 and we obtain  $cd G_S(p) = 2$ .

Next we consider, for some  $n \in \mathbb{N}$ , the constant sheaf  $\mathbb{Z}/p^n\mathbb{Z}$  on  $X$ . The duality theorem of Artin-Verdier shows an isomorphism

$$H_{et}^i(\bar{X}, j_!(\mathbb{Z}/p^n\mathbb{Z})) = H_c^i(X, \mathbb{Z}/p^n\mathbb{Z}) \cong \text{Ext}_X^{3-i}(\mathbb{Z}/p^n\mathbb{Z}, \mathbb{G}_m)^\vee.$$

For  $\mathfrak{p} \in S$ , a standard calculation (see, e.g., [Mi2], II, Proposition 1.1) shows

$$H_{\mathfrak{p}}^i(\bar{X}, j_!(\mathbb{Z}/p^n\mathbb{Z})) \cong H^{i-1}(k_{\mathfrak{p}}, \mathbb{Z}/p^n\mathbb{Z}),$$

where  $k_{\mathfrak{p}}$  is (depending on the readers preference) the henselization or the completion of  $k$  at  $\mathfrak{p}$ . The excision sequence for the pair  $(\bar{X}, X)$  and the sheaf  $j_!(\mathbb{Z}/p^n\mathbb{Z})$  therefore implies a long exact sequence

$$(*) \quad \cdots \rightarrow H_{et}^i(X, \mathbb{Z}/p^n\mathbb{Z}) \rightarrow \bigoplus_{\mathfrak{p} \in S} H^i(k_{\mathfrak{p}}, \mathbb{Z}/p^n\mathbb{Z}) \rightarrow \text{Ext}_X^{2-i}(\mathbb{Z}/p^n\mathbb{Z}, \mathbb{G}_m)^\vee \rightarrow \cdots$$

The local duality theorem ([NSW], Theorem 7.2.6) yields isomorphisms

$$H^i(k_{\mathfrak{p}}, \mathbb{Z}/p^n\mathbb{Z})^\vee \cong H^{2-i}(k_{\mathfrak{p}}, \mu_{p^n})$$

for all  $i \in \mathbb{Z}$ . Furthermore,

$$\text{Ext}_X^0(\mathbb{Z}/p^n\mathbb{Z}, \mathbb{G}_m) = H^0(k, \mu_{p^n}).$$

We denote by  $E_S(k)$  and  $Cl_S(k)$  the group of  $S$ -units and the  $S$ -ideal class group of  $k$ , respectively. By  $Br(X)$ , we denote the Brauer group of  $X$ . The short exact sequence  $0 \rightarrow \mathbb{Z} \rightarrow \mathbb{Z} \rightarrow \mathbb{Z}/p^n\mathbb{Z} \rightarrow 0$  together with

$$\text{Ext}_X^i(\mathbb{Z}, \mathbb{G}_m) = H_{et}^i(X, \mathbb{G}_m) = \begin{cases} E_S(k) & \text{for } i = 0 \\ Cl_S(k) & \text{for } i = 1 \\ Br(X) & \text{for } i = 2 \end{cases}$$

and the Hasse principle for the Brauer group implies exact sequences

$$0 \rightarrow E_S(k)/p^n \rightarrow \text{Ext}_X^1(\mathbb{Z}/p^n\mathbb{Z}, \mathbb{G}_m) \rightarrow {}_{p^n}Cl_S(k) \rightarrow 0$$

and

$$0 \rightarrow Cl_S(k)/p^n \rightarrow \text{Ext}_X^2(\mathbb{Z}/p^n\mathbb{Z}, \mathbb{G}_m) \rightarrow \bigoplus_{\mathfrak{p} \in S} {}_{p^n}Br(k_{\mathfrak{p}}).$$

The same holds, if we replace  $X$  by its normalization  $X_K$  in a finite extension  $K$  of  $k$  in  $k_S(p)$ . Now we go to the limit over all such  $K$ . Since  $k_S(p)$  realizes the maximal  $p$ -extension of  $k_{\mathfrak{p}}$  for all  $\mathfrak{p} \in S$ , we have

$$\varinjlim_K \bigoplus_{\mathfrak{p} \in S(K)} H^i(K_{\mathfrak{p}}, \mathbb{Z}/p^n\mathbb{Z})^\vee = \varinjlim_K \bigoplus_{\mathfrak{p} \in S(K)} H^i(K_{\mathfrak{p}}, \mu_{p^n}) = 0$$

for  $i \geq 1$  and

$$\varinjlim_K \bigoplus_{\mathfrak{p} \in S(K)} p^n \text{Br}(K_{\mathfrak{p}}) = 0.$$

The Principal Ideal Theorem implies  $Cl_S(k_S(p))/p = 0$  and since this group is a torsion group, its  $p$ -torsion part is trivial. Going to the limit over the exact sequences (\*) for all  $X_K$ , we obtain  $D_i(\mathbb{Z}/p\mathbb{Z}) = 0$  for  $i = 0, 1$ , hence  $G_S(k)(p)$  is a duality group of dimension 2. Furthermore, we obtain the exact sequence

$$0 \rightarrow \text{tor}_p(E_S(k_S(p))) \rightarrow \bigoplus_{\mathfrak{p} \in S} \text{Ind}_{G_S(k)(p)}^{G_{\mathfrak{p}}} \text{tor}_p(k_{\mathfrak{p}}(p)^{\times}) \rightarrow D \rightarrow E_S(k_S(p)) \otimes \mathbb{Q}_p/\mathbb{Z}_p \rightarrow 0.$$

Let  $U \subset G_S(k)(p)$  be an open subgroup and put  $K = k_S(p)^U$ . The invariant map

$$\text{inv}_K: H^2(U, C_S(k_S(p))) \rightarrow \mathbb{Q}/\mathbb{Z}$$

induces a pairing

$$\text{Hom}_U(\mathbb{Z}/p^n\mathbb{Z}, C_S(k_S(p))) \times H^2(U, \mathbb{Z}/p^n\mathbb{Z}) \xrightarrow{\cup} H^2(U, C_S(K)) \xrightarrow{\text{inv}_K} \mathbb{Q}/\mathbb{Z},$$

and therefore a compatible system of maps

$$p^n C_S(K) \rightarrow H^2(U, \mathbb{Z}/p^n\mathbb{Z})^{\vee}$$

for all  $U$  and  $n$ . In the limit, we obtain a natural map

$$\phi: \text{tor}_p(C_S(k_S(p))) \rightarrow D.$$

By our assumptions, the idèle group  $J_S(k_S(p))$  is  $p$ -divisible. We therefore obtain the exact sequence

$$0 \rightarrow \text{tor}_p(E_S(k_S(p))) \rightarrow \bigoplus_{\mathfrak{p} \in S} \text{Ind}_{G_S(k)(p)}^{G_{\mathfrak{p}}} \text{tor}_p(k_{\mathfrak{p}}(p)^{\times}) \rightarrow \text{tor}_p(C_S(k_S(p))) \rightarrow E_S(k_S(p)) \otimes \mathbb{Q}_p/\mathbb{Z}_p \rightarrow 0$$

which, via the just constructed map  $\phi$ , compares to the similar sequence with  $D$  above. Hence  $\phi$  is an isomorphism by the five lemma.  $\square$

Finally, without any assumptions on  $G_S(k)(p)$ , we calculate the  $G_S(k)(p)$ -module  $D_2(\mathbb{Z}_p)$  as a quotient of  $\text{tor}_p(C_S(k_S(p)))$  by a subgroup of universal norms. We therefore can interpret Theorem 5.2 as a vanishing statement on universal norms.

Let us fix some notation. If  $G$  is a profinite group and if  $M$  is a  $G$ -module, we denote by  ${}_{p^n}M$  the submodule of elements annihilated by  $p^n$ . By  $N_G(M) \subset M^G$  we denote the subgroup of universal norms, i.e.

$$N_G(M) = \bigcap_U N_{G/U}(M^U),$$

where  $U$  runs through the open normal subgroups of  $G$  and  $N_{G/U}(M^U) \subset M^G$  is the image of the norm map

$$N: M^U \rightarrow M^G, m \mapsto \sum_{\sigma \in G/U} \sigma m.$$

**Proposition 5.3.** *Let  $S$  be a non-empty finite set of non-archimedean primes of  $k$  and let  $p$  be an odd prime number such that  $S$  contains no prime dividing  $p$ . Then*

$$D_2(G_S(k)(p), \mathbb{Z}_p) \cong \varinjlim_{K,n} p^n C_S(K) / N_{G(k_S(p)/K)}(p^n C_S(K)),$$

where  $n$  runs through all natural numbers and  $K$  runs through all finite subextension of  $k$  in  $k_S(p)$ .

*Proof.* We want to use Poitou's duality theorem ([Sc2], Theorem 1). But the class module  $C_S(k_S(p))$  is not level-compact and we cannot apply the theorem directly. Instead, we consider the level-compact class formation

$$(G_S(k)(p), C_{S \cup S_\infty}^0(k_S(p))),$$

where  $C_{S \cup S_\infty}^0(k_S(p)) \subset C_{S \cup S_\infty}(k_S(p))$  is the subgroup of idèle classes of norm 1. By [Sc2], Theorem 1, we have for all natural numbers  $n$  and all finite subextensions  $K$  of  $k$  in  $k_S(p)$  a natural isomorphism

$$H^2(G_S(K)(p), \mathbb{Z}/p^n \mathbb{Z})^\vee \cong \hat{H}^0(G_S(K)(p), p^n C_{S \cup S_\infty}^0(k_S(p))),$$

where  $\hat{H}^0$  is Tate-cohomology in dimension 0 (cf. [Sc2]). The exact sequence

$$0 \rightarrow \bigoplus_{v \in S_\infty(K)} K_v^\times \rightarrow C_{S \cup S_\infty}(K) \rightarrow C_S(K) \rightarrow 0$$

and the fact that  $K_v^\times$  is  $p$ -divisible for archimedean  $v$ , implies for all  $n$  and all finite subextensions  $K$  of  $k$  in  $k_S(p)$  an exact sequence of finite abelian groups

$$0 \rightarrow \bigoplus_{v \in S_\infty(K)} \mu_{p^n}(K_v) \rightarrow p^n C_{S \cup S_\infty}(K) \rightarrow p^n C_S(K) \rightarrow 0.$$

[Sc2], Proposition 7 therefore implies isomorphisms

$$\hat{H}^0(G_S(K)(p), p^n C_{S \cup S_\infty}(k_S(p))) \cong \hat{H}^0(G_S(K)(p), p^n C_S(k_S(p)))$$

for all  $n$  and  $K$ . Furthermore, the exact sequence

$$0 \rightarrow C_{S \cup S_\infty}^0(K) \rightarrow C_{S \cup S_\infty}(K) \xrightarrow{||} \mathbb{R}_+^\times \rightarrow 0$$

shows  $p^n C_{S \cup S_\infty}^0(K) = p^n C_{S \cup S_\infty}(K)$  for all  $n$  and all finite subextensions  $K$  of  $k$  in  $k_S(p)$ . Finally, [Sc2], Lemma 5 yields isomorphisms

$$\hat{H}^0(G_S(K)(p), p^n C_S(k_S(p))) \cong p^n C_S(K) / N_{G(k_S(p)/K)}(p^n C_S(K)).$$

Going to the limit over all  $n$  and  $K$ , we obtain the statement of the Proposition.  $\square$

## 6 Going up

The aim of this section is to prove Theorem 2.3. We start with the following lemma.

**Lemma 6.1.** *Let  $\ell \neq p$  be prime numbers. Let  $\mathbb{Q}_\ell^h$  be the henselization of  $\mathbb{Q}$  at  $\ell$  and let  $K$  be an algebraic extension of  $\mathbb{Q}_\ell^h$  containing the maximal unramified  $p$ -extension  $(\mathbb{Q}_\ell^h)^{nr,p}$  of  $\mathbb{Q}_\ell^h$ . Let  $Y = \text{Spec}(\mathcal{O}_K)$ , and denote the closed point of  $Y$  by  $y$ . Then the local étale cohomology group  $H_y^i(Y, \mathbb{Z}/p\mathbb{Z})$  vanishes for  $i \neq 2$  and we have a natural isomorphism*

$$H_y^2(Y, \mathbb{Z}/p\mathbb{Z}) \cong H^1(G(K(p)/K), \mathbb{Z}/p\mathbb{Z}).$$

*Proof.* Since  $K$  contains  $(\mathbb{Q}_\ell^h)^{nr,p}$ , we have  $H_{\text{ét}}^i(Y, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i > 0$ . The excision sequence shows  $H_y^i(Y, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i = 0, 1$  and  $H_y^i(Y, \mathbb{Z}/p\mathbb{Z}) \cong H^{i-1}(G(\bar{K}/K), \mathbb{Z}/p\mathbb{Z})$  for  $i \geq 2$ . By [NSW], Proposition 7.5.7, we have

$$H^{i-1}(G(\bar{K}/K), \mathbb{Z}/p\mathbb{Z}) = H^{i-1}(G(K(p)/K), \mathbb{Z}/p\mathbb{Z})$$

But  $G(K(p)/K)$  is a free pro- $p$ -group (either trivial or isomorphic to  $\mathbb{Z}_p$ ). This concludes the proof.  $\square$

Let  $k$  be a number field and let  $S$  be finite set of primes of  $k$ . For a (possibly infinite) algebraic extension  $K$  of  $k$  we denote by  $S(K)$  the set of prolongations of primes in  $S$  to  $K$ . Now assume that  $M/K/k$  is a tower of pro- $p$  Galois extensions. We denote the inertia group of a prime  $\mathfrak{p} \in S(K)$  in the extension  $M/K$  by  $T_{\mathfrak{p}}(M/K)$ . For  $i \geq 0$  we write

$$\bigoplus'_{\mathfrak{p} \in S(K)} H^i(T_{\mathfrak{p}}(M/K), \mathbb{Z}/p\mathbb{Z}) \stackrel{\text{df}}{=} \varinjlim_{k' \subset K} \bigoplus_{\mathfrak{p} \in S(k')} H^i(T_{\mathfrak{p}}(M/k'), \mathbb{Z}/p\mathbb{Z}),$$

where the limit on the right hand side runs through all finite subextensions  $k'$  of  $k$  in  $K$ . The  $G(K/k)$ -module  $\bigoplus'_{\mathfrak{p} \in S(K)} H^i(T_{\mathfrak{p}}(M/K), \mathbb{Z}/p\mathbb{Z})$  is the maximal discrete submodule of the product  $\prod_{\mathfrak{p} \in S(K)} H^i(T_{\mathfrak{p}}(M/K), \mathbb{Z}/p\mathbb{Z})$ .

**Proposition 6.2.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$  such that  $cd G_S(p) = 2$ . Let  $\ell \notin S$  be another prime number congruent to 1 modulo  $p$  which does not split completely in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$ . Then, for any prime  $\mathfrak{p}$  dividing  $\ell$  in  $\mathbb{Q}_S(p)$ , the inertia group of  $\mathfrak{p}$  in the extension  $\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)$  is infinite cyclic. Furthermore,*

$$H^i(G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) = 0$$

for  $i \geq 2$ . For  $i = 1$  we have a natural isomorphism

$$H^1(G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) \cong \bigoplus'_{\mathfrak{p} \in S_\ell(\mathbb{Q}_S(p))} H^1(T_{\mathfrak{p}}(\mathbb{Q}_{S \cup \{\ell\}}(p))/\mathbb{Q}_S(p), \mathbb{Z}/p\mathbb{Z}),$$

where  $S_\ell(\mathbb{Q}_S(p))$  denotes the set of primes of  $\mathbb{Q}_S(p)$  dividing  $\ell$ . In particular,  $G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p))$  is a free pro- $p$ -group.

*Proof.* Since  $\ell$  does not split completely in  $\mathbb{Q}_S(p)/\mathbb{Q}$  and since  $cdG_S(p) = 2$ , the decomposition group of  $\ell$  in  $\mathbb{Q}_S(p)/\mathbb{Q}$  is a non-trivial and torsion-free quotient of  $\mathbb{Z}_p \cong G(\mathbb{Q}_\ell^{nr,p}/\mathbb{Q}_\ell)$ . Therefore  $\mathbb{Q}_S(p)$  realizes the maximal unramified  $p$ -extension of  $\mathbb{Q}_\ell$ . We consider the scheme  $X = \text{Spec}(\mathbb{Z}) - S$  and its universal pro- $p$  covering  $\tilde{X}$  whose field of functions is  $\mathbb{Q}_S(p)$ . Let  $Y$  be the subscheme of  $\tilde{X}$  obtained by removing all primes of residue characteristic  $\ell$ . We consider the étale excision sequence for the pair  $(\tilde{X}, Y)$ . By Theorem 3.2, we have  $H_{et}^i(\tilde{X}, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i > 0$ , which implies isomorphisms

$$H_{et}^i(Y, \mathbb{Z}/p\mathbb{Z}) \xrightarrow{\sim} \bigoplus_{\mathfrak{p}|\ell}' H_{\mathfrak{p}}^{i+1}(Y_{\mathfrak{p}}^h, \mathbb{Z}/p\mathbb{Z})$$

for  $i \geq 1$ . By Lemma 6.1, we obtain  $H_{et}^i(Y, \mathbb{Z}/p\mathbb{Z}) = 0$  for  $i \geq 2$ . The universal  $p$ -covering  $\tilde{Y}$  of  $Y$  has  $\mathbb{Q}_{S \cup \{\ell\}}(p)$  as its function field, and the Hochschild-Serre spectral sequence for  $\tilde{Y}/Y$  yields an inclusion

$$H^2(G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) \hookrightarrow H_{et}^2(Y, \mathbb{Z}/p\mathbb{Z}) = 0.$$

Hence  $G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p))$  is a free pro- $p$ -group and for  $H^1$  we obtain

$$\begin{aligned} H^1(G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) &\xrightarrow{\sim} H_{et}^1(Y, \mathbb{Z}/p\mathbb{Z}) \\ &\cong \bigoplus_{\mathfrak{p} \in S_\ell(\mathbb{Q}_S(p))}' H^1(G(\mathbb{Q}_S(p)_{\mathfrak{p}}(p)/\mathbb{Q}_S(p)_{\mathfrak{p}}), \mathbb{Z}/p\mathbb{Z}). \end{aligned}$$

This shows that each  $\mathfrak{p} \mid \ell$  ramifies in  $\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)$ , and since the Galois group is free,  $\mathbb{Q}_{S \cup \{\ell\}}(p)$  realizes the maximal  $p$ -extension of  $\mathbb{Q}_S(p)_{\mathfrak{p}}$ . In particular,

$$H^1(G(\mathbb{Q}_S(p)_{\mathfrak{p}}(p)/\mathbb{Q}_S(p)_{\mathfrak{p}}), \mathbb{Z}/p\mathbb{Z}) \cong H^1(T_{\mathfrak{p}}(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z})$$

for all  $\mathfrak{p} \mid \ell$ , which finishes the proof.  $\square$

Let us mention in passing that the above calculations imply the validity of the following arithmetic form of Riemann's existence theorem.

**Theorem 6.3.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$  such that  $cdG_S(p) = 2$ . Let  $T \supset S$  be another set of prime numbers congruent to 1 modulo  $p$ . Assume that all  $\ell \in T \setminus S$  do not split completely in the extension  $\mathbb{Q}_S(p)/\mathbb{Q}$ . Then the inertia groups in  $\mathbb{Q}_T(p)/\mathbb{Q}_S(p)$  of all primes  $\mathfrak{p} \in T \setminus S(\mathbb{Q}_S(p))$  are infinite cyclic and the natural homomorphism*

$$\phi : \prod_{\mathfrak{p} \in T \setminus S(\mathbb{Q}_S(p))}' T_{\mathfrak{p}}(\mathbb{Q}_T(p)/\mathbb{Q}_S(p)) \longrightarrow G(\mathbb{Q}_T(p)/\mathbb{Q}_S(p))$$

*is an isomorphism.*

*Remark:* A similar theorem holds in the case that  $S$  contains  $p$ , see [NSW], Theorem 10.5.1.

*Proof.* By Proposition 6.2 and by the calculation of the cohomology of a free product ([NSW], 4.3.10 and 4.1.4),  $\phi$  is a homomorphism between free pro- $p$ -groups which induces an isomorphism on mod  $p$  cohomology. Therefore  $\phi$  is an isomorphism.  $\square$

*Proof of theorem 2.3.* We consider the Hochschild-Serre spectral sequence

$$E_2^{ij} = H^i(G_S(p), H^j(G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) \Rightarrow H^{i+j}(G_{S \cup \{\ell\}}(p), \mathbb{Z}/p\mathbb{Z}).$$

By Proposition 6.2, we have  $E_2^{ij} = 0$  for  $j \geq 2$  and

$$\begin{aligned} H^1(G(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) &\cong \bigoplus_{\mathfrak{p}|\ell}' H^1(T_{\mathfrak{p}}(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}) \\ &\cong \text{Ind}_{G_S(p)}^{G_{\ell}} H^1(T_{\ell}(\mathbb{Q}_{S \cup \{\ell\}}(p)/\mathbb{Q}_S(p)), \mathbb{Z}/p\mathbb{Z}), \end{aligned}$$

where  $G_{\ell} \cong \mathbb{Z}_p$  is the decomposition group of  $\ell$  in  $G_S(p)$ . We obtain  $E_2^{i,1} = 0$  for  $i \geq 2$ . By assumption,  $cd G_S(p) = 2$ , hence  $E_2^{0,j} = 0$  for  $j \geq 3$ . This implies  $H^3(G_{S \cup \{\ell\}}(p), \mathbb{Z}/p\mathbb{Z}) = 0$ , and hence  $cd G_{S \cup \{\ell\}}(p) \leq 2$ . Finally, the decomposition group of  $\ell$  in  $G_{S \cup \{\ell\}}(p)$  is full, i.e. of cohomological dimension 2. Therefore,  $cd G_{S \cup \{\ell\}}(p) = 2$ .  $\square$

We obtain the following

**Corollary 6.4.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Let  $\ell \notin S$  be another prime number congruent to 1 modulo  $p$ . Assume that there exists a prime number  $q \in S$  such that the order of  $\ell$  in  $(\mathbb{Z}/q\mathbb{Z})^{\times}$  is divisible by  $p$  (e.g.  $\ell$  is not a  $p$ -th power modulo  $q$ ). Then  $cd G_S(p) = 2$  implies  $cd G_{S \cup \{\ell\}}(p) = 2$ .*

*Proof.* Let  $K_q$  be the maximal subextension of  $p$ -power degree in  $\mathbb{Q}(\mu_q)/\mathbb{Q}$ . Then  $K_q$  is a non-trivial finite subextension of  $\mathbb{Q}$  in  $\mathbb{Q}_S(p)$  and  $\ell$  does not split completely in  $K_q/\mathbb{Q}$ . Hence the result follows from Theorem 2.3.  $\square$

*Remark.* One can sharpen Corollary 6.4 by finding weaker conditions on a prime  $\ell$  not to split completely in  $\mathbb{Q}_S(p)$ .

## 7 Proof of Theorem 2.1

In this section we prove Theorem 2.1. We start by recalling the notion of the linking diagram attached to  $S$  and  $p$  from [La]. Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Let  $\Gamma(S)(p)$  be the directed graph with vertices the primes of  $S$  and edges the pairs  $(r, s) \in S \times S$  with  $r$  not a  $p$ -th power modulo  $s$ . We now define a function  $\ell$  on the set of pairs of distinct primes of  $S$  with values in  $\mathbb{Z}/p\mathbb{Z}$  by first choosing a primitive root  $g_s$  modulo  $s$  for each  $s \in S$ . Let  $\ell_{rs} = \ell(r, s)$  be the image in  $\mathbb{Z}/p\mathbb{Z}$  of any integer  $c$  satisfying

$$r \equiv g_s^{-c} \pmod{s}.$$

The residue class  $\ell_{rs}$  is well-defined since  $c$  is unique modulo  $s-1$  and  $p \mid s-1$ . Note that  $(r, s)$  is an edge of  $\Gamma(S)(p)$  if and only if  $\ell_{rs} \neq 0$ . We call  $\ell_{rs}$  the *linking number* of the pair  $(r, s)$ . This number depends on the choice of primitive roots, if  $g$  is another primitive root modulo  $s$  and  $g_s \equiv g^a \pmod{s}$ , then the linking number attached to  $(r, s)$  would be multiplied by  $a$  if  $g$  were used instead of  $g_s$ . The directed graph  $\Gamma(S)(p)$  together with  $\ell$  is called the *linking diagram* attached to  $S$  and  $p$ .

**Definition 7.1.** We call a finite set  $S$  of prime numbers congruent to 1 modulo  $p$  *strictly circular with respect to  $p$*  (and  $\Gamma(S)(p)$  a *non-singular circuit*), if there exists an ordering  $S = \{q_1, \dots, q_n\}$  of the primes in  $S$  such that the following conditions hold.

- (a) The vertices  $q_1, \dots, q_n$  of  $\Gamma(S)(p)$  form a circuit  $q_1 q_2 \cdots q_n q_1$ .
- (b) If  $i, j$  are both odd, then  $q_i q_j$  is not an edge of  $\Gamma(S)(p)$ .
- (c) If we put  $\ell_{ij} = \ell(q_i, q_j)$ , then

$$\ell_{12} \ell_{23} \cdots \ell_{n-1, n} \ell_{n1} \neq \ell_{1n} \ell_{21} \cdots \ell_{n, n-1}.$$

Note that condition (b) implies that  $n$  is even  $\geq 4$  and that (c) is satisfied if there is an edge  $q_i q_j$  of the circuit  $q_1 q_2 \cdots q_n q_1$  such that  $q_j q_i$  is not an edge of  $\Gamma(S)(p)$ . Condition (c) is independent of the choice of primitive roots since the condition can be written in the form

$$\frac{\ell_{1n}}{\ell_{n-1, n}} \frac{\ell_{21}}{\ell_{n1}} \frac{\ell_{32}}{\ell_{12}} \cdots \frac{\ell_{n, n-1}}{\ell_{n-2, n-1}} \neq 1,$$

where each ratio in the product is independent of the choice of primitive roots.

If  $p$  is an odd prime number and if  $S = \{q_1, \dots, q_n\}$  is a finite set of prime numbers congruent to 1 modulo  $p$ , then, by a result of Koch [Ko], the group  $G_S(p)$  has a minimal presentation  $G_S(p) = F/R$ , where  $F$  is a free pro- $p$ -group on generators  $x_1, \dots, x_n$  and  $R$  is the minimal normal subgroup in  $F$  on generators  $r_1, \dots, r_n$ , where

$$r_i \equiv x_i^{q_i-1} \prod_{j \neq i} [x_i, x_j]^{\ell_{ij}} \pmod{F_3}.$$

Here  $F_3$  is the third step of the lower  $p$ -central series of  $F$  and the  $\ell_{ij} = \ell(q_i, q_j)$  are the linking numbers for some choice of primitive roots. If  $S$  is strictly circular, Labute ([La], Theorem 1.6) shows that  $G_S(p)$  is a so-called ‘mild’ pro- $p$ -group, and, in particular, is of cohomological dimension 2 ([La], Theorem 1.2).

*Proof of Theorem 2.1.* By [La], Theorem 1.6, we have  $cd G_T(p) = 2$ . By assumption, we find a series of subsets

$$T = T_0 \subset T_1 \subset \cdots \subset T_r = S,$$

such that for all  $i \geq 1$ , the set  $T_i \setminus T_{i-1}$  consists of a single prime number  $q$  congruent to 1 modulo  $p$  and there exists a prime number  $q' \in T_{i-1}$  with  $q$  not a  $p$ -th power modulo  $q'$ . An inductive application of Corollary 6.4 yields the result.  $\square$

*Remark.* Labute also proved some variants of his group theoretic result [La], Theorem 1.6. The same proof as above shows corresponding variants of Theorem 2.1, by replacing condition (i) by other conditions on the subset  $T$  as they are described in [La], §3.

A straightforward applications of Čebotarev’s density theorem shows that, given  $\Gamma(S)(p)$ , a prime number  $q$  congruent to 1 modulo  $p$  can be found with the additional edges of  $\Gamma(S \cup \{q\})(p)$  arbitrarily prescribed (cf. [La], Proposition 6.1). We therefore obtain the following corollaries.

**Corollary 7.2.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ , containing a strictly circular subset  $T \subset S$ . Then there exists a prime number  $q$  congruent to 1 modulo  $p$  with*

$$cd G_{S \cup \{q\}}(p) = 2.$$

**Corollary 7.3.** *Let  $p$  be an odd prime number and let  $S$  be a finite set of prime numbers congruent to 1 modulo  $p$ . Then we find a finite set  $T$  of prime numbers congruent to 1 modulo  $p$  such that*

$$cd G_{S \cup T}(p) = 2.$$

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