Trigonal warping in bilayer graphene: Energy versus entanglement spectrum

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(Received 21 December 2015; revised manuscript received 10 February 2016; published 2 March 2016)

We present a mainly analytical study of the entanglement spectrum of Bernal-stacked graphene bilayers in the presence of trigonal warping in the energy spectrum. Upon tracing out one layer, the entanglement spectrum shows qualitative geometric differences to the energy spectrum of a graphene monolayer. However, topological quantities such as Berry-phase-type contributions to Chern numbers agree. The latter analysis involves not only the eigenvalues of the entanglement Hamiltonian but also its eigenvectors. We also discuss the entanglement spectra resulting from tracing out other sublattices. As a technical basis of our analysis, we provide closed analytical expressions for the full eigensystem of bilayer graphene in the entire Brillouin zone with a trigonally warped spectrum.

DOI: 10.1103/PhysRevB.93.115106

I. INTRODUCTION

Although first considered as a source of quantum corrections to the entropy of black holes [1], entanglement entropy, in particular von Neumann entropy, evolved into a tool in the field of many-body systems. This brought along connections between seemingly unrelated research areas. In condensed matter, the entanglement entropy serves, e.g., as a geometrical interpretation for the boundary between local quantum many-body systems. This connection has its origin in the area laws [2].

However, Li and Haldane have shown that the related entanglement spectrum contains more information than the single number expressed by the entanglement entropy [3]. This spectrum is determined by the Schmidt decomposition of the ground state of a bipartite system, and the reduced density matrix obtained by tracing out one of the subsystems can always be formulated as

$$\rho_{\text{red}} = \frac{e^{-\mathcal{H}_{\text{ent}}}}{Z}$$

with an entanglement Hamiltonian $\mathcal{H}_{\text{ent}}$ encoding the entanglement spectrum, and a partition function $Z = \text{tr}(e^{-\mathcal{H}_{\text{ent}}})$. Following the Li-Haldane conjecture [3], in a gapped phase, the entanglement spectrum can be directly related to the spectrum of edge excitations as shown for the fractional quantum Hall system [4–6]. This relation to edge excitations can also be seen analytically in the case of noninteracting particles. It can be shown by mapping the free fermionic system $\mathcal{H}$ onto a flatband Hamiltonian $\mathcal{H}_{\text{flat}}$ [7]. Now, the eigenenergies $\epsilon_i$ of the latter are related to the eigenenergies of the corresponding entanglement energies $\epsilon_i$ as $\epsilon_i \sim \tanh(\epsilon_i/2)/2 + \text{const}$ [8]. Thereby, the eigenstates of both $\mathcal{H}_{\text{flat}}$ and $\mathcal{H}$ are the same. Thus, if $\mathcal{H}$ contains topologically protected surface states, the same holds for the entanglement Hamiltonian.

This is why the entanglement spectrum, beyond the related entropy, is considered a tower of states and is used as a fingerprint for topological order. However, this is not true in general, as shown recently by Chandran et al. [9].

As a result of a multitude of studies, there is a plethora of revisited effects in the context of an entanglement spectrum, such as the Kondo effect, many-body localization, or disordered quantum spin systems; for recent reviews, see Refs. [10,11].

A particular situation arises if the edge comprises the entire remaining subsystem, as is the case for spin ladders [12–21] and various bilayer systems [22–24]. A typical observation in such scenarios is, in the regime of strongly coupled subsystems, a proportionality between the energy Hamiltonian of the remaining subsystem and the appropriately defined entanglement Hamiltonian. We note that the entanglement Hamiltonian entering the reduced density matrix (1) is only determined up to multiples of the unit operator, which has consequences regarding thermodynamic relations between the entanglement entropy and the subsystem energy [22–24].

On the other hand, such a close relation between energy and the entanglement Hamiltonian is not truly general, as shown in Ref. [18], where a spin ladder of clearly nonidentical legs was studied. In the present work, we provide another counterexample given by graphene bilayers in the presence of trigonal warping [25,26]. As we shall see in the following, the geometric properties of the entanglement spectrum of an undoped graphene bilayer and the energy spectrum of a monolayer clearly differ qualitatively. However, certain topological quantities such as Berry-phase-type contributions to Chern numbers agree. The latter analysis involves not only the eigenvalues of the entanglement Hamiltonian (i.e., the entanglement spectrum) but also its eigenvectors.

This paper is organized as follows. In Sec. II, we discuss the full eigensystem of the tight-binding model of bilayer graphene in the presence of trigonal warping; a full account of the technical details is given in Appendices A and B. To enable analytical progress, we neglect here terms breaking particle-hole symmetry. On the other hand, our calculation considers the entire first Brillouin zone and avoids the Dirac cone approximation usually employed in studies of trigonal warping in graphene bilayers [27–35]. We compare our results for the full four-band model with an effective Hamiltonian acting on the two central bands [27,34,35]. The entanglement spectrum obtained from the ground state of undoped graphene bilayers is analyzed in Sec. III. We discuss the case of one layer being traced out as well as the situation in which the trace is performed over two other out of four sublattices. We close with a summary and an outlook in Sec. IV.
II. ENERGY SPECTRUM OF GRAPHENE BILAYERS: TRIGONAL WARPING AND TOPOLOGICAL INVARIANTS

The standard tight-binding Hamiltonian for graphene bilayers in Bernal stacking can be formulated as \([25,26]\)

\[
H = -t \sum_k (\gamma(\vec{k}) a_{ik}^\dagger b_{ik} + \gamma(\vec{k}) a_{2k}^\dagger b_{2k} + \text{H.c.}) \\
+ t_\parallel \sum_k (b_{ik}^\dagger a_{2k} + a_{2k}^\dagger b_{ik}) \\
- t_3 \sum_k (\gamma(\vec{k}) b_{2k}^\dagger a_{2k} + \gamma^*(\vec{k}) a_{2k}^\dagger b_{2k}) \\
+ t_4 \sum_k [\gamma(\vec{k})(a_{1k}^\dagger a_{2k} + b_{1k}^\dagger b_{2k}) + \text{H.c.}],
\]

where \(a_{ik}^\dagger (a_{ik})\) and \(b_{ik}^\dagger (b_{ik})\) create (annihilate) electrons with wave vector \(\vec{k}\) in layers \(i = 1, 2\) on sublattices A and B, respectively. Moreover, \(\gamma(\vec{k}) = \sum_{\ell=1}^3 \exp(i\tilde{\delta}_\ell \cdot \vec{k})\), where the \(\tilde{\delta}_\ell\) are the vectors connecting a given carbon atom with its nearest neighbors on the other sublattice in a graphene monolayer. In what follows, we will use coordinates with \(\tilde{\delta}_1, 2 = \frac{a}{2}(-1, \pm \sqrt{3})\), \(\tilde{\delta}_3 = a(1, 0)\), where \(a = 1.42\ \text{Å}\) is the distance between neighboring carbon atoms, such that the two inequivalent corners of the first Brillouin zone can be given as

\[
\vec{K}_\pm = \frac{2\pi}{3\sqrt{3}a} (\sqrt{3}, \pm 1).
\]

The parameter \(t_4\) describes hopping within each layer between the sublattices while \(t_\parallel\) parametrizes the vertical hopping between the two sublattices in different layers lying on top of each other. The additional hopping processes described by the skew parameters \(t_3, t_\parallel\) lead to trigonal warping of the spectrum and electron-hole asymmetry, respectively. Experimentally established values \([36]\) for these quantities are \(t_\parallel = 3.16\ \text{eV}, t_3 = 0.38\ \text{eV}, t_\parallel = 0.38\ \text{eV},\) and \(t_4 = 0.14\ \text{eV}\). The geometry of the first Brillouin zone is visualized in Fig. 1 along with a color plot of the modulus \(|\gamma(\vec{k})|\).

The presence of all four couplings in the Hamiltonian Eq. (2) makes its explicit diagonalization in terms of analytical expressions a particularly cumbersome task. As the present study chiefly relies on analytical calculations rather than resorting to numerics, we will drop the contributions proportional to the smallest parameter \(t_4\) in order to achieve an analytically manageable situation.

Setting \(t_4 = 0\), the full eigensystem of the Hamiltonian (2) can be obtained in a closed analytical fashion as detailed in Appendix A. The four dispersion branches \([\pm E_1(\vec{k})], [\pm E_2(\vec{k})]\) form a symmetric spectrum with

\[
E_{1/2} = \sqrt{\frac{1}{2}[t_\parallel^2 + t_3^2] |\gamma(\vec{k})|^2 + 2t_\parallel^2 |\gamma(\vec{k})|^2 \pm \sqrt{4t_\parallel^4 |\gamma(\vec{k})|^4 + t_3^4 [\gamma(\vec{k})]^2 - 2t_\parallel t_3 |\gamma(\vec{k})|^2 \cos(3\phi_k)] + (t_\parallel^2 - t_3^2 |\gamma(\vec{k})|^2)^2}}
\]

The latter condition defines three additional satellite Dirac cones around each \(K\) point, two of which lie on the edges (faces) of the Brillouin zone connecting \(K\), and the third satellite Dirac cone lies formally outside the Brillouin zone but is equivalent to a satellite cone on the edge around an equivalent \(K\) point. Indeed, the quantity \(\gamma(\vec{k})\) has a constant phase \(\phi_k \in \{-\pi/3, \pi/3, \pi\}\) on each face: As an example, consider the edge connecting the two inequivalent \(K\) points given in Eq. (4), where one finds

\[
\gamma\left(\frac{2\pi}{3a}, k_y\right) = e^{-i\pi/3} \left[2\cos\left(\frac{\sqrt{3}}{2} k_y a\right) - 1\right]
\]

with the parentheses being non-negative for \(k_y\) ranging between \([\pm 2\pi/(3\sqrt{3}a)]\). Thus, solving for \(k_y\), the satellite
The edge of the first Brillouin zone is marked by dashed lines.

$E/t_3 = 0$, the two energy bands $[\pm E_2(\vec{k})]$ touch only at the $K$ points where they have a quadratic dispersion. Finite $t_3 \neq 0$ causes a splitting into a total of four Dirac cones with linear dispersion, an effect known as trigonal warping [25,34].

As a further important property, the eigenvectors corresponding to $[\pm E_2(\vec{k})]$ are discontinuous as a function of wave vector at the degeneracy points defined by Eq. (7); for more technical details, we refer the reader to Appendix B. As a simplistic toy model mimicking such an effect, one can consider the Hamiltonian $H = -k\sigma^z$ with a one-dimensional wave number $k$ and the Pauli matrix $\sigma^z$ describing some internal degree of freedom: In the many-body ground state of zero Fermi energy all occupied states with $k > 0$ have spin up, while for all states with $k < 0$ the spin points downward, resulting in a discontinuity of the occupied eigenvectors at $k = 0$. As we shall see below, in the present case of graphene bilayers this discontinuity is also reflected in the entanglement spectrum.

An effective Hamiltonian providing an approximate description of the central bands $[\pm E_2(\vec{k})]$ can be given following Ref. [27]. In up to linear order in $1/t_1$, one finds

$$H = \begin{pmatrix} 0 & t_3y(\vec{k}) \\ t_3^*(y(\vec{k})) & 0 \end{pmatrix}$$

(10)

with respect to the basis $(b_{2\vec{k}}^1a_{1\vec{k}}^1)|0\rangle$. The eigenstates read

$$|\chi_\pm\rangle = \frac{1}{\sqrt{2}} \left( 1 \mp e^{i\psi_k} \right)$$

(11)

with

$$e^{i\psi_k} = \frac{t_3^*(y(\vec{k}))}{|t_3^*(y(\vec{k}))|^2 + t_3y(\vec{k})} \frac{t_3^*(y(\vec{k}))}{|t_3^*(y(\vec{k}))|^2 + t_3y(\vec{k})}.$$

(12)

Note that the Hamiltonian (10) vanishes if and only if the conditions (6) or (7) are fulfilled, implying that the positions of the central and satellite Dirac cones are the same as for the full Hamiltonian (2). Moreover, $\psi_k$ is a smooth and well-defined function of the wave vector except for the locations of Dirac cones. Accordingly, the Berry curvature

$$F(\vec{k}) = \frac{\partial A_y}{\partial k_x} - \frac{\partial A_x}{\partial k_y}$$

arising from the Berry connection

$$\tilde{A}(\vec{k}) = i \langle \chi_\pm(\vec{k}) | \frac{\partial}{\partial \vec{k}} | \chi_\pm(\vec{k}) \rangle = -\frac{1}{2} \frac{\partial \psi_k}{\partial \vec{k}}$$

(14)

vanishes everywhere outside the Dirac cones where contributions in terms of $\delta$ functions arise. Integrating the Berry connection along a closed path in $\vec{k}$ space leads to geometrical quantities often referred to as Berry phases, although no contact to adiabaticity is made here. Moreover, if the Berry curvature has only nonzero contributions in terms of $\delta$ functions (as is the case here and in the following), these geometrical phases are indeed topological, i.e., they are invariant under continuous variations of the paths as long as the support of the $\delta$ functions is not touched.
As discussed in Refs. [31,33,34], integrating along a closed path around the central Dirac cones at $K_{\pm}$ yields a Berry phase of $(\mp \pi)$, while each of the accompanying satellite cones gives a contribution of $(\pm \pi)$. Thus, the total Berry phase arising at and around each $K$ point is, as in the absence of trigonal warping, $(\pm 2\pi)$, and the integral over the whole Brillouin zone of the Berry connection (i.e., the Chern number) vanishes. Naturally, our present analysis going beyond the Dirac cone approximation confirms these results.

### III. ENTANGLEMENT SPECTRA

For systems of free fermions such as those studied here, the entanglement Hamiltonian can be formulated as a single-particle operator [23,37,38],

$$H_{\text{ent}} = \sum_{\lambda} \xi_\lambda d_\lambda^\dagger d_\lambda. \quad (15)$$

Here the $d_\lambda^\dagger$ generate eigenstates of the correlation matrix

$$C_{\alpha\beta} = \langle \Psi | c_\alpha^\dagger c_\beta | \Psi \rangle, \quad (16)$$

where $|\Psi\rangle$ is the ground state of the composite system, and single-particle operators $c_\alpha, c_\beta$ act on its remaining part after tracing out a subsystem. The entanglement levels $\xi_\lambda$ are related to the eigenvalues $\eta_\lambda$ of the correlation matrix via

$$\xi_\lambda = \ln \left( \frac{1 - \eta_\lambda}{\eta_\lambda} \right) = 2 \arctanh (1 - 2\eta_\lambda). \quad (17)$$

In particular, the entanglement Hamiltonian and the correlation matrix share the same system of eigenvectors.

#### A. Tracing out one layer

We now consider the ground state of the undoped graphene bilayer such that all states with negative energies $[-E_1(\vec{k})], [-E_2(\vec{k})]$ are occupied while all others are empty. Tracing out layer 1 leads to the correlation matrix

$$C(\vec{k}) = \begin{pmatrix} \frac{1}{2} & u(\vec{k}) \\ u^*(\vec{k}) & \frac{1}{2} \end{pmatrix} \quad (18)$$

where an explicit expression for $u(\vec{k})$ is given in Appendix C. The entanglement levels corresponding to the eigenvalues $\eta_{\pm}(\vec{k}) = 1/2 \mp |u(\vec{k})|$ are

$$\xi_{\pm}(\vec{k}) = \pm 2 \arctanh (2|u(\vec{k})|). \quad (19)$$

The modulus $|u|$ can be formulated as

$$|u| = \frac{1/2}{\sqrt{1 + |d/[1|\gamma(\vec{k})]|^2}} \sqrt{\frac{1}{2} \left( 1 - \frac{\epsilon_1 \epsilon_2 + b^2}{E_1 E_2} \right)} \quad (20)$$

with [cf. Eqs. (A14) and (A15)]

$$d = \frac{(t_1^2 - t_3^2)|\gamma(\vec{k})|^2}{\sqrt{t_1^2 + t_3^2|\gamma(\vec{k})|^2 - 2t_1 t_3 |\gamma(\vec{k})| \cos(3\phi_k)}}, \quad (21)$$

$$b = \frac{t_1 t_3 |\gamma(\vec{k})|}{\sqrt{t_1^2 + t_3^2|\gamma(\vec{k})|^2 - 2t_1 t_3 |\gamma(\vec{k})| \cos(3\phi_k)}}, \quad (22)$$

and [cf. Eq. (A21)]

$$\epsilon_{1,2} = \pm \sqrt{\left( \frac{t_1^2 + t_3^2 |\gamma(\vec{k})|^2}{2} - 2t_1 t_3 |\gamma(\vec{k})| \cos(3\phi_k) \right)^2 / 4 + d^2} \quad (23)$$

implying

$$E_{1,2} = \sqrt{\epsilon_{1,2}^2 + b^2}. \quad (24)$$

The right-hand side of Eq. (20) becomes zero if the radicand vanishes. According to the discussion in Appendices B and C, this is the case when $\cos(3\phi_k) = -1$ leading to $b = 0$ and $E_1 = \epsilon_1 \geq 0, E_2 = |\epsilon_2|$ such that

$$|u| \propto \sqrt{\frac{1}{2} \left( 1 - \frac{\epsilon_2}{|\epsilon_2|} \right)}. \quad (25)$$

Now Eq. (B2) shows that $|u(\vec{k})| = 0$ is equivalent to

$$\cos(3\phi_k) = -1 \quad \land \quad |\gamma(\vec{k})| \in [0, t_1 t_3 / 2^2]. \quad (26)$$

where the end point of the above interval defines according to condition (7) the location of the satellite Dirac cones. As a result, the entanglement levels (19) vanish along segments of the faces of the first Brillouin zone bounded by the positions of the central Dirac cones and their satellites. At the satellite Dirac cones, the entanglement spectrum is discontinuous as a function of wave vector. In Fig. 4, we plotted the entanglement spectrum $\xi_{\pm}(\vec{k})$ for the whole Brillouin zone. For a better visualization, large hopping parameters have been chosen. The contour of the colored region connects all three satellite Dirac cones. As discussed in Appendix B, this discontinuity is inherited from a discontinuity in the eigenvectors of the occupied single-particle states. The entanglement spectrum in the entire Brillouin zone is illustrated in Fig. 4, whereas Fig. 5 focuses on a given $K$ point.

Moreover, apart from the eigenvalues of the entanglement Hamiltonian, let us also consider its eigenvector, which

![FIG. 4. Contour plot of the entanglement spectrum $\xi_{\pm}(\vec{k})$ plotted for $t_1 = t_3 = 0.5t$. The contour of the colored region indicates $\xi = 1.5$. The dashed line delineates the first Brillouin zone.](image-url)
coincides with the eigenvectors of the correlation matrix (18). As discussed in Appendix C, the complex function $u(\vec{k})$ entering the correlation matrix becomes singular at the $K$ point and the positions of the accompanying satellite Dirac cones of the energy spectrum, leading again to $\delta$-function-type contributions to the Berry curvature that vanishes otherwise. Combining symbolic computer algebra techniques and numerical calculations, we find here a Berry phase of $(\pm \pi/2)$ around the corners $K_{\pm}$ of the Brillouin zone, and $(\pm \pi/2)$ for the corresponding satellite positions. For the central positions, the above calculations can also be done fully analytically by expanding the eigensystem data around $K_{\pm}$. For the satellite locations, such an expansion is not possible due to the discontinuity of the eigenvectors.

Thus, the total Berry phase contribution from each $K$ point $K_{\pm}$ is $(\pm \pi)$ and agrees with the Berry phase around the Dirac cones in monolayer graphene. As a result, although the entanglement spectrum of graphene bilayers generated by tracing out one layer shows obvious differences from the energy spectrum of graphene bilayers, leading again to $\delta$-function-type contributions to the Berry curvature that vanishes otherwise.

The above correlation matrix has eigenvalues $\eta_{\pm}(\vec{k}) = 1/2 \mp \sqrt{\epsilon_1 \epsilon_2 + b^2}$ (29) and has a structure similar to $|u(\vec{k})|$ given in Eq. (20). In particular, $|v(\vec{k})| = 1/2 \Leftrightarrow |u(\vec{k})| = 0$ if the conditions (26) are fulfilled. In this case, $\eta_+ = 0$ and $\eta_- = 1$, indicating that the remaining subsystem is unentangled with the system traced out.

Regarding Berry phases generated from the eigenstates of the correlation matrix (27), we note that the off-diagonal element $v(\vec{k})$ does not vanish anywhere. As a consequence, the Berry curvature defined analogously as in Eqs. (11)–(14) is zero throughout the Brillouin zone, which in turn holds for all Berry phases. The nonvanishing of $v(\vec{k})$ follows from the fact that $|u(\vec{k})| = 0$ would require $|u(\vec{k})| = 1/2$ such that the entanglement (19) would diverge, which is, as seen in Sec. III A, not the case.

Finally, the correlation matrix obtained by tracing over the sublattices A1 and A2 (or B1 and B2) is proportional to the unit matrix, $C(\vec{k}) = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ v(\vec{k}) & \frac{1}{2} \end{pmatrix}$, indicating that these sublattices are maximally entangled with the part traced out.
IV. CONCLUSIONS AND OUTLOOK

We have studied the entanglement properties of the ground state of Bernal stacked graphene bilayers in the presence of trigonal warping. Our analysis includes both the eigenvalues of the reduced density matrix (giving rise to the entanglement spectrum) as well as its eigenvectors. When tracing out one layer, the entanglement spectrum shows qualitative geometric differences to the energy spectrum of a graphene monolayer, while topological quantities such as Berry-phase-type contributions to Chern numbers agree. The latter finding is in contrast to the reduced density matrix resulting from tracing out other sublattices of the bilayer system. Here, all corresponding Berry phase integrals yield trivially zero. Thus, our study provides an example for common topological properties of the eigensystem of the energy Hamiltonian of a subsystem (here a graphene monolayer) and the entanglement Hamiltonian, while the geometrical shape of both spectra grossly differs. Our investigations are based on closed analytical expressions for the full eigensystem of bilayer graphene in the entire Brillouin zone with a trigonally warped spectrum.

Future work might address bilayer systems of other geometrical structures such as the Kagome lattice, the influence of a static perpendicular magnetic field [23,39], and the effect of time-periodic in-plane electric fields [40].

Note added in proof. Recently, we became aware of Ref. [41] where also Chern numbers calculated from the eigenstates of entanglement Hamiltonians are studied. Most recent work building upon this concept is reported on in Ref. [42].

ACKNOWLEDGMENTS

This work was supported by Deutsche Forschungsgemeinschaft via GRK1570.

APPENDIX A: DIAGONALIZATION OF THE BILAYER HAMILTONIAN

Setting \( t_2 = 0 \) and fixing a wave vector \( \vec{k} \), the Hamiltonian (2) reads with respect to the basis \((a_{2\vec{k}}^+, b_{1\vec{k}}^+, b_{2\vec{k}}^+, a_{1\vec{k}}^+)|0\rangle\):

\[
H = \begin{pmatrix}
0 & t_\perp & -t_3\gamma(\vec{k}) & 0 \\
t_\perp & 0 & 0 & -t_3\gamma^*(\vec{k}) \\
-t_3\gamma(\vec{k}) & 0 & 0 & -t_3\gamma^*(\vec{k}) \\
0 & -t_3\gamma^*(\vec{k}) & -t_3\gamma(\vec{k}) & 0 \\
\end{pmatrix}.
\] (A1)

Using \( \gamma(\vec{k}) = \gamma(\vec{k})e^{i\phi_k} \), we apply the transformation

\[
U_1 = \frac{1}{\sqrt{2}} \begin{pmatrix}
1 & 1 & 0 & 0 \\
0 & 0 & e^{i\phi_k} & e^{-i\phi_k} \\
0 & 0 & e^{i\phi_k} & -e^{-i\phi_k} \\
1 & -1 & 0 & 0 \\
\end{pmatrix}
\] (A2)

such that in

\[
H_1 = U_1 H U_1^\dagger = \begin{pmatrix}
t_\perp & -t_3\gamma(\vec{k}) & 0 & 0 \\
-t_3\gamma^*(\vec{k}) & t_\perp & 0 & 0 \\
0 & 0 & t_\perp & -t_3\gamma^*(\vec{k}) \\
0 & 0 & -t_3\gamma^*(\vec{k}) & t_\perp \\
\end{pmatrix}
\] (A3)

all information on the phase \( \phi_k \) is contained in the matrix elements being proportional to the skew parameter \( t_3 \). Proceeding now with the transformation

\[
U_2 = \frac{1}{\sqrt{2}} \begin{pmatrix}
1 & -1 & 0 & 0 \\
1 & 1 & 0 & 0 \\
0 & 0 & 1 & -1 \\
0 & 0 & 1 & 1 \\
\end{pmatrix}
\] (A4)

we find

\[
H_2 = U_2 H_1 U_2^\dagger = \frac{1}{2} \begin{pmatrix}
e_1 & c & -is & -is \\
c & e_2 & is & is \\
is & -is & -e_2 & c \\
is & -is & c & e_1 \\
\end{pmatrix}
\] (A5)

with

\[
e_1 = 2t|\gamma(\vec{k})| + t_\perp - t_3|\gamma(\vec{k})| \cos(3\phi_k),
\] (A6)

\[
e_2 = -2t|\gamma(\vec{k})| + t_\perp - t_3|\gamma(\vec{k})| \cos(3\phi_k),
\] (A7)

\[
c = t_\perp + t_3|\gamma(\vec{k})| \cos(3\phi_k),
\] (A8)

\[
s = t_3|\gamma(\vec{k})| \sin(3\phi_k).
\] (A9)
Here it is useful to split the above matrix as \( H'_2 = H'_2 + H''_2 \), where
\[
H'_{2} = \frac{1}{2} \begin{pmatrix} e_1 & 0 & -is \\ 0 & e_2 & is \\ is & 0 & -e_2 \\ 0 & -is & 0 \end{pmatrix}, \quad H''_{2} = \frac{1}{2} \begin{pmatrix} 0 & c & 0 & -is \\ c & 0 & is & 0 \\ 0 & -is & 0 & c \\ is & 0 & c & 0 \end{pmatrix}.
\] (A10)

\( H'_2 \) is diagonalized by
\[
U_3 = \begin{pmatrix} \alpha_+ & 0 & -i\sigma\alpha_- & 0 \\ 0 & -i\sigma\alpha_+ & \alpha_+ & 0 \\ -i\sigma\alpha_- & 0 & \alpha_- & 0 \\ 0 & \alpha_- & 0 & -i\sigma\alpha_+ \end{pmatrix}
\] (A11)
with \( \sigma = \text{sign}(\sin[3\phi(\vec{k})]) \) and
\[
\alpha_{\pm} = \sqrt{\frac{1}{2} \left( 1 \pm \frac{t_{\perp} - t_{\parallel} |\gamma(\vec{k})| \cos(3\phi_2)}{\sqrt{t_{\perp}^2 + t_{\perp}^3 |\gamma(\vec{k})|^2 - 2t_{\perp}t_{\parallel} |\gamma(\vec{k})| \cos(3\phi_2)}} \right)}
\] (A12)
such that
\[
H_3 = U_3 H_2 U_3^\dagger = \begin{pmatrix} \zeta_1 & id\sigma & 0 & b \\ -id\sigma & \zeta_2 & b & 0 \\ 0 & b & -\zeta_2 & id\sigma \\ b & 0 & -id\sigma & -\zeta_1 \end{pmatrix}.
\] (A13)

where
\[
d = \frac{(t_{\perp}^2 - t_{\parallel}^3 |\gamma(\vec{k})|^2)/2}{\sqrt{t_{\perp}^2 + t_{\perp}^3 |\gamma(\vec{k})|^2 - 2t_{\perp}t_{\parallel} |\gamma(\vec{k})| \cos(3\phi_2)}}.
\] (A14)
\[
b = \frac{t_{\perp}t_{\parallel} |\gamma(\vec{k})| |\sin(3\phi_2)|}{\sqrt{t_{\perp}^2 + t_{\perp}^3 |\gamma(\vec{k})|^2 - 2t_{\perp}t_{\parallel} |\gamma(\vec{k})| \cos(3\phi_2)}}.
\] (A15)
and \( \pm \zeta_1 \) and \( \pm \zeta_2 \) are eigenvalues of \( H'_2 \) given by
\[
\zeta_{1/2} = \frac{1}{2} \left( \pm 2t |\gamma(\vec{k})| + \sqrt{t_{\perp}^2 + t_{\perp}^3 |\gamma(\vec{k})|^2 - 2t_{\perp}t_{\parallel} |\gamma(\vec{k})| \cos(3\phi_2)} \right).
\] (A16)

Splitting now \( H_3 \) in the form
\[
H_3 = \begin{pmatrix} \zeta_1 & id & 0 & 0 \\ -id & \zeta_2 & 0 & 0 \\ 0 & 0 & -\zeta_2 & id \\ 0 & 0 & -id & -\zeta_1 \end{pmatrix} + \begin{pmatrix} 0 & 0 & 0 & b \\ 0 & 0 & b & 0 \\ 0 & b & 0 & 0 \\ b & 0 & 0 & 0 \end{pmatrix}.
\] (A17)

the first part is diagonalized by
\[
U_4 = \begin{pmatrix} -i\sigma\tau\beta_+ & \beta_- \\ \beta_- & -i\sigma\tau\beta_+ \\ 0 & -i\sigma\tau\beta_+ \\ 0 & \beta_- \end{pmatrix}
\] (A18)
with \( \tau = \text{sign}(d) \) and
\[
\beta_{\pm} = \frac{1}{\sqrt{2}} \left( 1 \pm \frac{\zeta_1 - \zeta_2}{\sqrt{(\zeta_1 - \zeta_2)^2 + 4d^2}} \right)
\] (A19)
while the second part is left unchanged by \( U_4 \), resulting in
\[
H_4 = U_4 H_3 U_4^\dagger = \begin{pmatrix} e_1 & 0 & 0 & b \\ 0 & e_2 & b & 0 \\ 0 & b & -e_2 & 0 \\ b & 0 & 0 & -e_1 \end{pmatrix}
\] (A20)
with the diagonal elements given in terms of
\[
\epsilon_{1/2} = \frac{1}{2}(\zeta_1 + \zeta_2 \pm \sqrt{(\zeta_1 - \zeta_2)^2 + 4d^2}).
\]  
(A21)

Finally, \( H_4 \) is brought into diagonal form via
\[
U_5 = \begin{pmatrix}
\gamma_+^{(1)} & 0 & 0 & \gamma_-^{(1)} \\
0 & \gamma_+^{(2)} & \gamma_-^{(2)} & 0 \\
0 & \gamma_-^{(2)} & -\gamma_+^{(2)} & 0 \\
\gamma_-^{(1)} & 0 & 0 & -\gamma_+^{(1)}
\end{pmatrix}
\]  
(A22)

with
\[
\gamma_\pm^{(1)} = \frac{1}{\sqrt{2}} \left( 1 \pm \frac{\epsilon_1}{E_2} \right), \quad \gamma_\pm^{(2)} = \frac{1}{\sqrt{2}} \left( 1 \pm \frac{\epsilon_2}{E_2} \right),
\]  
(A23)

and
\[
E_{1/2} = \sqrt{\epsilon_{1,2}^2 + b^2} = \sqrt{\frac{1}{2} \left( t_{22}^2 + t_{11}^2 |\gamma(\vec{k})|^2 \right) + 2t_2^2 |\gamma(\vec{k})|^2 \pm \sqrt{4t_2^2 |\gamma(\vec{k})|^4 (t_{22}^2 + t_{11}^2 |\gamma(\vec{k})|^2) - 2t_1 t_2 |\gamma(\vec{k})| \cos(3\phi_1) + (t_{11}^2 - t_{22}^2 |\gamma(\vec{k})|^2)^2}}.
\]  
(A24)

Thus,
\[
U_5 H_4 U_5^\dagger = \text{diag}(E_1, E_2, -E_2, -E_1),
\]  
(A26)

and the matrix elements of the corresponding total transformation \( U = U_5 U_4 U_3 U_2 U_1 \) can be expressed as
\[
U_{11} = \frac{1}{2}(\alpha_- - i\sigma\alpha_+)(\tau\beta_+ - \beta_-)(\gamma_+^{(1)} - i\sigma\gamma_-^{(1)}),
\]  
(A27)
\[
U_{12} = \frac{1}{2}(\alpha_+ - i\sigma\alpha_-)(\tau\beta_+ + \beta_-)(\gamma_+^{(1)} - i\sigma\gamma_-^{(1)}),
\]  
(A28)
\[
U_{13} = \frac{e^{i\phi_1}}{2}(\alpha_- - i\sigma\alpha_+)(\tau\beta_+ - \beta_-)(\gamma_+^{(1)} + i\sigma\gamma_-^{(1)}),
\]  
(A29)
\[
U_{14} = \frac{e^{-i\phi_1}}{2}(\alpha_+ + i\sigma\alpha_-)(\tau\beta_+ - \beta_-)(\gamma_+^{(1)} - i\sigma\gamma_-^{(1)}),
\]  
(A30)

and
\[
U_{21} = -\frac{1}{2}(\alpha_+ + i\sigma\alpha_-)(\tau\beta_+ - \beta_-)(\gamma_+^{(2)} - i\sigma\gamma_-^{(2)}),
\]  
(A31)
\[
U_{22} = -\frac{1}{2}(\alpha_- + i\sigma\alpha_+)(\tau\beta_+ + \beta_-)(\gamma_+^{(2)} + i\sigma\gamma_-^{(2)}),
\]  
(A32)
\[
U_{23} = -\frac{e^{i\phi_1}}{2}(\alpha_- + i\sigma\alpha_-)(\tau\beta_+ + \beta_-)(\gamma_+^{(2)} + i\sigma\gamma_-^{(2)}),
\]  
(A33)
\[
U_{24} = -\frac{e^{-i\phi_1}}{2}(\alpha_- - i\sigma\alpha_+)(\tau\beta_+ + \beta_-)(\gamma_+^{(2)} - i\sigma\gamma_-^{(2)}),
\]  
(A34)

which are the complex conjugates of the components of the eigenvectors of the conduction-band states with positive energies \( E_1(\vec{k}), E_2(\vec{k}) \), while
\[
U_{31} = \frac{1}{2}(\alpha_- - i\sigma\alpha_+)(\tau\beta_+ - \beta_-)(\gamma_+^{(2)} - i\sigma\gamma_-^{(2)}),
\]  
(A35)
\[
U_{32} = \frac{1}{2}(\alpha_- + i\sigma\alpha_+)(\tau\beta_+ + \beta_-)(\gamma_+^{(2)} + i\sigma\gamma_-^{(2)}),
\]  
(A36)
\[
U_{33} = -\frac{e^{i\phi_1}}{2}(\alpha_- + i\sigma\alpha_-)(\tau\beta_+ + \beta_-)(\gamma_+^{(2)} - i\sigma\gamma_-^{(2)}),
\]  
(A37)
\[
U_{34} = -\frac{e^{-i\phi_1}}{2}(\alpha_- - i\sigma\alpha_+)(\tau\beta_+ + \beta_-)(\gamma_+^{(2)} + i\sigma\gamma_-^{(2)}),
\]  
(A38)
In the former case, the four dispersion branches and TRIGONAL WARPING IN BILAYER GRAPHENE: ENERGY . . . PHYSICAL REVIEW B 93 correspond to the valence-band states with negative energies \([-E_2(\vec{k})], [-E_1(\vec{k})]\). Note that all factors involving \(\alpha_\pm, \gamma_\pm^{(1)}, \gamma_\pm^{(2)}\) in the above expressions have modulus 1, i.e., they are phase factors.

APPENDIX B: CONTINUITY PROPERTIES

The eigenvectors corresponding to the energy branches \([\pm E_2(\vec{k})]\) are discontinuous at wave vectors determined by the condition (7). This comes about as follows: The matrix elements between the layers, \(U_{2,1,\alpha}(\vec{k}), U_{3,1,\alpha}(\vec{k}), \alpha \in \{1,2,3,4\}\) contain the quantities \(\gamma_\pm^{(2)}\) defined in Eqs. (A23), whereas the \(U_{1,1,\alpha}(\vec{k}), U_{3,3,\alpha}(\vec{k})\) corresponding to \([\pm E_1(\vec{k})]\) involve \(\gamma_\pm^{(1)}\). Fixing now \(\cos(\phi_2) = -1\), we have \(b = 0\) such that \(E_1 = \epsilon_1 \geq 0\) and \(E_2 = |\epsilon_2|\) such that \(\gamma_\pm^{(1)}\) remain continuous while \(\gamma_\pm^{(2)}\) become

\[
\gamma_\pm^{(2)} = \sqrt{\frac{1}{2} \left(1 \pm \frac{\epsilon_2}{|\epsilon_2|}\right)}.
\]

Inspection of Eq. (A21) now shows that for \(\cos(\phi_2) = -1\),

\[
\epsilon_2(\vec{k}) \begin{cases} 
> 0 & |\gamma(\vec{k})| \leq t_{11}t_3/t^2, \\
< 0 & |\gamma(\vec{k})| > t_{11}t_3/t^2,
\end{cases}
\]

such that \(\epsilon_2(\vec{k})\) changes sign for \(|\gamma(\vec{k})| = t_{11}t_3/t^2\), i.e., \(\gamma_\pm^{(2)}\) is discontinuous at wave vectors given by the condition (7). This discontinuity is inherited by the correlation matrix and, in turn, by the entanglement spectrum.

The technical reason for this discontinuity in the eigenvectors is of course the fact that the dispersions \([\pm E_2(\vec{k})]\) become degenerate at wave vectors fulfilling (7). In fact, the eigenvectors can also be considered as continuous functions of the wave vector by appropriately relabeling the dispersion branches. In the ground state of the undoped bilayer system, however, only the lower branch \([-E_2(\vec{k})]\) is occupied, which makes the discontinuity unavoidable.

To circumvent this discontinuity, one can open an energy gap between the upper and lower central band such that the corresponding eigenstates are necessarily continuous for all wave vectors. Among the various mechanisms producing such a gap, only a few allow for a still halfway convenient analytical treatment of the Hamiltonian. These include introducing identical mass terms in both layers, i.e., \(H \mapsto H + H'\), with

\[
H' = \text{diag}(m, -m, -m, m),
\]

or applying a bias voltage \(\Lambda\) between the layers,

\[
H' = \text{diag}(-\Lambda/2, \Lambda/2, -\Lambda/2, \Lambda/2).
\]

In the former case, the four dispersion branches \([\pm E_1(\vec{k})], [\pm E_2(\vec{k})]\) are given by

\[
E_{1/2}(\vec{k}) = \left[m^2 + \frac{1}{2}(t_{11}^2 + t_{33}^2|\gamma(\vec{k})|^2) + 2t^2|\gamma(\vec{k})|^2 \right]^{1/2}
\]

while for a bias voltage one finds [27]

\[
E_{1/2}(\vec{k}) = \left[\Lambda^2 + \frac{1}{2}(t_{11}^2 + t_{33}^2|\gamma(\vec{k})|^2) + 2t^2|\gamma(\vec{k})|^2 \right]^{1/2}
\]

In both cases, the central energy bands \([\pm E_2(\vec{k})]\) are separated by a gap, and the spectrum can still be given in terms of comparably simple closed expressions since the characteristic polynomial of the \(4 \times 4\) Hamiltonian matrix is a second-order polynomial in the energy squared leading to a spectrum being symmetric around zero. Also, the corresponding eigenvectors can
be obtained in closed analytical forms by procedures analogous to (but in detail somewhat more complicated than) the one given in Appendix A [43].

Note that applying a bias voltage as well as introducing a mass term in each layer discriminates the layers against each other. The latter circumstance is due to the fact that $t_L$ couples sublattices in different layers for which the mass term has a different sign. As a result, when tracing out, say, one layer of an undoped (i.e., half-filled) bilayer system, the remaining layer will not be half-filled, which obscures somewhat the comparison with an undoped graphene monolayer.

APPENDIX C: CORRELATION MATRICES

Upon tracing out layer 1 from the ground state of the undoped bilayer system, the correlation matrix reads in the basis $(a_{2k}^\dagger, b_{2k}^\dagger)|0\rangle$

$$C(k) = \begin{pmatrix} U_{31}U_{31}^* + U_{41}U_{41}^* & U_{31}U_{33}^* + U_{41}U_{43}^* \\ U_{33}U_{31}^* + U_{43}U_{41}^* & U_{33}U_{33}^* + U_{43}U_{43}^* \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & u(k) \\ u^*(k) & \frac{1}{2} \end{pmatrix}$$  \hspace{1cm} (C1)

with

$$u(k) = \frac{e^{-i\phi}}{4} (\beta_+^2 - \beta_-^2)((\gamma_+^{(1)} - i\sigma\gamma_-^{(1)})(\gamma_+^{(2)} - i\sigma\gamma_-^{(2)}))^{\frac{1}{2}}.$$  \hspace{1cm} (C2)

This quantity becomes singular at the corners of the Brillouin zone where $\gamma(k)$ is zero such that its phase is ill-defined, and at the positions of the satellite Dirac cones of the energy spectrum where, as discussed in Appendix B, $\gamma_\pm^{(2)}$ is discontinuous.

Tracing out the sublattices A1 and B2, one finds in the basis $(a_{2k}^\dagger, b_{2k}^\dagger)|0\rangle$

$$C(k) = \begin{pmatrix} U_{31}U_{31}^* + U_{41}U_{41}^* & U_{31}U_{32}^* + U_{41}U_{42}^* \\ U_{32}U_{31}^* + U_{42}U_{41}^* & U_{32}U_{32}^* + U_{42}U_{42}^* \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & v(k) \\ v^*(k) & \frac{1}{2} \end{pmatrix}$$  \hspace{1cm} (C3)

with

$$v(k) = \frac{(\alpha_- - i\sigma\alpha_+)^2}{4}(\beta_+^2 - \beta_-^2)((\gamma_+^{(1)} - i\sigma\gamma_-^{(1)})(\gamma_+^{(2)} - i\sigma\gamma_-^{(2)}))^{\frac{1}{2}}.$$  \hspace{1cm} (C4)

Note that the expressions (C2) and (C4) obey the interesting sum rule

$$|u(k)|^2 + |v(k)|^2 = \frac{1}{2},$$  \hspace{1cm} (C5)

which is fulfilled whenever the coefficients involved satisfy

$$\alpha_-^2 + \alpha_+^2 = \beta_+^2 + \beta_-^2 = (\gamma_+^{(1/2)})^2 + (\gamma_-^{(1/2)})^2 = 1,$$  \hspace{1cm} (C6)

which is the case here by construction.

Finally, the correlation matrix obtained by tracing out the sublattices A1 and A2 is proportional to the unit matrix,

$$C(k) = \begin{pmatrix} U_{32}U_{32}^* + U_{42}U_{42}^* & U_{32}U_{33}^* + U_{42}U_{43}^* \\ U_{33}U_{32}^* + U_{43}U_{42}^* & U_{33}U_{33}^* + U_{43}U_{43}^* \end{pmatrix} = \begin{pmatrix} \frac{1}{2} & 0 \\ 0 & \frac{1}{2} \end{pmatrix},$$  \hspace{1cm} (C7)

implying that the remaining subsystem is maximally entangled with the subsystem traced out.