## DIRECT EXPERIMENTAL EVIDENCE OF AUTOLOCALIZATION NATURE OF DX- CENTERS

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Abstract. Tunnelling ionization of DX<sup>-</sup> centers in Al<sub>x</sub>Ga<sub>1-x</sub>Sb has been observed in teraher radiation fields. Tunnelling times have been measured for autolocalized and on-site deep impurities. It is shown that in one case the tunneling time is smaller, in the other larger than the reciprocatemperature multiplied by a universal constant due to the different tunnelling trajectories. This allows to distinguish in a direct way between the two types of configuration potentials of impurities.

Defect engineering of material properties is one of the key issues of present semiconduct technology. Two remarkable examples of high current interest are the EL2 defect in GaAs [1] at the DX<sup>-</sup> center in III-V ternary alloys like AlGaAs, AlGaSb etc. [2-4]. These defects have be studied extensively in the past stimulated by the phenomenon of metastability which both defect have in common. Here we will deal with the DX<sup>-</sup> center. Shallow n-dopants in ternary III-V allo coexist in two charged states as ionized effective mass like donors and as deep negatively charg DX<sup>-</sup> centers. By light illumination the DX<sup>-</sup> center can be made electrically and optically inactive. The large difference between optical  $\varepsilon_{\text{Opt}}$  and thermal  $\varepsilon_{\text{T}}$  activation energy and the presence of persistent photoconductivity observed for these centers have been explained by a model of large latticelaxation leading to autolocalization [5,6].

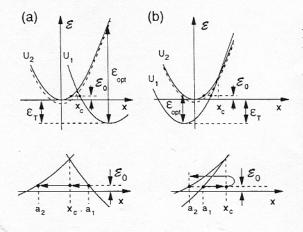


Fig. 1

Fig. 1 presents two adiabati potential diagrams with a shift in th configuration coordinate of th equilibrium position which correspon to electron-phonon coupling with (Fig. 1 (a)) and without (Fig. 1 (b autolocalization. The configuration Fig. 1 (a) is usually assumed to app to DX- centers giving a big difference between  $\epsilon_{opt}$  and  $\epsilon_{T}$  [2-6]. The configuration of Fig. 1 (b) correspond to on-site impurities. In this case the difference between  $\epsilon_{opt}$  and  $\epsilon_{T}$ usually small but can also be very larg as shown by Henry and Lang [7] for "state 2" oxygen in GaP. The larg difference has been introduced b

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taking two different vibrational frequencies for the occupied and the unoccupied state, respectively. The details of the adiabatic potential configuration are of great importance for the non-radiative capture of free carriers.

Here we demonstrate that tunnel ionization in terahertz fields [8-10] allows in a simple way a clear cut distinction between the two types of potential configurations shown in Fig. 1. The tunnelling time, caused by the re-arrangement of the lattice during detachment of the electron, is systematically different for both situations. To be more precise, the tunnelling time is in one case smaller, in the other bigger than the reciprocal temperature multiplied by a universal constant.

Measurements have been carried out on DX<sup>-</sup> centers in Al<sub>x</sub>Ga<sub>1-x</sub>Sb. The results will be compared to ones for on-site impurity gold in germanium. Large electric field strengths have been applied by high-power terahertz radiation. It has been shown previously that the electric field of terahertz radiation acts like a dc field as long as the radiation frequency is smaller than the vibration frequency of the deep impurities [10]. Multiphonon tunnel ionization in strong electric fields has been investigated for various impurities [8, 10]. In Fig. 1 the curves U<sub>1</sub> and U<sub>2</sub> correspond to the ground state and to the ionized center with zero kinetic energy of the charge carrier, respectively. The impurities can be ionized by thermal excitation in the potential U<sub>1</sub> to an energy above the minimum of the ionized configuration U<sub>2</sub> and by tunnelling from the bound configuration U<sub>1</sub> to U<sub>2</sub> [8, 9]. In thermal equilibrium the multiphonon tunnel ionization rate is balanced by the capture of free carriers. In the presence of an electric field E the potential U<sub>2</sub> is shifted to lower energies as a whole (dashed curves in Fig. 1) yielding in semi-classical approximation an excess emission rate [8]

$$e(E) \propto \exp\frac{(eE)^2 \tau_2^3}{3m^*\hbar}$$
, where  $\tau_2 = \sqrt{\frac{M}{2}} \int_{\sigma_1}^{\tau_2} \frac{dx}{\sqrt{U_2(x) - \mathcal{E}_0}}$ , (1)

m\* is the effective mass of free carriers and  $\tau_2$  is the tunnelling time [11] along the trajectory from  $a_2$  to  $x_c$  (see Fig. 1) in the configuration space under the potential  $U_2$  at the energy  $\mathcal{E} = \mathcal{E}_0$ . In this relation M is the mass of the defect and  $\mathcal{E}_0$  is optimum energy of tunnelling [8, 9]. The energy  $\mathcal{E}_0$  decreases with decreasing temperature and approaches the minimum of the potential  $U_2$  in the limit  $T \Rightarrow 0$ . Thus  $\tau_2$  is strongly temperature dependent diverging for  $T \Rightarrow 0$ .

The temperature dependence of  $\tau_2$  may be determined from the condition of optimum tunnelling. As long as the electric field is not to high to change  $\mathcal{E}_0$  significantly,  $\tau_2$  may be calculated in thermal equilibrium ignoring the electric field. In this case and in the semi-classical approximation the thermal emission rate is given by  $P(\mathcal{E}) \propto \exp(-\Psi)$  with  $\Psi(\mathcal{E}) = (\epsilon_T + \mathcal{E})/kT + 2 |S(\mathcal{E})|$  where  $S(\mathcal{E})$  is the principal function multiplied by  $i/\hbar$  after [8]. Due to the exponential dependence of  $P(\mathcal{E})$  on the energy  $\mathcal{E}$ , tunnelling takes place at energies in a narrow range around  $\mathcal{E} = \mathcal{E}_0$  where  $\Psi(\mathcal{E})$  assumes a minimum. After [12, 8]  $S(\mathcal{E})$  is to be split into two parts,  $S(\mathcal{E}) = -S_1(\mathcal{E}) + S_2(\mathcal{E})$ , with

$$S_{i}(\mathcal{E}) = \frac{\sqrt{2M}}{\hbar} \int_{a_{i}}^{x_{i}} dx \sqrt{U_{i}(x) - \mathcal{E}}, \qquad i = 1, 2 \qquad (2)$$

This corresponds to tunnelling from  $a_1$  to  $x_c$  under the potential  $U_1$  and from  $x_c$  to  $a_2$  under potential  $U_2$ . These tunnelling trajectories are indicated by arrows on Fig. 1. The condition for finding  $\mathcal{E}_0$  is given by

$$\frac{d\Psi}{d\mathcal{E}}\bigg|_{\mathcal{E}=\mathcal{E}_{n}} = 2\frac{d|S(\mathcal{E})|}{d\mathcal{E}}\bigg|_{\mathcal{E}=\mathcal{E}_{n}} + \frac{1}{kT} = 0.$$

The essential difference between the two models discussed here is that  $S_1(\mathcal{E})$  and  $S_2(\mathcal{E})$  have the same signs for the on-site configuration (Fig. 1 (b)) but the signs are opposite for

autolocalization (Fig. 1 (a)). Thus we have  $|S| = |S_1| + |S_2|$  for autolocalization and  $|S| = |S_1| - |S_2|$  for the on-site configuration taking into account that  $|S_2| > |S_1|$  (see Fig. 1). The magnitude of the derivative  $|dS_i|/d\mathcal{E}|$  yields the tunnelling times  $\tau_1$  and  $\tau_2$  along trajectories under the corresponding potentials:

$$\tau_{i} = \hbar \frac{|dS_{i}|}{d\mathcal{E}}\Big|_{\mathcal{E}=\mathcal{E}_{0}} \qquad i = 1, 2.$$

From Eqs. (3) and (4) we find

$$\tau_2 = \frac{\hbar}{2kT} \pm \tau_1 \tag{6}$$

where the minus and plus signs correspond to Fig. 1 (a) and (b), respectively. As  $\mathcal{E}_0$  is much larger than the minimum of  $U_1$ ,  $\tau_1$  is approximately the period of oscillations in  $U_1$  and does not significantly depend on temperature and electric field. For on-site deep impurities like Au, Hg, Zn, Cu in germanium it has been shown that  $\tau_2$  is larger than  $\hbar/2k_BT$  and follows the temperature dependence of Eq. (6) [8, 10]. For autolocalized states  $\tau_2$  is expected to be smaller than  $\hbar/2k_BT$ .

The investigations of phonon assisted tunnelling have been carried out on  $Al_xGa_{1-x}Sb$  samples grown by the travelling heater method from Sb rich melts. Tellurium was added into the melt resulting in n-type conduction (n =  $4 \cdot 10^{17}$  cm<sup>-3</sup>). The crystals were characterized by Hall effect measurements, deep level transient spectroscopy (DLTS) and photocapacitance measurements. All the essential features of the DX<sup>-</sup> centers, in particular persistence photoconductivity, have been observed. The actual sample compositions were x = 0.28 and 0.5. More details of the properties of the samples can be found in [13, 14]. The radiation source used was a pulsed far-infrared molecular laser optically pumped by a TEA CO<sub>2</sub> laser. Using NH<sub>3</sub> and D<sub>2</sub>O as active gases, 40 ns pulses with a peak power of 100 kW have been obtained at wavelengths,  $\lambda$ , of 90.5  $\mu$ m, 152  $\mu$ m and 250  $\mu$ m. The  $Al_xGa_{1-x}Sb$  samples were placed in the dark in a temperature variable optical cryostat. Measurements have been carried out in the temperature range between 40 K and 90 K where the impurity centers are occupied in thermal equilibrium.

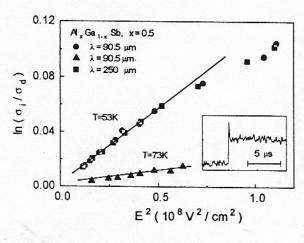


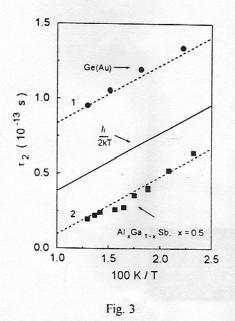
Fig.2

The photoconductive signal strongly nonlinear with the radiation intensity has been observed at all wavelength and temperatures. The signals consist of two components (see inset of Fig. 2), a fast one with decay time of about 80 ns and a long tail which did not decay within the presented time scale of Fig. 2. Measurements of the radiation induced conductivity change of the sample by a dc voltmeter have shown that this part of the signal persists for several hundreds of seconds which corresponds to the life time of persistent photoconductivity observed for DXcenters in investigated samples [13,14]. The sign of the photoconductive signal indicates a decrease in the sample

resistance and, thus, to an increase in the free carrier concentration. The observation of a positive persistent photoconductivity shows that this signal is caused by the detachment of electrons from

DX- centers. The fast component of the signal can be attributed to ionization of native Ga acceptor [15] or µ-photoconductivity due to electron heating and is not of interest for the present work Because of the large difference in relaxation time, the signals may easily be distinguished and investigated at the same time. All data will further be related just to the slow component of th signal. Fig. 2 shows the dependence of  $\ln(\sigma_i/\sigma_d)$  on the square of the amplitude of the optical electric field for two different wavelengths and temperatures, where  $\sigma_d$  and  $\sigma_i$  are the dark and irradiation induced conductivities of the sample, respectively. As the duration of the light pulses is much shorte than the capture time of nonequilibrium carriers, recombination may be ignored during excitation Therefore the experimentally determined relative change in photoconductivity,  $\Delta\sigma/\sigma$ , is equal to  $\Delta n/\sigma$ where n is the free carrier concentration. In Fig. 2 it is seen that the probability of photoexcitation  $e(E)/e_0 = \sigma_i/\sigma_d$  depends on the electric field as  $exp(E^2/E^2_c)$ . The magnitude of the characteristic field  $E_{\text{C}}$  does not depend on the wavelength in the present spectral range between 90.5  $\mu m$  and 250  $\mu m$ but it is significantly lower for lower temperatures. As it has been shown in [10] this observation rules out other mechanisms of nonlinear optical carrier generation like multiphoton transitions [16, 17] light impact ionization [18], photon assisted tunnelling [19] which all show a characteristic wavelength dependence. The fact that the photoconductivity is independent on the wavelength, the exponential dependence of  $\sigma_i/\sigma_d$  on the square of the electric field of the radiation and the variation of the signal with temperature permit to conclude that free carriers are generated by phonon assisted tunnel ionization of DX- centers with far infrared radiation. As it is seen from Fig. 2, at high field strengths the ionization probability increases slower than exp(E<sup>2</sup>/E<sup>2</sup><sub>c</sub>) with rising electric field. E Such a behaviour may be attributed to a transition of the ionization process from phonon assisted tunnelling to direct tunnelling in agreement with theoretical [8, 9] and experimental results [20].

The tunnelling time to is calculated as a function of temperature from the experimental determined square of characteristic field  $E_c^2 = (3m*\hbar)/(e^2\tau_2^3)$  which is taken from (1). In Fig. 3  $\tau_2$  of DX<sup>-</sup> center in Al<sub>x</sub>Ga<sub>1-x</sub>Sb, x=0.5 is plotted versus the reciprocal temperature 1/T. For the purpose of comparison Fig. 3 contains also a ħ/2kT curve and the tunnelling time τ2 of the on-site deep acceptors gold in germanium (binding energy 150 meV, configuration Fig. 1 (b)), which we measured by the same method. In both cases to is of the order of h/2kT and follows the 1/T temperature dependence. The representation of Fig. 3 unambiguously demonstrates that  $\tau_2$  is larger than  $\hbar/2kT$  for an on-site impurity, however, it is smaller than h/2kT for the DX centers. This result clearly proves that the autolocalized and on-site impuruties may be distinguished by the tunnelling time being determined from phonon assisted tunnelling in terahertz fields. Even small



change in  $\tau_2$  may be resolved because the emission probability depends exponentially on the third power of the tunnelling time. The tunnelling time reflects the structure of the potential barriers which are systematically distinct for both potential configurations discussed here.

In summary, photoionization of deep impurity centers in semiconductors has been investigated by high-power pulsed far-infrared laser with quantum energies of the radiation much smaller than the impurity ionization energy. A photoconductive signal increasing nonlinearly with rising incident power has been observed for autolocalized DX centers in Al<sub>x</sub> Ga<sub>1-x</sub>Sb and various deep on-site acceptors in Ge. As an important result, the signal strength at given temperature has been found to be independent of far-infrared radiation frequency suggesting that the signal is caused by electric field ionization. In a substantial range of electric field strengths the emission probability of carriers could be attributed to phonon assisted tunneling. The thermal emission of carriers from the impurity bound state into the continuum is usually accomplished by thermal activation of the system in the adiabatic bound state potential and tunneling of the bound defect configuration into the ionized configuration. An electric field enhances defect tunneling due to electron tunneling through the barrier formed by the electron potential and the electric field. This increase in emission of carriers has been detected as photoconductive signal. The electric field dependence of the observed signal allowed to determine defect tunneling times. It has been shown that, due to different tunneling trajectories, on-site impurities and autolocalized centers may unambiguously be distinguished by the value of the tunneling time compared to the reciprocal temperature multiplied by universal constants.

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