

# Guiding the Long Way to Broad HIV Neutralization

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## Purpose of review

It has been demonstrated that extensive virus diversification and antibody co-evolution is necessary to give rise to broadly neutralizing antibodies (bnAbs) targeting the envelope protein (Env) of HIV-1. Here we discuss recent progress of vaccine design approaches aiming on strategies to initiate and guide B cell development towards this outcome, as well as their evaluation in mouse models engineered to express human antibodies.

## Recent findings

Several specially tailored transgenic mouse strains have been developed in order to test the concept of engaging and guiding B cell development by sequential immunizations. Currently available models display pre-rearranged or non-rearranged germline or mature VDJH and VJL loci of CD4-binding-site-(VRC01, 3BNC60) and high-mannose-patch-specific (PGT121) bnAbs, or even the complete human V(D)J segments. Data generated in these knock-in mouse models elegantly prove the feasibility of the concept when using a carefully selected panel of engineered envelope proteins.

## Summary

Recent studies in knock-in transgenic mouse models provide a proof-of-concept that germline B cell receptor targeting followed by sequential immunization can engage the respective naïve precursor B cells and guide B cell receptor development towards broadly neutralizing reactivity.

## Keywords

HIV, broadly neutralizing antibodies, germline targeting immunogens, guiding B cell development, germline bnAb knock-in mouse models

## Introduction

Several studies in non-human primates (NHPs) clearly demonstrated that passive immunization using broadly neutralizing monoclonal antibodies can provide sterilizing protection against viral challenges [1,2]. Furthermore, a first-in-man dose escalation phase 1 clinical trial of 3BNC117, a potent human CD4 binding site antibody, reduced the viral load in HIV-1-infected individuals by 0.8-2.5 log<sub>10</sub> and viremia remained significantly reduced for 28 days [3]. Hence, the induction of bnAbs at sufficiently

high titers could presumably protect humans against HIV infection [4\*,5]. Therefore, there is generally agreed that the capability to elicit a broad cross-neutralizing antibody (bnAb) response is a desirable feature of an effective HIV vaccine.

Despite encouraging results of RV144 in mediating transient and partial protection from acquisition of HIV (vaccine efficacy of 31%) [6], it became quickly clear that these effects could not be attributed to neutralizing antibodies. Neither vaccination regimens using recombinant and adjuvanted Env proteins as the sole vaccine component, nor regimens combining vectored Env delivery with an adjuvanted Env protein administration were capable of eliciting bnAbs [7–9]. This has been attributed to (i) the immunodominance of highly variable surface regions in the gp120 monomer or the non-stabilized, open conformation gp140 Env trimer, (ii) extensive glycan shielding, conformational masking and steric occlusion of neutralization-sensitive epitopes (see contribution of M. Ramirez and colleagues in this issue), (iii) a generally low affinity of germline receptors of bnAb producing B-cell-progenitors to the available immunogens and ultimately (iv) the fact, that selection mechanisms in the germinal centers of the secondary lymphatic organs are not sampling for functional (neutralizing) antibodies but instead for B cells presenting immunoglobulin receptors with high affinity [10,11]. Lessons learned from prospective virus and B cell co-evolution studies [12–18] on the rare HIV-1 infected individuals who developed bnAbs [19,20] led to the hypothesis, that different versions of the antigen may be necessary to shepherd the B-cell development from germline precursors to mature bnAb-producing species [21–31,22\*,25\*]. If those should be administered in a cocktail format (as mixture), by sequential immunization or with a combined strategy is currently being investigated by several groups [32,33].

### **First- and second-generation bnAbs**

The magic bullet of successful vaccination against HIV would be an antigen eliciting broad antibody mediated sterilizing immunity against the majority of circulating HIV-1 strains. However, all vaccination trials performed in humans and using Env proteins have so far failed to induce antibody responses with the desired neutralization potency and breadth [34]. Therefore, part of HIV vaccine research during the past decade progressively focused on studying plasma- and lymph node-derived B cells [35] as well as their development in HIV-infected individuals [36], currently resulting in what has been termed “reverse vaccinology 2.0” [37]. Data generated during that period until today not only revealed various neutralization-sensitive sites on the viral envelope [4\*] but also led to current considerations regarding envelope immunogen design [38\*] (see contribution by M. Ramirez and colleagues in this issue), vaccine formulation and delivery as well as vaccination regimens [39].

Isolation and characterization of broadly neutralizing antibodies has been pioneered already in the late 90ies by H. Katinger, D. Burton, S. Zolla-Pazner and their colleagues, respectively, with the identification of specific neutralizing antibodies such as 2F5 and 4E10 (gp41 MPER), 2G12 (gp120 outer domain, glycan-dependent), b12 (CD4 binding-site) and 447-52D (V3 crown), thus shedding light on the first identified vulnerable sites of Env (thoroughly reviewed by Burton and Hangartner [4\*]). Identification of a larger number of “second-generation” bnAbs from a limited number of selected HIV infected individuals (CATNAP database [40]) was enabled by the development of converging platform technologies. These platforms allowed for efficient high-throughput culturing, activation and immortalization of B cells followed by screening of supernatants from individual memory B cells for neutralization or, alternatively, B cell sorting, molecular re-cloning of genes encoding antibody fragments and functional screening of the expressed antibodies [4\*]. A broad range of bnAb epitopes was identified, mapped and characterized thoroughly which shaped our view of the vulnerability of the viral envelope and, at the same time, revealed mechanisms which enable the virus to reduce the opportunities for the bnAb development [41]. The extended availability of sequence data furthermore revealed, that the level of somatic hypermutation (SHM) as well as the length of heavy-chain complementarity determining region 3 (HCDR3) in bnAbs is generally extremely high making them to real “freaks of nature” [42].

## Germline targeting

Insights into the complex co-evolution process of the virus and virus-neutralizing antibodies (nAbs) [14–17], the finding that Env trimers have low affinities to the inferred germline (gl) B cell receptors of bnAb-producing precursor B cells [43–45] and, conversely, higher affinities to non-neutralizing gl B cell receptors [46,47] support the hypothesis, that priming of the key germline B cells by germline-targeting immunogens would be a necessary first step in the process eventually leading to bnAbs [44,48]. A number of studies have recently presented immunogens designed to specifically target the gl receptors of bnAb B cells [24,25\*,49,50\*]. BnAb's inferred germline precursors are generally not broadly cross-reactive [15] and high levels of SHM in bnAbs imply the selection of the B cells that produce them in multiple rounds of affinity maturation. Hence, a number of guide immunogens representing milestone variants of Env during viral escape, have to be identified, pushing the development of B cells to the desired destination. Several recent publications have described such immunogen-collections and methods for their design and selection.

## Immunogens to guide B cell responses

The design of Env-based immunogens started early with Env-peptides and gp120 molecules [51] and went a long way until a major breakthrough with the introduction of the stabilized SOSIP by R. Sanders and J. P. Moore (reviewed by Sliепен and Sanders [38\*]). Particularly the BG505 SOSIP.664 gp140 (from a subtype A virus isolated from a 6-weeks old, HIV-1-infected infant) gave insights into Env structure, structural states, rearrangements and glycosylation [52–57,54\*]. Based on these findings, it was hypothesized that native-like, closed conformation Env trimers are to be preferred over substructures like gp120-variants because (i) Env immunogens need to present sites of vulnerability and at the same time hide non-neutralizing epitopes [46,58], (ii) quaternary epitopes (targeted by e.g. PGT145, PG9, PGT151, 35O22) are ideally properly presented, and because (iii) the trimers allow for cross-linking of multiple B cell receptors which facilitates the germinal center reaction. Yet, despite these improvements, immunization studies with stable and hyperstable [59–62] Env trimers have to date merely shown the elicitation of autologous tier 2 neutralization responses [63,64]. Therefore, the efforts on designing optimized Env-based vaccines are currently focusing on targeting the immune reaction on vulnerable sites (e.g by scaffolding or by glycan shielding, (see contribution of Ramirez and colleagues in this issue) and on modifying Env to enable binding of gl versions or intermediates in the developmental pathway to bnAbs.

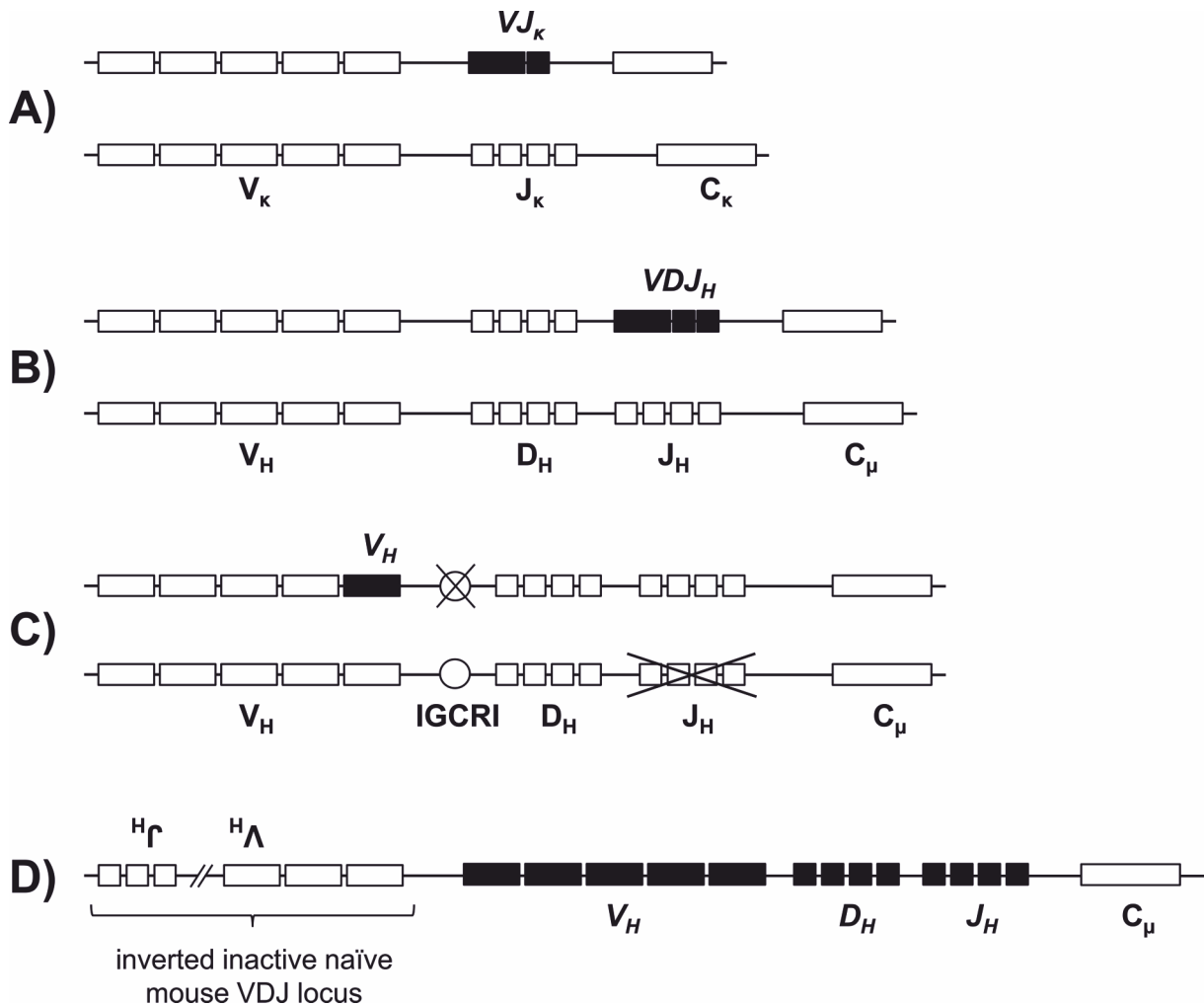
To this end, rational protein design [27] or computational design methods combined with directed evolution approaches like high-throughput yeast cell surface display [24] or mammalian cell display [65\*\*,66] led to a new generation of sequential immunogens with narrowly defined binding properties and the capacity to guide B cell development. In all cases, affinity to mature bnAbs and their inferred gl variants as well as partially reverted intermediates guided the design and helped for the selection of proteins. In parallel, avidity of the immunogens in particular with regard to the engagement of gl B cell receptors with low affinity was optimized via different particle-based presentation formats (see contribution by B. Asbach in this issue).

## Immunization studies in transgenic mice

To analyze the capacity of the developed (serial) immunogens to engage and expand the respective gl B cell receptors *in vivo*, different genetically-engineered transgenic mouse models have been established which try to compensate for the lack of orthologues of naïve human B cells capable of developing into bnAb-producing B cells (see table 1).

All recent transgenic mouse models were generated by stem cell gene targeting [71]. Depending on the complexity of the model, pre-rearranged or non-rearranged germline or mature VDJH and VJL loci have been introduced. The knocked-in human antibody transgenes are active due to allelic exclusion [72] and the B cells express primarily the knocked-in human B cell receptor (see figure 1 and table 1). Since lambda and kappa light-chain genes and heavy-chain genes are not genetically linked and hence

segregate independently, pre-rearranged VDJH and VJL genes can be studied independently from each other. Thus, pre-rearranged light- or heavy-chain genes can be expressed separately with the endogenous mouse repertoire or alternatively can be combined by crossbreeding, leading to a mouse primarily expressing the complete transgenic B cell receptor. Latest developments yielded mice (referred to as Kymab mice) carrying the complete naïve human Ig heavy- and light-chain repertoire (mouse constant regions intact) thereby approaching a more comparable situation to the human setting [73].



**Figure 1) Schematic representation of modifications in genetically-engineered mice described in this review.**

Transgenic elements are given in black and with italic labels. Unrearranged alleles are inactive due to allelic exclusion. A) Light-chain modifications. B) Heavy-chain modifications. C) Heavy-chain modifications generated by Tian et al. [67\*\*] D) Heavy-chain modifications in mice carrying the entire human Ig V(D)J gene repertoire. IGCR1, intergenic control region I.

Initial studies explored the conditions of the elicitation of CD4bs-specific bnAbs. Transgenic mice expressed the gI heavy-chain gene (human VH1-2\*02 allele in combination with reconstructed gI DJ alleles) of VRC01 and 3BNC60 (both anti-CD4 binding site specific antibodies) either in combination with the mouse LC repertoire [22\*,25\*] or the associated gI LC [50\*]. Engineered outer domain variants (eOD-GT6 and -GT8, optimized to bind the inferred gI version of CD4bs directed bnAbs) from Schief and colleagues as well as modified multimerized 426c (TMΔV1-3, deletions of variable loops 1-3 to

allow binding of several CD4 binding site directed bnAbs and their gl versions [46,50\*]) from Stamatatos and colleagues could engage and expand B cells expressing the respective CD4-binding-site-specific heavy-chain alleles *in vivo*. Moreover, mice expressing the VRC01 gl heavy-chain combined with the naïve mouse light-chain repertoire expanded B cells encompassing naturally underrepresented pairs containing 5 amino acid light-chain CDR-loops, thereby reflecting the preferred combination found/calculated in the human context [22\*]. On the contrary, binding of native trimers to the selected chimeric antibodies was not observed and native-like trimers could not expand bnAb precursor responses in this model.

| Antibody<br>knock-in | Heavy chain    |        |        | Light chain    |   | Study                               |
|----------------------|----------------|--------|--------|----------------|---|-------------------------------------|
|                      | V              | D      | J      | V              | J |                                     |
| 3BNC60               | germline       | mature |        | mouse          |   | <i>Dosenovic et al., 2015 [22*]</i> |
|                      | mature         | mature |        | mouse          |   |                                     |
| VRC01                | germline       |        | mature | mouse          |   | <i>Jardine et al., 2015 [25*]</i>   |
| 3BNC60               | germline       | mature |        | germline       |   | <i>McGuire et al., 2016 [50*]</i>   |
| VRC01                | germline       | mouse  |        | mouse          |   | <i>Tian et al., 2016 [67**]</i>     |
|                      | germline       | mouse  |        | germline       |   |                                     |
| VRC01                | germline       |        | mature | mouse          |   | <i>Briney et al., 2016 [68**]</i>   |
| PGT121               | germline       |        |        | mature         |   | <i>Escolano et al., 2016 [69**]</i> |
|                      | germline       |        |        | germline       |   |                                     |
| human                | complete human |        |        | complete human |   | <i>Sok et al., 2016 [70*]</i>       |

**Table 1) Modifications of immunoglobulin loci in knock-in mouse models used to characterize immunogens.**

A more recent study explored the requirements for elicitation of glycan-dependent V3-specific antibodies like PGT121 [69\*\*]. Here, the mice expressed the gl (VH4-59\*07, DH3-3 and JH6\*03 allele) or mature heavy-chain gene in combination with the gl light-chain gene (VL3-21\*02 and JL3\*02 allele). 10MUT, a gl-targeting antigen [65\*\*], proved to induce B cell responses in these mice which were, however, not neutralizing. Similar to the results from the CD4bs-directed bnAb models, native-like trimers failed to engage the gl B cell receptor and did not induce B cell responses in these mice.

To investigate the possibility of targeting specific precursor B cells with certain gl receptors in a human-like B cell repertoire setting, the above mentioned eOD-GT8 was used to immunize Kymab mice [73] displaying the entire human immunoglobulin variable-gene repertoire [70\*]. The theoretical frequency of gl VRC01 genes in such mice is as few as 0.2-1.3 cells per mouse due to a smaller number of B cells compared to humans. After immunization, 29 % of the mice responded to the immunization and 1 % of CD4-binding-site-specific B cells were indeed VRC01 precursors (1.6 cells per mouse).

### Sequential immunization studies

Taking into account that (i) the development of bnAbs usually requires extensive antigen exposure over a long period of time [74,75], that (ii) clonal bnAb producing B cell development in HIV infected individuals co-evolves with the diversification of the virus [12–18] and that (iii) gl B cell receptors are unable to bind native Env, it is fair to hypothesize that a gl-targeting priming immunization requires booster immunizations with one or more Env variants to shape the B cell maturation towards mature bnAbs. This approach – to shepherd the immune response toward bnAbs – has been adopted as “sequential immunization” strategy [48,76,26]. A proof of this concept came from the above described study which investigated knock-in mice carrying the mature heavy-chain 3BNC60 gene together with the naïve mouse light-chain repertoire representing an intermediate stage in the developmental route from the naïve B cell receptor to the bnAb-expressing B cells [22\*]. Immunization of the mice with

germline-targeting antigens (eOD-GT8 60-mer or multimerized 426c TM4ΔV1-3) generated antibodies that only neutralized HIV-1 strains with incomplete glycosylation (position 276) while immunization with native-like trimers (BG505 SOSIP or YU2 SOSIP) elicited heterologous tier 2 neutralizing antibodies (with short 5 amino acid CDR3 loops, avoiding steric hindrance with glycan 276).

Consequently, if gl targeting immunogens can activate naïve bnAb precursors and if, on the other hand, intermediates (like the mature heavy-chain 3BNC60 paired with short mouse CDR3 loops) can be selected by native trimers, the goal would be to induce the intermediates by a certain number of intermediate-stimulating immunogens. Three recent studies followed this strategy, either targeting the CD4 binding-site [67\*\*,68\*\*] or the high-mannose patch/V2 loop [69\*\*]:

Briney et al. [68\*\*] used gl VRC01 mice generated earlier by Jardine et al. [25\*] for their study. The mice express the gl heavy-chain V (VH1-2\*02) and J (IGHJ1\*01) gene in conjunction with the mature VRC01 CDR3 loop as surrogate for the unknown germline D gene, V-D and D-J junctions, paired with the naive mouse light-chain repertoire. The mice were primed by immunization with gl-targeting multimerized eOD-GT8, boosted with BG505-GT3 (a gp120 core which has been selected by yeast-display methods exhibiting low affinity to gl and high affinity to mature VRC01) followed by two further boosts with native trimer (based on BG505 SOSIP). The trimers used for the final boosts were lacking the N276 glycan which generally inhibits gl VRC01 binding but is present in 95% of all viruses. In consequence, the resulting sera had some breadth but were unable to neutralize virus strains comprising the N276 glycan. The authors state, that the elicited antibodies may be partially mature VRC01-class antibodies. Hence one or more additional antigens are needed to guide maturation of CD4bs directed antibodies towards sufficient affinity for fully glycosylated Env.

A more sophisticated mouse model was used by Tian et al. [67\*\*]. Here, the human VH1-2\*02 sequence was recombined into the mouse germline locus, replacing the most D-proximal V-segment (see figure 1, C). Furthermore, the intergenic control region 1 was deleted, favoring recombination using the VH1-2\*02 segment (along with deletion of the J region from the IgH allele *in trans*). The authors showed by high-throughput antibody repertoire sequencing that a larger proportion (approximately 40 %) of the B cells expressed IGHV1-2\*02, when in parallel a predicted precursor of the VRC01 light-chain was knocked in. The mice were immunized with gl-targeting eOD-GT6 60mer followed by a series of Env gp120s and SOSIP trimers (based on 426c) with increasing number of CD4-binding-site-surrounding glycans. This protocol simulates an incrementally growing glycan fence [77] which generally hampers the binding of antibodies to the CD4 binding-site. Sera from these mice showed broad neutralization of a panel of viruses in which the N276 glycan was deleted (N276A). It remains to be shown if and how the final adaption of VRC01 precursors may be accomplished. Probably, further maturation-guiding immunogens will prove valuable here.

In an analogous study [69\*\*], mice expressing the inferred germline heavy- and light-chain of PGT121 were immunized with a series of affinity-maturation-guiding antigens [65\*\*]. In this case, homozygote mice solely expressing gl heavy- and light-chain receptors (confirmed by single B cell sequencing) were generated. As initial gl-targeting antigen, a trimeric BG505-SOSIP derivative with deleted glycans at positions N137 and N133 (10MUT SOSIP), previously shown to bind gl PGT121, was administered. Prior to a final boost with native-like BG505-SOSIP.664 trimer (a mixture of variants differing in the variable loops), a number of boosting variants were administered which were designed rationally and then selected from targeted randomization libraries using an elegant mammalian display system and FACS sorting procedure [65\*\*]. These variants were initially qualified *in vitro* by measuring  $K_D$  values against gl-reverted and intermediate PGT121 variants which contained an increasing number of mature PGT121 mutations. For the following *in vivo* experiments, assorted immunogens were chosen which showed increasing affinities for the mature and decreasing affinity for the gl version of PGT121. The applied immunization regimen yielded PGT121-like antibodies in the mice that featured high levels of somatic mutations and resembled key mutations of the human bnAb, thus showing significant potency and breadth against heterologous tier 2 isolates.

In summary antibody-guided, epitope-focused vaccine designs [78\*] combined with sequential immunizations demonstrated for the first time the feasibility of eliciting bnAbs *in vivo*. Noteworthy, some of these advances were made in mouse models where no polyclonal response with competition between the desired naïve B cells and high affinity B cells against off-target epitopes are present.

## **Conclusion**

Eliciting HIV-1 broadly neutralizing antibodies in humans by a vaccine would certainly mark a turning point in the fight against AIDS. The complex nature of such antibodies, however, emphasizes the need for more elaborate vaccination strategies than those that had been pursued in the past. Recent work has shown unprecedented progress towards the identification of optimal immunogen designs and successful sequential immunization schemes in a variety of humanized mouse models displaying increasingly complex B cell responses. Two vulnerable sites, the CD4 binding site and the high mannose patch have been investigated in detail as potent epitopes selected for targeted B cell stimulation. Further efforts will be undertaken to engage gl B cell receptors and to stimulate B cell lineage development towards bnAbs targeting other vulnerable epitopes. Despite these very elegant experiments and the increasingly encouraging results, it has to be emphasized that these advances were made in low bar *in vivo* models where no polyclonal response with competition between the desired naïve B cells and high affinity B cells against off-target epitopes are present. It is therefore largely unclear to which extent B cell responses can be guided in an outbred situation, to what extent host genetics will influence the outcome of sequential immunizations, whether sufficiently high titers of bnAbs will be reached and how long lasting those responses will be. Although arguing against the above reported recent findings, simplified immunization regimens would be highly desirable in particular in view of the primary target population for a prophylactic vaccination in poor countries. Whether or not advanced adjuvants, clever depot/release formulations, optimized immunogen cocktails or prime/boost regimens may add to overcome some of these obstacles remains to be elucidated.

## **Key points**

- Extensive virus/antibody co-evolution is necessary to elicit bnAbs.
- Sequential immunization with a panel of carefully designed envelope immunogens may be required to elicit bnAbs.
- Several transgenic mouse models displaying pre-rearranged germline and mature heavy- and light-chain genes are available to study the concept of sequential immunization.
- Sets of sequential immunogens are capable of eliciting antibody responses that neutralize a broad panel of native or near-native tier 2 viruses.

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## **Conflicts of interest**

There are no conflicts of interest.

## **References and recommended reading**

Papers of particular interest, published within the annual period of review, have been highlighted as:  
\* of special interest, \*\* of outstanding interest

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**Profound review of developments and efforts on HIV envelope immunogen design.**

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**Reviews the characteristics of analytic, structure-based and epitope-based vaccinology by discussing recent developments in HCMV, HRSV, Influenza, HIV vaccine research.**