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Original Paper

Immune Architecture of Colorectal Lung Metastases and Implications for Patient Survival

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Key Words

Immune infiltrate · Tumor microenvironment · Cellular infiltration · Prognostic parameters

Abstract

Background: Pulmonary metastases occur in 10–20% of patients with colorectal cancer and significantly influence long-term survival. In this study, the immunological architecture of colorectal lung in comparison to liver metastases and its impact on patient survival were examined. Methods: Specimens of patients with colorectal lung and liver metastases were stained for HE, CD4, CD8, CD20, CD68 and CD45RO. Besides histomorphological evaluation, immunohistochemical stainings were analyzed for the respective cell numbers separately for tumor area, infiltrative margin and distant lung or liver stroma. These findings were correlated with clinical data and patient outcome. **Results:** In colorectal lung (n = 69) in comparison to liver (n = 222) metastases, the immunological focus is located in the tumor region. A high CD4⁺ cell infiltration of this area is associated with prolonged survival of patients after resection of colorectal lung metastases [103 \pm 33 (high) vs. 37 \pm 6 months (low); p = 0.0246]. Patients who were treated with preoperative chemotherapy did not show differences in immune infiltrates compared to chemotherapy-naïve patients. Conclusion: Colorectal lung and liver metastases showed a distinct immunological architecture. A dense cell infiltration of colorectal lung metastases by CD4+ cells was related to prolonged patient survival. Preoperative chemotherapy did not influence cellular immune infiltrates. © 2016 S. Karger AG, Basel





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Introduction

Therapy decisions in patients with primary colorectal carcinomas are currently made according to guidelines based on the UICC/AJCC TNM system that stages these tumors by the extent of wall infiltration of resected tissue and the presence of metastases [1]. To explain considerable outcome variations of patients staged in the same histological category, the immunological tumor microenvironment and its effect on patient outcome have been extensively investigated [2-6]. These studies of primary colorectal carcinomas have demonstrated beneficial survival effects of tumor infiltration by CD4⁺ Th1, CD8⁺ cytotoxic and CD45R0⁺ memory T cells, whereas Th17 cell infiltration is associated with poor patient survival [7–9]. Based on these new results, immune scores have been developed to prognosticate patient survival, which have been proposed as a new component for classification of primary colorectal carcinoma [10]. In addition to these scores, our group investigated colorectal liver metastases, which occur in 40-50% of patients with colorectal cancer and determine longterm survival, in order to elucidate their immune architecture [3]. In this study, we demonstrated that peritumoral infiltration of CD45RO+ cells and a fibrotic capsule containing the liver metastases were independent prognosticators for prolonged survival of patients with colorectal liver metastases [3]. Based on these two factors, we developed a cellular immune score to stratify patients according to prognosis, which can be included in the proposed immune scoring concepts [3]. Not only liver metastases, but also pulmonary metastases, which occur in 10-20% of patients, limit the prognosis of patients with colorectal carcinoma [11, 12]. Despite the fact that pulmonary metastases are the most frequent extraabdominal site of colorectal metastasis, studies that investigated their immune infiltrate are lacking so far [13]. To address this problem, this study elucidated the immunological tumor microenvironment of pulmonary metastases of colorectal carcinoma under the hypothesis that a distinct immune architecture is associated with prolonged patient survival. We further aimed to elaborate differences between immune infiltrates of colorectal liver and lung metastases.

Methods

Patient Selection and Data Collection

This study was performed at the University Medical Center of Regensburg, Germany, and was approved by the local ethics committee (No. 12-101-0009). Patients with colorectal lung metastases (confirmed by histology) who underwent pulmonary surgery at the University Medical Center of Regensburg or at the teaching hospital Barmherzige Brüder Regensburg between 2004 and 2010 were identified using the hospital computer database. For comparison of immune infiltrates, patients with colorectal liver metastases (confirmed by histology) who underwent liver surgery at the University Medical Center of Regensburg between 2004 and 2010 were identified using the hospital computer database. Only patients receiving primary lung resection with curative intent were included (achieved in 97.1% of pulmonary resections). In case of multiple lung metastases, the largest was used for analysis. General patient information, TNM classification of primary tumors and histological data on colorectal lung and liver metastases were obtained from the routine pathology report. If patients had received chemotherapy within 3 months prior to lung resection, this was assessed as 'preoperative chemotherapy'. This included patients that received chemotherapy for pulmonary metastases, for synchronous pulmonary and liver metastases and for the primary tumor. The period of 3 months was chosen to investigate chemotherapeutical effects on immune infiltrates, which would be expected to be much smaller in case of longer time periods accounted for 'preoperative' chemotherapy. Survival data were collected using the database of the Tumorzentrum Regensburg (regional tumor center of East Bavaria), Germany.

Histological Analysis

Tissue cross sections were stained for CD4, CD8, CD45RO, CD20 and CD68 (described in detail in online suppl. materials; for all online suppl. material, see www.karger.com/doi/10.1159/000447555). Digital





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Table 1. Clinical characteristics of patients with colorectal lung metastases

	Yes	No
Male	45 (65.2)	24 (34.8)
Nicotine abuse	13 (18.8)	56 (81.2)
Pulmonary disease	9 (13.0)	60 (87.0)
Synchronous lung metastases	6 (8.7)	63 (91.3)
Singular lung metastases	43 (62.3)	26 (37.7)
R0 resection of lung metastases	67 (97.1)	2 (2.9)
Lymphadenectomy	48 (69.6)	21 (30.4)
Pulmonary lymph nodes positive (out of lymphadenectomy) ^a	8 (16.7)	40 (83.3)
Neoadjuvant chemotherapy prior to resection of lung metastases	12 (17.4)	57 (82.6)
Adjuvant chemotherapy after resection of lung metastases	18 (26.1)	51 (73.9)
Primary tumor colon/rectum	23 (33.3)	46 (66.7)
Primary tumor lymph node metastases	36 (52.2)	33 (47.8)
Synchronous liver metastases	3 (4.3)	66 (95.7)
Primary tumor G2/G3 ^b	48 (80.0)	12 (20.0)
Primary tumor R0 ^b	54 (91.5)	5 (8.5)

Data are presented as n (%). ^a Only performed in 48 patients. ^b Some histology reports of primary tumors incomplete.

images of lung and liver metastases were obtained at ×20 magnification on light microscopy (Carl Zeiss MicroImaging GmbH, Jena, Germany).

The numbers of CD4⁺, CD8⁺, CD45R0⁺, CD20⁺ and CD68⁺ cells were manually counted in 3 randomly selected areas (×20 magnification) using ImageJ 1.45s software (Wayne Rasband, National Institutes of Health, USA; example in online suppl. materials) and the mean was taken separately for tumor region (Tu), infiltrative margin (Im) and distant stroma (Sd). Im was defined as the area within one field of view (×20) next to the tumor, and Sd was defined as outmost area of the specimen in a region that was tumor free.

For survival analyses, patients were divided into two groups depending on the numbers of CD4+, CD20+, CD45R0+, CD68+ and CD8+ cells in the different areas. Cell numbers larger than the respective mean were defined as 'high', smaller as 'low'.

Statistical Analysis

Kaplan-Meier graphs were calculated for overall survival analyses. Group comparisons were made using the log-rank test. Patient data are presented as median with interquartile range (IQR) or as number with percentages. To evaluate correlations, Pearson's correlation, and for continuous variables the Mann-Whitney test was used. The level of significance was set at p < 0.05. All histological evaluations were performed independently in a blinded fashion by 2 examiners (S.M.B., U.H.). In case of divergent evaluation, consensus was achieved reevaluating the cross sections together. To explore reproducibility after some time, random samples were drawn and reevaluated. In all histological evaluations, the concordance rate between the observers and the reproducibility of the results was >95%.

Results

Patient Characteristics

A total of 69 patients (24 female, 35%; 45 male, 65%) with colorectal lung metastases with a median age of 64 years (IQR 57–71) were enrolled. For detailed information on patient characteristics, see table 1. Overall median survival time was 33 months (IQR 17–56) after lung surgery with a 3-year survival rate of 44%. The immune infiltrates of these patients with lung metastases were compared with a collective of 222 patients (83 female, 41%; 118 male, 59%) with a median age of 62 years (IQR 53–68) after resection of colorectal liver metastases [3].



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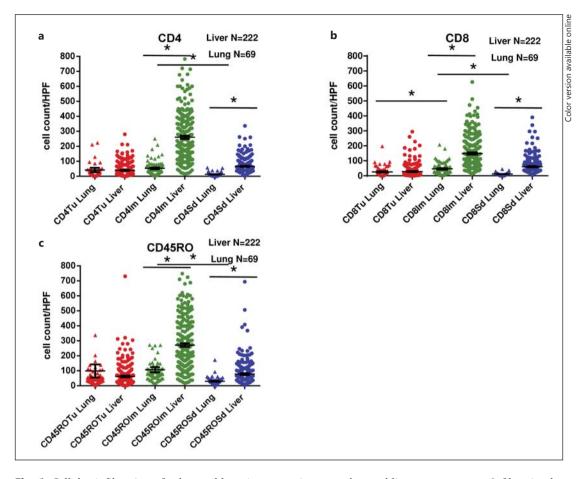


Fig. 1. Cellular infiltration of colorectal lung in comparison to colorectal liver metastases. **a** Infiltration by CD4⁺ cells in the Tu of the liver and lung was comparable (liver 40 ± 3 vs. lung 42 ± 15 cells/HPF; p = 0.8408), whereas in the Im (liver 261 ± 11 vs. lung 54 ± 6 cells/HPF; p < 0.0001) and Sd (liver 66 ± 4 vs. lung 13 ± 2 cells/HPF; p < 0.0001) regions, liver samples showed significantly higher CD4⁺ cell numbers. **b** Infiltration by CD8⁺ cells in the Tu was similar in the liver and lung samples (liver 28 ± 3 vs. lung 26 ± 4 cells/HPF; p = 0.6856), with significantly higher cell numbers in the liver compared to the lung tissue in the Im (liver 149 ± 7 vs. lung 14 ± 1 cells/HPF; p < 14 ± 1

Cellular Immune Infiltration Pattern Is Different in Colorectal Lung Compared to Liver Metastases

Cellular immune infiltration was analyzed separately for Tu, Im and Sd in the comparison between colorectal lung and liver metastases. In the Tu, the infiltration by CD4⁺ cells was comparable between the liver and lung (liver 40 ± 3 vs. lung 42 ± 15 cells/HPF; p = 0.8408), whereas in the Im (liver 261 ± 11 vs. lung 54 ± 6 cells/HPF; p < 0.0001) and also in the Sd (liver 66 ± 4 vs. lung 13 ± 2 cells/HPF; p < 0.0001), liver samples showed significantly higher CD4⁺ cell numbers when compared to lung tissue (fig. 1a). Regarding CD8⁺ cells, the Tu showed a similar cell infiltration in the liver and lung samples (liver 28 ± 3 vs. lung 26 ± 4 cells/HPF; p = 0.6856), with cell numbers being significantly higher in liver tissue when compared to lung tissue in the Im (liver 149 ± 7 vs. lung 46 ± 5 cells/HPF; p < 0.0001) and in



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the Sd (liver 61 ± 4 vs. lung 11 ± 1 cells/HPF; p < 0.0001; fig. 1b). Also in the analysis of CD45RO+ cells, no significant infiltration difference was noted in the Tu (liver 62 ± 5 vs. lung 99 ± 43 cells/HPF; p = 0.1561), whereas the cell numbers of liver samples were significantly higher in the Im (liver 272 ± 11 vs. lung 107 ± 17 cells/HPF; p < 0.0001) and in the Sd (liver 78 ± 6 vs. lung 30 ± 3 cells/HPF; p < 0.0001; fig. 1c). CD20+ (Tu 14 ± 8 ; Im 14 ± 3 ; Sd 1 ± 1 cells/HPF; p = 0.1045) and CD68+ (Tu 8 ± 3 ; Im 32 ± 3 ; Sd 26 ± 2 cells/HPF; p < 0.0001) cells were only analyzed in lung samples without statistically significant differences for CD20+ cells and significant lower numbers of CD68+ cells in the Tu compared to the Im and Sd (online suppl. fig. 1A, B). Additionally, it was noted that in lung metastases, the infiltration of CD4+, CD8+, CD45RO+ and CD20+ cells was significantly less in the distant lung tissue when compared to the Tu and Im. To test if differences in the immune infiltrates of multiple metastases in one single patient might have relevant implications on the results of this study, we analyzed CD4+ cell numbers in 2 different lung metastases. In this comparison of the respective areas of the two metastases, we did not detect any significant differences (online suppl. fig. 4).

Cellular Infiltration Pattern of Colorectal Lung Metastases Influences Patient Survival For survival analysis, the patients were divided into a group with a high infiltration and a group with a low infiltration by the respective cells. Cell numbers larger than the respective mean were defined as 'high', smaller as 'low'. Significantly increased patient survival was noted in patients with high CD4+ [103 ± 33 vs. 37 ± 6 months; hazard ratio 2.91 (95% CI 1.17–4.98); p = 0.0246; fig. 2b] cell numbers in the Tu region compared to patients with a low infiltration by these cells. In contrast, no statistically significant survival differences based on CD4+ cell infiltration in the Im (p = 0.3903; fig. 2c) and in the Sd area were detected (p = 0.6291; fig. 2d). Further, the survival of patients with high CD8+ (Tu p = 0.4359; Im p = 0.8148; Sd p = 0.5402; fig. 3), CD45R0+ (Tu p = 0.5368; Im p = 0.3343; Sd p = 0.8156; fig. 4), CD20+ (Tu p = 0.6110; Im p = 0.6475; Sd p = 0.8420; online suppl. fig. 2) and CD68+ cell numbers (Tu P = 0.8298; Im p = 0.2302; Sd p = 0.2591; online suppl. fig. 3) was not different from that of patients with a low infiltration by the respective cells in the 3 different sample regions analyzed.

Preoperative Chemotherapy Does Not Influence Cellular Immune Infiltrates of Colorectal Lung Metastases

A statistical analysis that compared patients receiving or not receiving preoperative chemotherapy revealed that the respective patient groups generally were comparable with regard to clinical factors. Further, the administration of preoperative chemotherapy did not influence immune cell infiltration of colorectal lung metastases. More specifically, the numbers of CD4+, CD20+, CD45R0+, CD68+ and CD8+ cells in the Tu, but also in the Im and in the distant lung areas were not statistically different between patients that received preoperative chemotherapy and chemotherapy-naïve patients (table 2).

Discussion

In this study, a comparative analysis of colorectal lung and liver metastases detected relevant differences in immune cell infiltrates. More specifically, colorectal liver metastases showed significantly higher numbers of infiltrating cells especially in the Im which demonstrates that in the liver the main immunological interference between host and tumor takes place in this area. This is supported by a previous study that discovered significant differences in patient survival in case of a dense infiltration by CD4+, CD45RO+ and CD8+ cells in the Im [3]. In contrast to this infiltration pattern typical for the liver, the examined lung metas-



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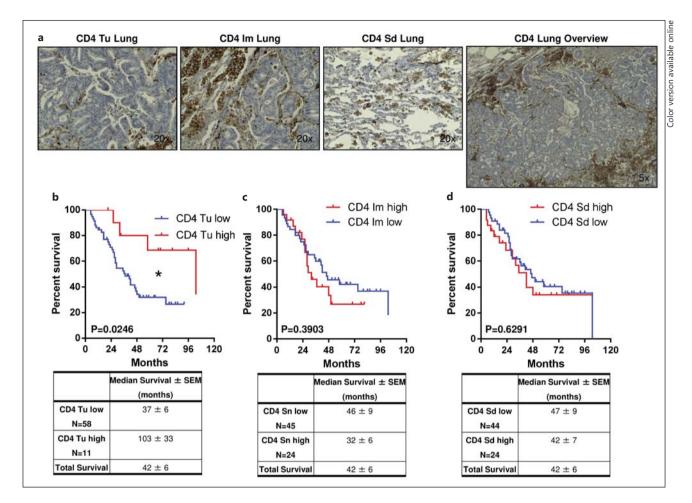


Fig. 2. Dense infiltration of CD4⁺ cells in the tumor is associated with increased patient survival. **a** Representative immunohistochemical stainings for CD4⁺ cells (brown; color refers to the online version only) separately for the Tu, Im and Sd. **b** Increased survival was noted in patients with high CD4⁺ cell numbers in the Tu compared to patients with a low infiltration by CD4⁺ cells [hazard ratio 2.91 (95% CI 1.17–4.98); p = 0.0246]. **c** Survival of patients with high CD4⁺ cell numbers in the Im was not different to that of patients with a low infiltration by these cells (p = 0.3903). **d** No statistical difference in survival was detected between patients with low and high CD4⁺ cell numbers in the Sd area (p = 0.6291). * p < 0.05.

tases showed a homogenous cell infiltration of the Tu and Im with significantly decreased cell accumulation in the distant lung tissue. This depicts that the immunological site of action in colorectal lung metastases is located in the Tu and in its Im. However, survival is associated with cell infiltration in the tumor.

To prove that this pulmonary-specific cellular immune architecture has implications for survival of patients after resection of colorectal lung metastases, a survival analysis was performed comparing patients with a 'high' and 'low' immune cell infiltration in the respective areas. Indeed, patients with a 'high' infiltration by CD4+ cells within the Tu survived significantly longer when compared to patients with a low CD4+ cell infiltration. This is in accordance with previous studies that found CD4+ Th1 cells infiltrating the primary colorectal carcinoma to be beneficial for long-term patient survival and that this fact can be used for risk stratification [8, 9, 14, 15]. These survival differences with regard to CD4+ cell infiltration in the Tu were not detectable in the Im and in the lung periphery, which underlines the immu-



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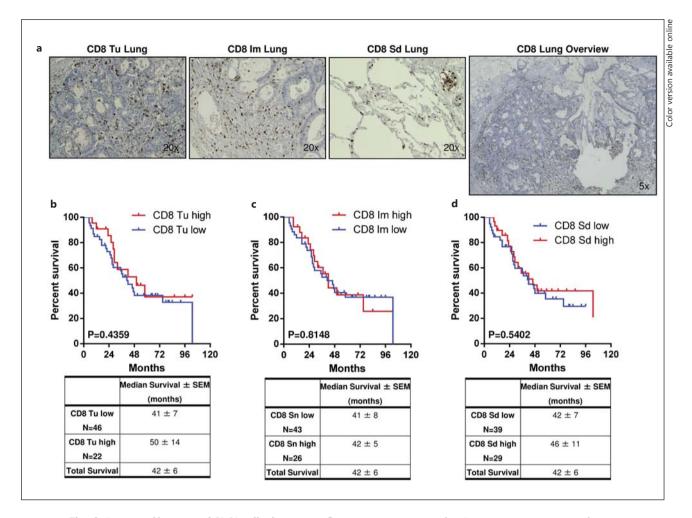


Fig. 3. Dense infiltration of CD8+ cells does not influence patient survival. **a** Representative immunohistochemical stainings for CD8+ cells (brown; color refers to the online version only) separately for the Tu, Im and Sd. **b** Survival did not differ in patients with high CD8+ cell numbers in the Tu compared to patients with a low infiltration by CD8+ cells (p = 0.4359). **c** Survival of patients with high CD8+ cell numbers in the Im was not different to that of patients with a low infiltration by these cells (p = 0.8148). **d** No statistical difference in survival was detected between patients with low and high CD8+ cell numbers in the Sd area (p = 0.5402).

nological importance of the Tu specifically in lung metastases. This finding is supported by a study that compared colorectal lung metastases with their primary tumor and renal cell carcinoma lung metastases. In this study, a 'high' cell infiltration of the Tu by CD8+, DC-LAMP+ mature dendritic cells and NKp46+ NK cells was associated with a better patient survival [16]. In our study, these results could not be confirmed for CD8+ cells. However, the survival differences with regard to CD4+ cell infiltration in the Tu add an essential fact to the picture of immune cell architecture of colorectal lung metastases. Further, Remark et al. [16] found that the immune infiltrates of primary colorectal carcinomas and their lung metastases are similar, whereas colorectal liver metastases in our study show differences in the immunological architecture when compared to their lung metastases not only with regard to the region but also with regard to the type of cells that are responsible for survival differences.

Another important finding of this study is that preoperative chemotherapy of patients that are resected for colorectal lung metastases does not influence the immune infiltrate. This



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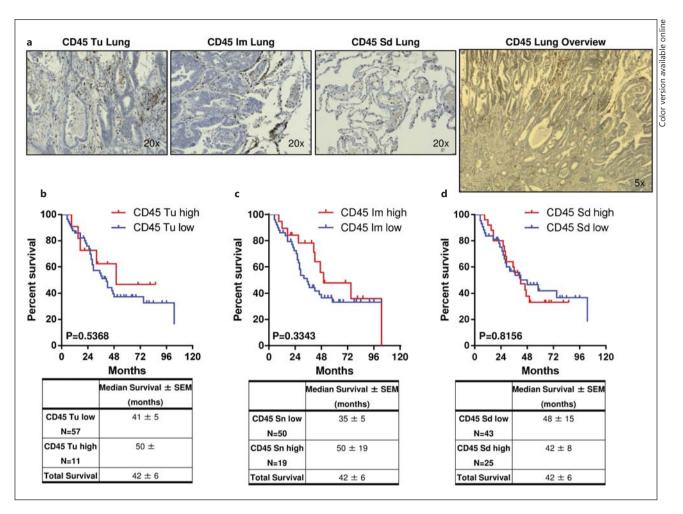


Fig. 4. Dense infiltration of CD45RO⁺ cells does not influence patient survival. **a** Representative immunohistochemical stainings for CD45RO⁺ cells (brown; color refers to the online version only) separately for the Tu, Im and Sd. **b** Survival did not differ in patients with high CD45RO⁺ cell numbers in the Tu compared to patients with a low infiltration by CD45RO⁺ cells (p = 0.5368). **c** Survival of patients with high CD45RO⁺ cell numbers in the Im was not different to that of patients with a low infiltration by these cells (p = 0.3343). **d** No statistical difference in survival was detected between patients with low and high CD45RO⁺ cell numbers in the Sd area (p = 0.8156).

is highly relevant, since interference of chemotherapy with a beneficial immune infiltration phenotype could potentially lead to even worsened patient outcome [17]. This negative effect has been described after radiochemotherapy of esophageal cancer [18]. However, there are no reports about the influence of chemotherapy on the immune infiltrates of colorectal lung metastases so far. Generally, there are no prospective randomized controlled trials investigating the role of chemotherapy after resection of colorectal lung metastases [13]. A meta-analysis by Gonzalez et al. [19] including 25 studies and a total of 2,925 patients did not detect chemotherapy to be associated with prolonged survival after resection of colorectal lung metastases. Further, there are reports that adjuvant chemotherapy is marginally beneficial after combined resection of colorectal liver and lung metastases; however, it remains unclear if this can be extrapolated to the situation after resection of colorectal lung metastases alone [20, 21].





Table 2. Comparison of patient characteristics between those receiving and not receiving preoperative chemotherapy

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Criteria		Preoperative chemotherapy		
		yes	no	р
		(n = 12)	(n = 57)	value
Male	Yes	6 (8.7)	18 (26.1)	0.223
Nicotine abuse	No Yes	6 (8.7)	39 (56.5)	0.066
Nicotifie abuse	No	12 (17.4)	13 (18.8) 44 (63.8)	0.066
Pulmonary disease	Yes	0	9 (13.0)	0.014*
r unifoliar y disease	No	12 (17.4)	48 (69.6)	0.014
R0 resection of lung	Yes	11 (15.9)	56 (81.6)	0.217
metastases	No	1 (1.4)	1 (1.4)	0.217
Lymphadenectomy	Yes	7 (10.1)	41 (59.4)	0.352
Lymphadenectomy	No	5 (7.2)	16 (23.2)	0.552
Pulmonary lymph nodes	Yes	1 (2.1)	7 (14.6)	0.855
positive (out of	No	6 (12.5)	34 (70.8)	0.000
lymphadenectomy) ^a		0 (2210)	5.1 (. 0.0)	
Primary tumor colon/	Colon	7 (10.1)	16 (23.2)	0.124
	Rectum	5 (7.2)	41 (59.4)	0.124
Primary turn or lament and a	Yes			0.422
Primary tumor lymph node		5 (7.2)	31 (44.9)	0.423
metastases	No	7 (10.1)	26 (37.7)	0.000
Primary tumor R0 ^b	Yes	9 (13.0)	45 (65.2)	0.338
OD 4 O 10	No	1 (1.4)	4 (5.8)	0.644
CD4 Sd ^c	High	5 (7.4)	19 (27.9)	0.611
CDAI	Low	7 (10.3)	37 (54.4)	0.000
CD4 Im	High	4 (5.8)	20 (29.0)	0.908
CD4 T	Low	8 (11.6)	37 (53.6)	0.246
CD4 Tu	High	3 (4.3)	8 (11.6)	0.346
CD45RO Sd ^c	Low	9 (13.0)	49 (71.0)	0.088
CD45KO Su*	High	7 (10.3)	18 (26.5)	0.000
CD45RO Im	Low	5 (7.4) 4 (5.8)	38 (55.9)	0.621
CD43KO IIII	High Low	8 (11.6)	15 (21.7) 42 (60.9)	0.021
CD45RO Tu ^c	High	2 (2.9)	9 (13.2)	0.959
CD45KO Tu	Low	10 (14.7)	47 (69.1)	0.535
CD20 Sd ^c	High	2 (2.9)	11 (16.2)	0.812
GD20 3d	Low	10 (14.7)	45 (66.2)	0.012
CD20 Im ^c	High	0	12 (17.6)	0.077
0520 IIII	Low	12 (17.6)	44 (64.7)	0.077
CD20 Tu ^c	High	0	5 (7.4)	0.282
0220 Tu	Low	12 (17.6)	51 (75.0)	0.202
CD8 Sd ^c	High	5 (7.4)	24 (35.3)	0.940
02000	Low	7 (10.3)	32 (47.1)	0.710
CD8 Im	High	4 (10.1)	22 (31.9)	0.732
020 III	Low	8 (11.6)	35 (50.7)	0.7.02
CD8 Tu ^c	High	2 (2.9)	20 (29.4)	0.201
-	Low	10 (14.7)	36 (52.9)	
CD68 Sd ^c	High	4 (6.0)	21 (31.3)	0.753
	Low	8 (11.9)	34 (50.7)	00
CD68 Im	High	4 (5.8)	25 (36.2)	0.502
-	Low	8 (11.6)	32 (46.4)	
CD68 Tu ^c	High	2 (2.9)	15 (22.1)	0.463
	Low	10 (14.7)	41 (60.3)	
		. ()	(55.0)	

Data are presented as n (%). a Only performed in 48 patients. b Some histology reports of primary tumors were incomplete. c Some stainings (1–2 patients) were not analyzable.



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Other factors like time of occurrence and number of pulmonary metastases that significantly influenced survival in a previous study had no implications on survival in our study [22]. This most likely is due to the fact that this huge study with 5,206 patients investigated lung metastases of sarcomas, melanomas, germ cells tumors and only to a minor part epithelial primary tumors that were not further specified with regard to the number of colorectal carcinomas [22]. This further underlines the importance of our study, which selectively analyzes the survival of colorectal carcinoma lung metastases.

The retrospective nature, the limited patient number and the lack of a confirmation of the results in an independent patient cohort are possible limitations of this study. However, this is the first study to compare the immune architecture of colorectal liver and lung metastases.

In conclusion, the immune architecture of colorectal liver and lung metastases shows differences, with a higher immunological importance of the Tu in lung metastases. More specifically, a 'high' infiltration of this Tu of pulmonary metastases by CD4⁺ cells is associated with prolonged patient survival. Further, preoperative chemotherapy does not influence the local immune infiltrate.

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Disclosure Statement

The authors disclose no conflicts of interest.

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