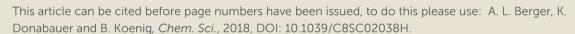
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Photocatalytic Barbier Reaction – Visible-Light induced Allylation and Benzylation of Aldehydes and Ketones

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We report a photocatalytic version of the Barbier type reaction using readily available allyl or benzyl bromides and aromatic aldehydes or ketones as starting materials to generate allylic or benzylic alcohols. The reaction proceeds at room temperature under visible light irradiation with the organic dye 3,7-di(4-biphenyl)1-naphthalene-10-phenoxazine as a photocatalyst and DIPEA as sacrificial electron donor. The proposed cross-coupling mechanism of a ketyl- and an allyl or benzyl radical is supported by spectroscopic investigations and cyclic voltammetry measurements.

Introduction

Although first reported over a century ago, Barbier-type reactions are still important tools for carbon-carbon bond formations in organic synthesis today. [1] In the classical Barbier reaction a metal, e.g. zinc^[2] or magnesium^[3] is able to insert in the carbon-halide bond of a reactive organic halide to form a nucleophilic organometallic intermediate 4 which can undergo a reaction with various electrophiles, like aldehydes or ketones to form the corresponding secondary or tertiary alcohols as products (Scheme 1a). One of the main application of Barbier reactions is the synthesis of allylic or benzylic alcohols from an aldehyde or ketone and allyl or benzyl bromide using a metal as reductant.[4] Over the years, Barbier-type reactions have been developed further, and today they are known for many different substrates^[5] and with various metals e.g. tin^[6], indium,^[7] praseodymium^[8] or manganese.^[9] While these methods offer a wide variety of reaction conditions, they all are overall two-electron processes which is why they require the use of a stoichiometric amount of metal as a reductant. Using a photoredox catalyst to access an organic electron source instead of a metal would represent an interesting and more environmentally benign alternative. However, photoredox catalyzed two-electron processes are scarce, as photocatalytic reactions usually proceed via radical intermediates that are generated by a photoinduced single electron transfer (SET).[10] To generate carbanion synthons with similar reactivity as the nucleophilic organometallic intermediate in classical Barbiertype reactions, two consecutive SETs would be required to generate a radical first followed by another reduction to the corresponding carbanion.[11] Due to the high reactivity of most radicals and their low concentration in photocatalytic reactions this process is rather unlikely. Another strategy to enable photocatalytic two-electron processes would be a reductive radical-radical cross coupling where one electron is transferred to each starting material, generating two radical intermediates that can recombine to give the desired product (Scheme 1b). $^{[12]}$ Photocatalytic reductions of aromatic aldehydes to the corresponding alcohols have been known since a report by Pac $et\ al.$ in 1983 $^{[13]}$ and in 1990 the formation of diols as homocoupling products of ketyl radical anions has been observed. $^{[14]}$

a) Classical zinc mediated Barbier-type reaction

b) This work

c) Photoredox-catalyzed reductive coupling of aldehydes and ketones

d) Polarity-reversed allylation of aldehydes and ketones

Scheme 1 - a) Classical and b) photocatalytic version of the Barbier-type reaction; c and d) other photocatalytic reactions with ketyl radicals.

After having been used only rarely in photoredox catalysis for many years, there has been an increasing number of reports about photocatalytic reductions of aldehydes and ketones

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recently. Ketyl radicals have often been used for radical-radical coupling reactions, $^{\left[12,\ 15\right]}$ e.g. in the work of Rueping and coworkers about a photoredox-catalyzed reductive dimerization of aldehydes and ketones (Scheme 1c)[15b] or in the reductive arylation of carbonyl derivatives by Xia et al. in 2017.[12] Apart from radical-radical coupling reactions, it is also possible to use ketyl radicals for cyclization reactions^[16] or to trap them intermolecularly with alkenes.[17] In the work of Chen and cohydroxymethyl radicals derived from the photocatalytic reduction of aldehydes or ketones are added to allyl sulfones (Scheme 1d) to form the corresponding homoallylic alcohols as products.^[17a] While this is an elegant method for the photocatalytic allylation of aldehydes and ketones, it is only possible for allyl sulfones with electron withdrawing CO₂Et-groups. A photochemical method for the allylation and benzylation of ketones and 1,2-diketones using organotrifluoroborate has been reported in 2009 by Nishigaichi et. al.[18] We developed a method for the direct photocatalytic synthesis of allylic and benzylic alcohols from ketones or aldehydes and allyl or benzyl bromides with an organic photocatalyst via a reductive radical-radical cross coupling.

Results and Discussion

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For the optimization of the reaction conditions, we used the readily available substrates benzaldehyde (1a) and allyl bromide (2a) as starting materials. Initial experiments have shown that we could obtain 22% of the desired product 3a when the reaction was performed in dry DMF with 4CzIPN (A) as a photocatalyst and DIPEA as sacrificial electron donor (Table 1, entry 1).

By using 3,7-di(4-biphenyl) 1-naphthalene-10-phenoxazine (**B**) as a photocatalyst^[19] the yield could be increased to 38% (Table 1- entry 2) and by changing the irradiation wavelength from 455 to 400 nm and the solvent from DMF to DMA a yield of 54% could be obtained (Table 1- entry 3). With the iridium-based photocatalyst **C** only 21% of **3a** was formed (Table 1- entry 4). By adding 1.5 equivalents of LiBF₄ to the reaction mixture the formation of the diol homocoupling product of **1a** could be suppressed, which further increased the yield to 64% (Table 1- entry 5). [15a] Reducing the reaction time from 18 to 2 hours only slightly decreased the yield (Table 1- entry 6).

Table 1 - Optimization of the reaction conditions.[a]

O Br			PC PIPEA		OH OH		OH		
			ent, DIPEA, e, hv, 25 °C,	.t		C d	Н		
1a 2a		, , , , , ,		3a		5a		8a	
Entry	PC (mol%,	Solvent	DIPEA	Additive	t	Yield 3a	Yield 5a	Yield 8a	
	hv, [nm])		(eq.)	(eq.)	[h]	[%] ^[b]	[%] ^[c]	[%] ^[d]	
1	A (5, 455)	DMF (dry)	6	-	18	22	15	31	
2	B (5, 455)	DMF (dry)	6	-	18	38	8	17	
3	B (5, 400)	DMA	6	-	18	54	14	23	
4	C (2, 455)	DMA	6	-	18	21	19	43	
5	B (5, 400)	DMA	6	LiBF ₄ (1.5)	18	64	13	23	
6	B (5, 400)	DMA	6	LiBF ₄ (1.5)	2	59	12	28	
7	B (5, 400)	DMA	-	LiBF ₄ (1.5)	18	0	0	0	
8	B (5, dark)	DMA	6	LiBF ₄ (1.5)	18	0	0	0	
9	– (400)	DMA	6	LiBF ₄ (1.5)	15	46	3	15	
10	– (400)	DMA	6	LiBF ₄ (1.5)	4	3	2	6	
11	– (455)	DMA	6	LiBF ₄ (1.5)	15	0	0	0	
NC CR3 (-Bu PF6)									
	4CzIPN		В			С			

[a] The reactions were performed using 1 eq. (0.2 mmol) 1a and 2 eq. (0.4 mmol) 2a in 2 mL degassed solvent under nitrogen, [b] yields were determined by GC analysis with 1-naphthol as an internal standard, [c] yields were determined by crude NMR with 1,3,5-trimethoxybenzene as an internal standard, [d]yields were determined by GC analysis with 1-naphthol as an internal standard.

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While light and DIPEA are necessary for product formation (Table 1 – entries 7 and 8), the reaction also works in moderate yields without photocatalyst at 400 nm (46%, Table 1 – entry 9). However, the presence of the photocatalyst significantly accelerates the reaction as we already obtain complete conversion after 3 hours with 5 mol% of B, while only traces of 3a were formed after the same time without photocatalyst (Table 1 - entry 10). When the reaction was performed at 455 nm without ${\bf B}$ no product formation could be observed (Table 1 - entry 11). As shown in Table 1, varying amounts of the homocoupling products 5a and 8a are formed under all tested reaction conditions. Due to the use of an excess of allyl bromide (2a), the generation of 8a has little influence on the yield of the reaction. In contrast, the formation of the diol homocoupling product 5a decreases the yield of the desired product significantly, as two equivalents of the stoichiometric reagent 1a are required to form one equivalent of 5a.

The scope of the reaction was investigated using the optimized reaction conditions (Table 2). Apart from allyl bromide (2a) the reaction also worked in moderate to good yields with 3-bromo cyclohexene (2b), benzyl bromide (2c) (1-bromoethyl)benzene (2d). When 3,3-dimethylallyl bromide (2e) was used, a mixture of product 3n-a and 3n-b was obtained, with 3n-a being the main product. Using alkyl or phenyl bromides did not lead to any product formation, probably because the radicals formed upon reduction and debromination are too unstable to undergo the coupling reaction. Aromatic aldehydes containing ester groups (3e, 3f), or aliphatic aldehydes (3g) were also tolerated in the reaction with moderate yields. Notably, the reaction selectively takes place at the carbonyl group in benzylic position, while other carbonyl groups in the molecule remain unchanged. Apart from benzaldehydes which gave moderate yields (3a-3g) the reaction also works well with 1- and 2naphthaldehyde (3i, 3j) and with the heterocyclic 2thiophenecarboxaldehyde (3h). Good yields were obtained when benzophenone was used (3k-3n) and 1,2-diketones (3r- ${\bf 3u})$ are also viable substrates. Using a non-symmetric diketone with an electron rich and an electron poor arene gave a mixture of product 3t-a and 3t-b with only a slight preference of the less electron rich position (3t-a). Product 3u shows an important advantage over the classical Barbier reaction, as the reaction selectively takes place at the sterically more hindered ketone next to the aromatic system. Halogen substituted substrates (3o, 3p, 3s, 3t) and substrates containing a methoxy group (3v) were also tolerated. Alkyl aldehydes and ketones did not yield any product as they have significantly lower reduction potentials and can therefore not be reduced by B $(E_{1/2}^{red}(benzophenone 1e) = -1.83 \text{ V } vs \text{ SCE},^{[20]} \text{ compared to}$ $E_{1/2}^{\text{red}}$ (cyclohexanone **2m**) = -2.79 V vs SCE^[15a]). Additionally, an aromatic system in α -position to the carbonyl group seems to be required, probably due to the enhanced stability of the ketyl radical.

As moderate yields are obtained in many cases, the side products of the reaction were determined for selected examples (3a, 3b, 3c, 3f, 3h, 3i). The diol homocoupling products 5 were observed in all examples. In some cases,

remaining starting material was observed (3b, 3c, 3f, 3h) which indicates an incomplete reaction. While the home coupling of 2 did not have any influence on the yield in most cases, it seems to have significant effect when benzyl bromide (2c) was used. This can be seen in the case of 3c, where 66% of the homocoupling product 8a was formed.

Table 2 - Scope of the reaction.[a]

 $\ensuremath{^{[a]}}$ The reactions were performed using 1 eq. (0.2 mmol) $\boldsymbol{1}$ and 2 eq. (0.4 mmol) 2 in 2 mL degassed DMA under nitrogen, all yields are of the isolated products, [b] a 1:1 mixture of the syn- and anti-product was obtained, [c] yields of the side products were determined by crude NMR with 1,3,5-trimethoxybenzene as an internal standard.

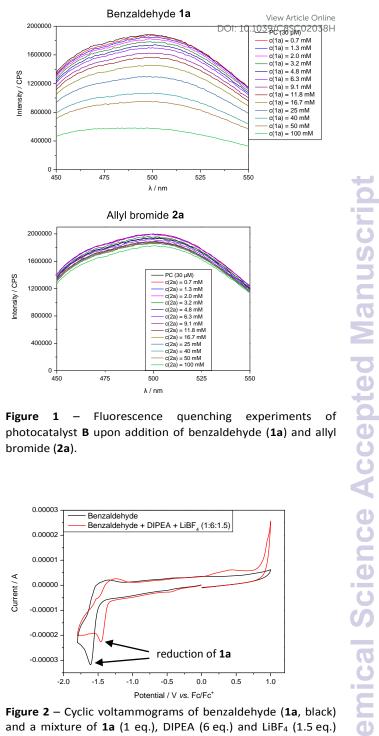
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Control reactions have shown that under the reaction conditions the homocoupling products of benzaldehyde (5a) and allyl or benzyl bromide (8) could be observed (Scheme 2a). This confirms that the ketyl- (1a*-) as well as the allyl- (2a*) or benzyl radical (2c°) are present in the reaction mixture and lead to product formation via a radical-radical cross-coupling reaction. Notably, the homocoupling products of 2a and 2c are formed also without photocatalyst just by irradiating a mixture of the bromide 2 and DIPEA with 400 nm while the photocatalyst is required for the formation of the diol 5a from benzaldehyde. However, DIPEA and 400 nm light are both crucial for the formation of allyl radicals, as irradiating only 2a at 400 nm as well as stirring a mixture of 2a and DIPEA in the dark or at 455 nm did not lead to the formation of homocoupling product 8a. It was also possible to trap the allyl radical, which was formed upon irradiation with 1,1diphenylethylene (9) yielding product 10 (Scheme 2b).

a) Homocoupling products formed under the reaction conditions

Scheme 1 - Control reactions for radical-radical cross coupling.

Stern-Volmer fluorescence quenching experiments of photocatalyst B show that the excited state of B is quenched efficiently by benzaldehyde 1a, but not by allyl bromide (2a) or DIPEA (Figure 1). These results are in accord with the prior observations, as they show that radical 1a*- is generated by a SET from B to 1a while the allyl radical (2a*) is formed without photocatalyst. According to cyclic voltammetry, benzaldehyde has a reduction potential of -2.0 V vs. SCE in DMF and should therefore not be in the range of photocatalyst **B** ($E^{0*} = -1.80 \text{ V}$ vs. SCE).[19b, 19c] However, it is known that the potential of aldehydes and ketones can be lowered by activating the carbonyl group with Lewis acids^[15d] or with the oxidized form of the tertiary amine (DIPEA*+).[15b] Indeed, CV-measurements show, that the signal for the reduction of 1a is clearly shifted to lower potentials upon addition of DIPEA and LiBF₄ (Figure 2). This effect could only be observed when both additives were present in the reaction mixture, which explains the role of LiBF₄ in the reaction.



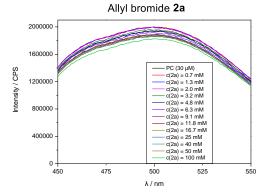


Figure 1 Fluorescence quenching experiments photocatalyst B upon addition of benzaldehyde (1a) and allyl bromide (2a).

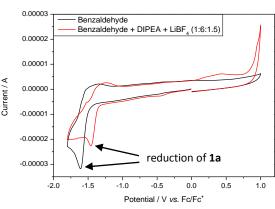


Figure 2 - Cyclic voltammograms of benzaldehyde (1a, black) and a mixture of 1a (1 eq.), DIPEA (6 eq.) and LiBF₄ (1.5 eq.) (red); the peak that corresponds to the reduction of 1a is shifted to lower potentials upon addition of DIPEA and LiBF₄.

Although the mixture of allyl bromide and DIPEA has no detectable absorbance at 400 nm, there seems to be a weak interaction between 2a and DIPEA leading to the absorption of small amounts of light and initiating an electron transfer from the amine to 2a. After a few minutes of irradiation, the absorption spectrum of the reaction mixture changes and an absorbance band with $\lambda_{\text{max, abs}}$ = 413 nm arises, therefore

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enabling the efficient absorbance of 400 nm light and speeding up the reaction (Figure 3).

To gain further insight, the quantum yield of the reaction was measured. While the determined value of φ = 7.6 % is rather high for photocatalytic reactions, it is in accordance with the fast reaction times.

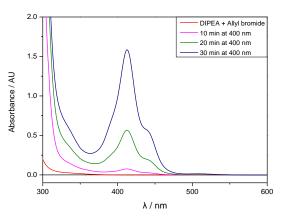
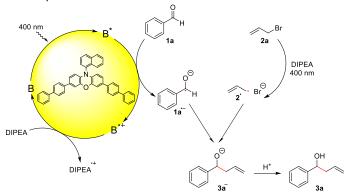


Figure 3 – UV/Vis absorption spectra of allyl bromide (**2a**, **1** eq.) and DIPEA (**3** eq.) in DMA before irradiation and after **10**, **20** and **30** minutes of **400** nm irradiation.

Based on these mechanistic investigations and recent literature reports, [15, 21] we propose the reaction mechanism depicted in Scheme 4. Photocatalyst **B** is excited upon irradiation with 400 nm light and benzaldehyde (1a) can be reduced to the ketyl radical 1a°- by a SET from the excited photocatalyst B*. DIPEA acts as a sacrificial electron donor to regenerate the photocatalyst from its oxidized form B°+ to the ground state **B**. Irradiation of allyl bromide and DIPEA initiates an electron transfer, which after the cleavage of Br¹, leads to the formation of the allyl radical 2a°. The more persistent ketyl radical 1a°-[21] and the transient allyl radical 2a°[22] recombine in a radical-radical cross-coupling, which is in accordance with the persistent radical effect, [21, 23] and after protonation, the desired product 3a is formed.



Scheme 2 – Proposed reaction mechanism.

Conclusion

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In summary, we have developed a photocatalytic version of the Barbier-type reaction, which generates allylic or benzylic alcohols from aldehydes or ketones and allyl- or benzyl bromides under mild conditions *via* a radical-radical crosscoupling. Instead of using stoichiometric amounts of zerovalent metal as a reductant to generate an organometallic carbanion synthon, we use an organic photocatalyst, a tertiary amine and visible light to reduce both substrates to the corresponding radicals. The cross-coupling of these radicals leads to the desired product and enables a photocatalytic two electron process.

Conflicts of interest

There are no conflicts to declare.

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