Conformal invariance of transverse-momentum dependent parton distributions rapidity evolution

Ian Balitsky
Physics Department, Old Dominion University, Norfolk, Virginia 23529, USA
and Theory Group, JLAB, 12000 Jefferson Avenue, Newport News, Virginia 23606, USA

Giovanni A. Chirilli
Institut für Theoretische Physik, Universität Regensburg, D-93040 Regensburg, Germany

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We discuss conformal properties of TMD operators and present the result of the conformal rapidity evolution of TMD operators in the Sudakov region.

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I. INTRODUCTION

In recent years, the transverse-momentum dependent parton distributions (TMDs) [1–4] have been widely used in the analysis of processes like semi-inclusive deep inelastic scattering or particle production in hadron-hadron collisions (for a review, see Ref. [5]).

The TMDs are defined as matrix elements of quark or gluon operators with attached lightlike gauge links (Wilson lines) going to either $+\infty$ or $-\infty$ depending on the process under consideration. It is well known that these TMD operators exhibit rapidity divergencies due to infinite lightlike gauge links and the corresponding rapidity/UV divergencies should be regularized. There are two schemes on the market: the most popular is based on Collins-Soper-Sterman [2] or soft-collinear effective theory [6] formalism, and the second one is adopted from the small-$x$ physics [7,8]. The obtained evolution equations differ even at the leading-order level and need to be reconciled, especially in view of the future electron-ion collider accelerator which will probe the TMDs at values of $x$ between small-$x$ and $x \sim 1$ regions.

In our opinion, a good starting point is to obtain conformal leading-order evolution equations. It is well known that at the leading-order perturbative QCD (pQCD) is conformally invariant, so there is hope of get any evolution equation without explicit running coupling from conformal considerations. In our case, since TMD operators are defined with attached lightlike Wilson lines, formally they will transform covariantly under the subgroup of the full conformal group which preserves this lightlike direction. However, as we mentioned, the TMD operators contain rapidity divergencies which need to be regularized. At present, there is no rapidity cutoff which preserves conformal invariance, so the best one can do is to find the cutoff which is conformal at the leading order in perturbation theory. In higher orders, one should not expect conformal invariance since it is broken by the running of QCD coupling. However, if one considers corresponding correlation functions in $\mathcal{N} = 4$ super Yang-Mills (SYM), one should expect conformal invariance. After that, the results obtained in $\mathcal{N} = 4$ SYM theory can be used as a starting point of QCD calculation. Typically, the result in $\mathcal{N} = 4$ theory gives the most complicated part of the pQCD result, i.e., the one with maximal transcendentality. Thus, the idea is to find the TMD operator conformal in $\mathcal{N} = 4$ SYM and use it in QCD. This scheme was successfully applied to the rapidity evolution of color dipoles. At the leading order, the Balitsky-Kovchegov evolution of color dipoles [9–12] is invariant under SL(2,C) (Möbius) group. At the next-to-leading order (NLO), the “conformal dipole” with the $\alpha_s$ correction [13] makes NLO Balitsky-Kovchegov evolution Möbius invariant for $\mathcal{N} = 4$ SYM, and the corresponding QCD kernel [14] differs by terms proportional to the $\beta$ function.

II. CONFORMAL INVARIANCE OF TMD OPERATORS

For definiteness, we will talk first about gluon operators with lightlike Wilson lines stretching to $-\infty$ in the $+$ direction. The gluon TMD (unintegrated gluon distribution) is defined as [15]

$$D(x_B, k_{\perp}, \eta) = \int d^2z_{\perp} e^{i(k_{\perp} z_{\perp})} \langle x_B | \mathcal{D}^a(z) \mathcal{D}^b(z_{\perp}) | P \rangle_{|z_{\perp} = 0},$$

(1)
where |P⟩ is an unpolarized target with momentum p ≈ p− (typically proton) and n = (1, 0, 0, 1/√2) is a lightlike vector in the “+” direction. Hereafter, we use the notation

$$\mathcal{F}^{\xi, a}(z_\perp, z^+) \equiv gF^{\xi, m}(z) [z, z - \infty n]_{z^+ = 0}^{+},$$

where [x, y] denotes straight-line gauge link connecting points x and y:

$$[x, y] \equiv P e^{i\theta} \int du(x-y)^{\mu} A_\mu(u+(1-u)y).$$

To simplify one-loop evolution, we multiplied $F_{\mu\nu}$ by a coupling constant. Since the $gA_\mu$ is renormalization invariant, we do not need to consider self-energy diagrams (in the background-Feynman gauge). Note that $z^+ = 0$ is fixed by the original factorization formula for particle production [5] (see also the discussion in Refs. [16,17]).

The algebra of full conformal group $SO(2, 4)$ consists of four operators $P^{\alpha}$, six $M^{\alpha\beta}$, four special conformal generators $K^\alpha$, and dilatation operator $D$. It is easy to check that in the leading order the following 11 operators act on gluon TMDs covariantly,

$$P^{\alpha}, P^{\perp}, M^{\alpha\beta}, M^{-\alpha}, D, K^{\alpha}, K^{-}, M^{-},$$

while the action of operators $P^{+}, M^{-\alpha\beta}$, and $K^{+}$ do not preserve the form of the operator (2). The action of the generators (4) on the operator (2) is the same as the action on the field $F^{-\alpha}$ without gauge link attachments. The corresponding group consists of transformations which leave the hyperplane $z^+ = 0$ and vector n invariant. Those include shifts in transverse and + directions, rotations in the transverse plane, Lorentz rotations/boosts created by $M^{-\alpha}$, dilations, and special conformal transformations

$$z'_\mu = \frac{z_\mu - a_\mu z^2}{1 - 2a \cdot z + a^2 z^2},$$

with $a = (a^+, 0, a_\perp)$. In terms of “embedding formalism” [18–21] defined in six-dimensional space, this subgroup is isomorphic to the “Poincaré + dilatations” group of the four-dimensional subspace orthogonal to our physical lightlike + and “−” directions.

As we noted, infinite Wilson lines in the definition (2) of TMD operators make them divergent. As we discussed above, it is very advantageous to have a cutoff of these divergencies compatible with approximate conformal invariance of tree-level QCD. The evolution equation with such a cutoff should be invariant with respect to transformations described above.

In the next section, we demonstrate that the “small-x” rapidity cutoff enables us to get a conformally invariant evolution of TMD in the so-called Sudakov region.

### III. TMD FACTORIZATION IN THE SUDAKOV REGION

The rapidity evolution of the TMD operator (1) is very different in the region of large and small longitudinal separations $z^+$. The evolution at small $z^+$ is linear and double-logarithmic, while at large $z^+$, the evolution becomes nonlinear due to the production of color dipoles typical for small-x evolution. It is convenient to consider as a starting point the simple case of TMD evolution in the so-called Sudakov region corresponding to small longitudinal distances.

First, let us specify what we call a Sudakov region. A typical factorization formula for the differential cross section of particle production in hadron-hadron collision is [5,22]

$$\frac{d\sigma}{d\eta d^2 q_\perp} = \sum_f \int d^2 b_\perp e^{i(q, b)} \mathcal{D}_{f/A}(x_A, b_\perp, \eta) \mathcal{D}_{f/H}(x_f, b_\perp, \eta) \sigma(f \to H) + \cdots,$$

where $\eta = \frac{1}{2} \ln \frac{q^+}{q^-}$ is the rapidity, $\mathcal{D}_{f/A}(x, b_\perp, \eta)$ is the TMD density of a parton $f$ in hadron $h$, and $\sigma(f \to H)$ is the cross section of production of particle $H$ of invariant mass $m_H^2 = q^2 \equiv Q^2$ in the scattering of two partons. (One can keep in mind Higgs production in the approximation of the pointlike gluon-gluon-Higgs vertex). The Sudakov region is defined by $Q \gg q_\perp > 1$ GeV since at such kinematics there is a double-log evolution for transverse momenta between $Q$ and $q_\perp$. In the coordinate space, TMD factorization (6) looks like

$$\langle p_A, p_B | g^2 F^a_{\mu\nu} F^{\mu\nu}(z_1) g^2 F^b_{\rho\sigma} F^{\rho\sigma}(z_2) | p_A, p_B \rangle$$

$$= \frac{1}{N_c^2 - 1} \langle p_A | \tilde{O}_{ij}(z_1, z_2) | p_A \rangle^{\sigma_A} \times \langle p_B | \tilde{O}^{ij}(z_1, z_2) | p_B \rangle^{\sigma_B} + \cdots,$$

where

$$O_{ij}(z_1^+, z_1^+; z_1^+, z_2^+) = \mathcal{F}_i^a(z_1) [z_1 - \infty n, z_2 - \infty n]^{ab} \mathcal{F}_j^b(z_2),$$

$$\tilde{O}_{ij}(z_1^+, z_2^+, z_2^+) = \mathcal{F}_i^a(z_1) [z_1 - \infty n, z_2 - \infty n]^{ab} \mathcal{F}_j^b(z_2),$$

$$\mathcal{F}^{a,b}(z_1^+, z_2^+) \equiv gF^{a,b}(z) [z, z - \infty n]_{z^+ = 0}^{+}.$$
need to impose the cutoff of $k^+$ components of gluons correlated with transverse size of TMD in the following way:

$$F^{\text{in}}(z_\perp, z^+) \equiv g F^{-m}(z) [P e^{+i\pi} d \sigma^+ A^- (\nu_p, x_+)]_{\text{ext}},$$

$$A_\mu^\sigma(x) = \int \frac{d^4 k}{16 \pi^2} \frac{| \alpha \sqrt{2} |}{(z_{12}^\perp - |k^+|)} e^{-ik^+ A_\mu(k)}.$$ (10)

Similarly, the operator $\bar{O}$ in Eq. (9) is defined with with the rapidity cutoff for $\beta$ integration imposed as $\theta(\alpha \sqrt{2} - |k^-|)$.

The Sudakov region $Q^2 \gg q^2$ in the coordinate space corresponds to

$$z_{12}^\perp = 2z_{2\perp}^{-1} \ll z_{12}^\perp,$$ (11)

where $z_{12} = z_1 - z_2$. In the leading log approximation, the upper cutoff for $k^+$ integration in the target matrix element in Eq. (7) is $\sigma_B = \frac{1}{\sqrt{2}} z_{12}^\perp$, and similarly the $\beta$-integration cutoff in the projectile matrix element is $\sigma_A = \frac{1}{\sqrt{2}} z_{12}^\perp$ [23].

In the next section, we demonstrate that the rapidity cutoff (10) enables us to get a conformally invariant evolution of TMD in the Sudakov region (11).

IV. ONE-LOOP EVOLUTION OF TMDS

A. Evolution of gluon TMD operators in the Sudakov region

In this section, we derive the evolution of gluon TMD operator (8) with respect to cutoff $\sigma$ in the leading log approximation. As usual, to get an evolution equation, we integrate over momenta $\frac{\sigma B}{z_{12}^\perp} > k^+ > \frac{\sigma A}{z_{12}^\perp}$. To this end, we calculate diagrams shown in Fig. 1 in the background field of gluons with $k^+ < \frac{\sigma A}{z_{12}^\perp}$. The calculation is easily done by method developed in Refs. [24,25], and the result is

$$\mathcal{O}_\sigma^\pi(z_1^+, z_2^+) = \frac{\alpha_s N_c}{2\pi} \int \frac{d k^+}{k^+} K \mathcal{O}_\sigma^\pi(z_1^+, z_2^+),$$ (12)

where the kernel $K$ is given by

$$K(z_1^+, z_2^+) = \mathcal{O}(z_1^+, z_2^+) \int \frac{d z^+}{z_1^+ - z^+} e^{-\frac{\sigma A}{z_{12}^\perp} (z_1^+ - z^+)}$$

$$+ \mathcal{O}(z_1^+, z_2^+) \int \frac{d z^+}{z_1^+ - z^+} e^{-\frac{\sigma A}{z_{12}^\perp} (z_1^+ - z^+)}$$

$$- \int \frac{d z^+}{z_1^+ - z^+} \mathcal{O}(z_1^+, z_1^+) - \mathcal{O}(z_2^+, z_2^+)$$

$$- \int \frac{d z^+}{z_1^+ - z^+} \mathcal{O}(z_1^+, z_2^+) - \mathcal{O}(z_2^+, z_1^+).$$ (13)

where we suppress arguments $z_{1\perp}$ and $z_{2\perp}$ since they do not change during the evolution in the Sudakov regime. The first two terms in the kernel $K$ come from the “production” diagram in Fig. 1(a), while the last two terms come from the “virtual” diagram in Fig. 1(b). The result (13) can be also obtained from Ref. [25] by Fourier transformation of Eq. (5.9) with the help of Eqs. (3.12) and (3.30) therein. The approximations for diagrams in Fig. 1 leading to Eq. (13) are valid as long as

$$k^+ \gg \frac{z_{12}^+}{z_{12}^\perp},$$ (14)

which gives the region of applicability of Sudakov-type evolution.

Evolution equation (12) can be easily integrated using Fourier transformation. Since

$$K e^{-ik^- z_1^- + ik^+ z_1^+} = \left[ -2 \ln \sigma z_{12}^\perp - \ln(ik^-) - \ln(-ik^-) + \ln 2 - 4\gamma_E + O\left(\frac{z_{12}^+}{z_{12}^\perp}\right) \right] e^{-ik^- z_1^- + ik^+ z_1^+},$$ (15)

one easily obtains

$$\mathcal{O}_\sigma^\pi(z_1^+, z_2^+) = e^{-2\tilde{\alpha}_t \ln^{\frac{N_c}{2}} [\ln(\sigma z_{12}^\perp) + 2\gamma_E - \ln 2]} \times$$

$$\times \int \frac{d z_1^+ d z_2^+}{z_2^+ - z_{12}^\perp} \mathcal{O}_\pi^\pi(z_1^+, z_2^+) \frac{z_{12}^\perp}{z_{12}^\perp - z_{12}^\perp}$$

$$\times \frac{1}{4\pi^2} \left[ \frac{i\Gamma(1 - 2\tilde{\alpha}_t \ln^{\frac{N_c}{2}})}{(z_1^+ - z_2^+ + i\epsilon)^{1-2\tilde{\alpha}_t \ln^{\frac{N_c}{2}} + c.c.}} \right]$$

$$\times \left[ \frac{i\Gamma(1 - 2\tilde{\alpha}_t \ln^{\frac{N_c}{2}})}{(z_2^+ - z_1^+ + i\epsilon)^{1-2\tilde{\alpha}_t \ln^{\frac{N_c}{2}} + c.c.}} \right],$$ (16)

where we introduced notation $\tilde{\alpha}_t \equiv \frac{\alpha_s}{4\pi}$. It should be mentioned that the factor $4\gamma_E$ is "scheme dependent";
if one introduces to $a$ integrals smooth cutoff $e^{-\sigma/a}$ instead of rigid cutoff $\theta(a > \alpha)$, the value $4\gamma E$ changes to $2\gamma E$.

It is easy to see that the rhs of Eq. (16) transforms covariantly under all transformations (4) except the Lorentz boost generated by $M^{+\gamma}$. The reason is that the Lorentz boost in the $z$ direction changes cutoffs for the evolution. To understand that, note that Eq. (15) is valid until $\sigma > \frac{z_{12}^+}{z_{12}^0}$, so the linear evolution (16) is applicable in the region between

$$
\sigma_2 = \sigma_B = \frac{z_{12}^+}{z_{12}^0} \quad \text{and} \quad \sigma_1 = \frac{z_{12}^+ \sqrt{2}}{z_{12}^0}.
$$

From Eq. (16), it is easy to see that Lorentz boost $z^+ \rightarrow \lambda z^+$, $z^- \rightarrow \frac{1}{\lambda} z^-$ changes the value of target matrix element $\langle p_A(\mathcal{O}|p_B) \rangle$ by exp $\{4\lambda \tilde{\alpha}_s \ln \frac{z_{12}^0}{z_{12}^+}\}$, but simultaneously it will change the result of similar evolution for projectile matrix element $\langle p_A(\mathcal{O}|p_B) \rangle$ by exp $\{-4\lambda \tilde{\alpha}_s \ln \frac{z_{12}^+}{z_{12}^0}\}$, so the overall result for the amplitude (7) remains intact.

**B. Evolution of quark TMD operators**

A simple calculation of evolution of quark operator

$$
\mathcal{O}_q(z_1^+,z_1^-;z_2^+,z_2^-) \equiv g^{3F} \psi(z_+ + un)[u\sigma + z_+ - \infty]\n \Psi(z^- - \infty,-\infty)\sigma[\infty,0]\psi(0)
$$

yields the same evolution (16) as for the gluon operators with trivial replacement $N_c \rightarrow C_F$ [26]. The factor $g^{3F} (b = \frac{N_c}{4} - \frac{3}{2} n_f)$ is added to avoid taking into account quark self-energy.

**C. Evolution beyond Sudakov region**

As we mentioned above, the TMD factorization formula (6) for particle production at $q_\perp \ll Q$ translates to the coordinate space as Eq. (7) with the requirement $z_1^2 \ll z_{12}^2$. During the evolution (16), the transverse separation between gluon operators $F_i$ and $F_j$ remains intact, while the longitudinal separation increases. As discussed in Refs. [24,25], the Sudakov approximation can be trusted until the upper cutoff in $\alpha$ integrals is greater than $\frac{q_\perp^2}{4\lambda^2}$, which is equivalent to Eq. (14) in the coordinate space. If $q_\perp \sim 1$ and $q_\perp \sim m_N$, the relative energy between Wilson-line operators $F$ and the target nucleon at the final point of evolution is approximately $m_N^2$, so one should use phenomenological models of TMDs with this low rapidity cutoff as a starting point of the evolution (16). If, however, $x_B \ll 1$, this relative energy is $\frac{q_\perp^2}{4\lambda^2} \gg m_N^2$, so one can continue the rapidity evolution in the region $\frac{q_\perp^2}{4\lambda^2} > \sigma > \frac{m_N^2}{\lambda}$ beyond the Sudakov region into the small-$x$ region. The evolution in a “proper” small-$x$ region is known [27]—the TMD operator, known also as Weizsäcker-Williams distribution, will produce a hierarchy of color dipoles as a result of the nonlinear evolution. However, the transition between the Sudakov region and small-$x$ region is described by a rather complicated interpolation formula [24]. In the coordinate space, this means the study of operator $\mathcal{O}$ at $z_{12}^0 \sim z_{12}^+$, and we hope that conformal considerations can help us to obtain the TMD evolution in that region.

**V. DISCUSSION**

As we mentioned in the Introduction, TMD evolution is analyzed by very different methods at small $x$ and moderate $x \sim 1$. In view of the future electron-ion collider accelerator, which will probe the region between small $x$ and $x \sim 1$, we need a universal description of TMD evolution valid at both limits. Since the two formalisms differ even at the leading order where QCD is conformally invariant, our idea is to make this universal description first in $N = 4$ SYM. In a first step, we found a conformally invariant evolution in the Sudakov region using our small-$x$ cutoff with the “conformal refinement” (10).

To compare with conventional TMD analysis, let us write down the evolution of “generalized TMD” [28,29]

$$
D^a(x,\xi) = \int d\xi^+ e^{-\lambda_2 \xi^+} \sqrt{\xi^+} \left\langle p_B \mathcal{O}_a \left(-\frac{z^+ + \xi^+}{2},\frac{z^+ + \xi^+}{2}\right) \right\rangle p_B
$$

where $\xi = -\frac{p_A - p_B}{\sqrt{2}x}$. From Eq. (16), one easily obtains

$$
D_{a_{\perp}}(x,\xi) = e^{-2\lambda_2 \ln \frac{\xi}{\xi_1}[\ln \frac{\xi}{\xi_1}(\xi^2 - \frac{x^2}{2})x_{12}^2 + 4\gamma E - \ln 2]}.
$$

For usual TMD at $\xi = 0$ with the limits of Sudakov evolution set by Eq. (17), one obtains

$$
\frac{D_{a_{\perp}}}{D_0}(x,\xi) = e^{-2\lambda_2 \ln \frac{\xi}{\xi_1}[\ln \frac{\xi}{\xi_1}(\xi^2 - \frac{x^2}{2})x_{12}^2 + 4\gamma E - \ln 2]},
$$

which coincides with usual one-loop evolution of TMDs [30] up to replacement $4\gamma E - 2 \ln 2 \rightarrow 4\gamma E - 2 \ln 2$. As we discussed, such a constant depends on the way of cutting $k^-$integration, which should be coordinated with the cutoffs in the “coefficient function” $\sigma(ff \rightarrow H)$ in Eq. (6). Thus, the discrepancy is just like using two different schemes for usual renormalization. It should be mentioned, however, that at $\xi \neq 0$ the result (19) differs from the conventional one-loop result, which does not depend on $\xi$; see, e.g., Ref. [31].

**VI. CONCLUSIONS**

The first result of our paper is finding the subgroup of $SO(2,4)$, which formally leaves TMD operators invariant. Although there was some discussion of conformal invariance of the TMD approach in the literature [32,33], to the best of our knowledge, we present the first complete description of that subgroup.
The second result is related to the fact that conformal invariance is violated by the rapidity cutoff (even in $\mathcal{N} = 4$ SYM). As we mentioned above, since tree-level QCD is conformally invariant, it is convenient to have a leading-order evolution which respects that symmetry so the NLO corrections can be sorted out as conformal plus proportional to the $\beta$ function. We have studied the TMD evolution in the Sudakov region of intermediate $x$ and demonstrated that the rapidity cutoff used in small-$x$ literature preserves all generators of our subgroup except the Lorentz boost, which is related to the change of that cutoff. It should be mentioned that usually the analysis of the Lorentz boost, which is related to the change of that evolution equations, in $\mu^2$ and $\zeta$ (related to rapidity). However, although the results of the two evolutions are known at two- [34–36] and three-loop [37] levels, their relation to conformal properties of TMD operators is not obvious. It would be interesting to check if our cutoff corresponds to some conformal evolution path in the two-dimensional $(\mu^2, \zeta)$ plane [38].

Our main outlook is to try to connect to the small-$x$ region, first in $\mathcal{N} = 4$ SYM and then in QCD. As we mentioned above, although the TMD evolution in a small-$x$ region is conformal with respect to the $SL(2, C)$ group, and our evolution (16) is also conformal [albeit with respect to a different group of which $SL(2, C)$ is a subgroup], the transition between the Sudakov region and small-$x$ region is described by a rather complicated interpolation formula [24] which is not conformally invariant. Our hope is that in a conformal theory one can simplify that transition using the conformal invariance requirement. The study is in progress.

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