

N. Walter, D. Szymski, S. M. Kurtz, D. W. Lowenberg, V. Alt, E. C. Lau, M. Rupp

From University Hospital Regensburg, Regensburg, Germany

# HIP

# Complications and associated risk factors after surgical management of proximal femoral fractures

# Aims

This work aimed at answering the following research questions: 1) What is the rate of mechanical complications, nonunion and infection for head/neck femoral fractures, intertrochanteric fractures, and subtrochanteric fractures in the elderly USA population? and 2) Which factors influence adverse outcomes?

# **Methods**

Proximal femoral fractures occurred between 1 January 2009 and 31 December 2019 were identified from the Medicare Physician Service Records Data Base. The Kaplan-Meier method with Fine and Gray sub-distribution adaptation was used to determine rates for nonunion, infection, and mechanical complications. Semiparametric Cox regression model was applied incorporating 23 measures as covariates to identify risk factors.

# Results

Union failure occured in 0.89% (95% confidence interval (Cl) 0.83 to 0.95) after head/neck fracturs, in 0.92% (95% Cl 0.84 to 1.01) after intertrochanteric fracture and in 1.99% (95% Cl 1.69 to 2.33) after subtrochanteric fractures within 24 months. A fracture-related infection was more likely to occur after subtrochanteric fractures than after head/neck fractures (1.64% vs 1.59%, hazard ratio (HR) 1.01 (95% Cl 0.87 to 1.17); p < 0.001) as well as after intertrochanteric fractures (1.64% vs 1.13%, HR 1.31 (95% Cl 1.12 to 1.52); p < 0.001). Anticoagulant use, cerebrovascular disease, a concomitant fracture, diabetes mellitus, hypertension, obesity, open fracture, and rheumatoid disease was identified as risk factors. Mechanical complications after 24 months were most common after head/neck fractures with 3.52% (95% Cl 3.41 to 3.64; currently at risk: 48,282).

# Conclusion

The determination of complication rates for each fracture type can be useful for informed patient-clinician communication. Risk factors for complications could be identified for distinct proximal femur fractures in elderly patients, which are accessible for therapeutical treatment in the management.

Cite this article: Bone Jt Open 2023;4-10:801-807.

Keywords: Proximal femur fracture, Risk factors, Complications, Union failure, Fracture-related infection

# Introduction

Proximal femur fractures (PFFs) are among the most common type of fractures. These can be caused by a variety of factors such as falls, osteoporosis, and trauma. PFFs primarily affect elderly individuals, and comorbitant injuries are common.<sup>1</sup> The incidence is expected to rise as the ageing population increases.<sup>2</sup> Projections have estimated that the annual prevalence

would heighten from 1.26 million in 1990 to 4.5 million by 2050.<sup>3</sup>

PFFs can have significant consequences including increased morbidity, decreased quality of life, and one-year mortality rates up to 23%.<sup>4-6</sup> Additionally, PFFs are associated with high healthcare costs including long hospitalization periods, surgery, and rehabilitation and thus, it was ranked as the

Correspondence should be sent to PD Dr. Markus Rupp; email: Markus.rupp@ukr.de

doi: 10.1302/2633-1462.410.BJO-2023-0088.R1

Bone Jt Open 2023;4-10:801-807.

13th most expensive diagnosis.<sup>7</sup> A meta-analysis revealed one-year healthcare costs of USD \$43,000 per patient.<sup>8</sup> Treatment for PFF typically involves surgical intervention such as hip arthroplasty or internal fixation. For femoral neck fractures, a high number of arthroplasties is reported,<sup>9</sup> while intertrochanteric and subtrochanteric fractures are mainly treated with nailing.<sup>10,11</sup>

Despite advances in surgical techniques as well as interdisciplinary treatment approaches, complications such as failure of bony union, or the occurrence of a fracture-related infection, are still unavoidable. As optimal management including the considerations of risk factors for adverse events is critical for reducing the burden of this condition on both patients, and the healthcare systems, this work aimed at answering the following questions: 1) What is the rate of complications in terms of mechanical complications, nonunion and infection after surgical fixation of head/neck femoral fractures, intertrochanteric fractures, and subtrochanteric fractures in the elderly USA population? and 2) Which factors influence adverse outcomes?

# **Methods**

Proximal femoral fractures occurring between 1 January 2009 and 31 December 2019 were identified from the Medicare Physician Service Records Data Base. These records encompassed services rendered in medical offices, clinics, hospitals, emergency departments, skilled nursing facilities, and other healthcare institutions. They were compiled by the Centres for Medicare and Medicaid Services (CMS) and, after deidentification, were made available for research, known as the Limited Data Set (LDS). CMS replaced the beneficiary's identity with a synthetic and unique ID in the LDS data sets, which allowed patients to be followed longitudinally for survivorship and outcomes analyses. The population of interest included elderly Medicare patients (aged 65 years and above). Since the CMS data are deidentified, it was exempt from review by the Institutional Review Board.

The International Classification of Diseases (ICD), Ninth and Tenth Revisions, 12,13 were used to identify femoral fractures from these physician records. Diagnoses in claims submitted before 1 October 2015 were recorded in ICD-9-CM and thereafter in ICD-10-CM. Several steps were implemented to ensure that the identified fracture was true, and was a new fracture. First, only records with fracture diagnosis listed as the primary diagnosis were retained. Second, there must be no fracture record of the same type in the previous year. Concurrent fracture of different parts of the femur (e.g. a head/neck fracture) was not uncommon, and was included. Some patients did experience the same type of fracture more than once during the ten-year study period, but from one fracture to the next, a minimal interval of one year was required to ensure that the next fracture was not associated with continued care for the previous fracture. Third, for fractures coded using ICD-10, the seventh digit must be "A", "B", or "C", indicating a new encounter with that condition. Fractures with diagnoses indicating postoperative care for healing of fracture, or codes that indicated malunion or nonunion, would not be counted because these conditions were consistent only with pre-existing fractures.

Three types of outcome analysis were investigated. They were: a) the likelihood of malunion or nonunion following the fracture, b) the risk of post-fracture infection, and c) mechanical complication following fracture repairs.

Statistical analysis. We used survival analysis techniques to analyze these outcomes. The Kaplan-Meier (KM) method, with the Fine and Gray sub-distribution adaptation, was used to calculate the cumulative incidence rate of the malunion/nonunion, infection, and mechanical complications.<sup>14</sup> We also used the semiparametric Cox regression with competing risk correction to investigate these outcomes and to compare the risk between different types of femoral fracture, after adjusting for a number of potential confounding factors. The Cox models incorporated demographic, clinical, and several community-level socioeconomic measures as covariates. The demographic factors included: age, sex, race, resident region, and Medicare buy-in (as a surrogate for patient's economic status). Clinical factors included were osteoporosis, obesity, diabetes mellitus, rheumatoid disease, chronic kidney disease, tobacco dependence, regular use of anticoagulant, regular insulin use, regular non-steroidal anti-inflammatory drugs (NSAID) use, hypertension, ischaemic heart disease, cerebrovascular disease, chronic obstructive pulmonary disease (COPD), and congestive heart failure. These conditions were identified from physician records in a one-year period prior to the fracture. Supplementary Table i provides the codes used to identify these conditions. These conditions could appear as either primary or secondary diagnosis, but at least two mentions of such condition in the prior year was required. All data processing and statistical analyses were performed using the SAS statistical software (Version 9.4; SAS Institute, USA) and significance was determined at  $\alpha = 0.05$ .

# Results

**Study population.** A total of 163,091 proximal femoral fractures were identified. Out of these, 97,957 (60.0%) were head/neck fractures, 56,896 (34.9%) intertrochanteric fractures, and 8,238 (5.1%) subtrochanteric fractures. The majority of patients was in the age group 80 years or older (112,841, 69.2%). Patients were predominantly female (117,724, 72.2%) (Table I).

**Union failure.** The union failure rate rose from 0.44% (95% confidence interval (Cl) 0.40 to 0.49; currently at risk: 65,263) after 12 months to 0.89% (95% Cl 0.83 to

 Table I. Demographic data and comorbidities of the study population.

	Fracture									
Variable	All proximal femur Fx		Head/neck		Pertrochanteric		Subtrochanteric			
Demographic	Fx, n	Fx, %	Fx, n	Fx, %	Fx, n	Fx, %	Fx, n	Fx, %		
Total	163,091	100.0	97,957	100.0	56,896	100.0	8,238	100.0		
Age at Fx, yrs										
65 to 69	10,150	6.2	6,303	6.4	3,270	5.7	577	7.0		
70 to 74	16,926	10.4	10,385	10.6	5,567	9.8	974	11.8		
75 to 79	23,174	14.2	14,357	14.7	7,603	13.4	1,214	14.7		
80+	112,841	69.2	66,912	68.3	40,456	71.1	5,473	66.4		
Medicare buy-in										
No buy-in	131,557	80.7	79,257	80.9	45,627	80.2	6,673	81.0		
State buy-in	31,467	19.3	18,661	19.1	11,245	19.8	1,561	18.9		
Unknown	67	0.0	39	0.0	24	0.0	4	0.0		
Race										
Black	5,717	3.5	3,589	3.7	1,805	3.2	323	3.9		
Other	6,455	4.0	3,806	3.9	2,301	4.0	348	4.2		
White	150,919	92.5	90,562	92.5	52,790	92.8	7,567	91.9		
Sex										
Female	117,724	72.2	70,618	72.1	41,023	72.1	6,083	73.8		
Male	45,367	27.8	27,339	27.9	15,873	27.9	2,155	26.2		
USA regions										
Midwest	37,638	23.1	22,501	23.0	13,184	23.2	1,953	23.7		
Northeast	30,454	18.7	18,100	18.5	10,783	19.0	1,571	19.1		
South	67,592	41.4	40,778	41.6	23,449	41.2	3,365	40.8		
West	27,407	16.8	16,578	16.9	9,480	16.7	1,349	16.4		
Urban-rural										
Metro large	73,698	45.2	44,266	45.2	25,871	45.5	3,561	43.2		
Metro medium	36,051	22.1	21,652	22.1	12,537	22.0	1,862	22.6		
Metro small	18,352	11.3	11,118	11.3	6,291	11.1	943	11.4		
Non-metro large urban	13,080	8.0	7,807	8.0	4,588	8.1	685	8.3		
Non-metro small urban	17,688	10.8	10,598	10.8	6,139	10.8	951	11.5		
Total rural	4,165	2.6	2,480	2.5	1,452	2.6	233	2.8		
Clinical										
Anticoagulant use										
No	151,796	93.1	91,161	93.1	53,062	93.3	7,573	91.9		
Yes	11,295	6.9	6,796	6.9	3,834	6.7	665	8.1		
COPD										
No	135,290	83.0	81,389	83.1	46,996	82.6	6,905	83.8		
Yes	27,801	17.0	16,568	16.9	9,900	17.4	1,333	16.2		
Cerebrovascular disease										
No	140,977	86.4	84,614	86.4	49,127	86.3	7,236	87.8		
Yes	22,114	13.6	13,343	13.6	7,769	13.7	1,002	12.2		
Chronic kidney disease										
No	141,984	87.1	85,311	87.1	49,480	87.0	7,193	87.3		
Yes	21,107	12.9	12,646	12.9	7,416	13.0	1,045	12.7		
Congestive heart failure	127510	04.2	02.010	04.4	47745	02.0	( 070	02.5		
NO	137,542	84.3	82,919	84.6	47,745	83.9	6,878	83.5		
res	25,549	15./	15,038	15.4	9,151	16.1	1,360	16.5		
Concomitant Fx	146 171	80.0	07744	00 1	<b>61 000</b>	00.0	7.600	01.1		
NO Var	146,4/1	89.8	8/,/46	89.6	51,223	90.0	7,502	91.1		
res	16,620	10.2	10,211	10.4	5,673	10.0	/36	8.9		
	134.010	77 5	75 401	77 ^	42.202	7/ 1	( 105	74.4		
NO Var	124,818	76.5	/5,401	//.0	43,292	/6.1	6,125	/4.4		
res	38,2/3	23.5	22,556	23.0	13,604	23.9	2,113	25.6		
rail-related Fx	124 207	02.4	00.045	02.4	46 501	01 7	( 0 11	04.2		
INO	134,38/	82.4	80,945	82.6	46,501	81./	6,941	84.3		

# Table I. Continued

	Fracture									
Variable Demographic	All proximal femur Fx		Head/neck		Pertrochanteric		Subtrochanteric			
	Fx, n	Fx, %	Fx, n	Fx, %	Fx, n	Fx, %	Fx, n	Fx, %		
Yes	28,704	17.6	17,012	17.4	10,395	18.3	1,297	15.7		
Hypertensive disease										
No	53,065	32.5	31,964	32.6	18,522	32.6	2,579	31.3		
Yes	110,026	67.5	65,993	67.4	38,374	67.4	5,659	68.7		
Insulin use										
No	161,317	98.9	96,952	99.0	56,239	98.8	8,126	98.6		
Yes	1,774	1.1	1,005	1.0	657	1.2	112	1.4		
Ischaemic heart disease										
No	124,648	76.4	74,975	76.5	43,326	76.1	6,347	77.0		
Yes	38,443	23.6	22,982	23.5	13,570	23.9	1,891	23.0		
Morbid obesity										
No	162,180	99.4	97,432	99.5	56,597	99.5	8,151	98.9		
Yes	911	0.6	525	0.5	299	0.5	87	1.1		
NSAID use										
No	162,990	99.9	97,893	99.9	56,864	99.9	8,233	99.9		
Yes	101	0.1	64	0.1	32	0.1	5	0.1		
Open Fx										
No	161,440	99.0	96,902	98.9	56,425	99.2	8,113	98.5		
Yes	1,651	1.0	1,055	1.1	471	0.8	125	1.5		
Opioid use										
No	162,525	99.7	97,615	99.7	56,694	99.6	8,216	99.7		
Yes	566	0.3	342	0.3	202	0.4	22	0.3		
Osteoporosis Dx										
No	144,726	88.7	87,377	89.2	50,277	88.4	7,072	85.8		
Yes	18,365	11.3	10,580	10.8	6,619	11.6	1,166	14.2		
Prior osteoporotic Fx										
No	159,367	97.7	95,813	97.8	55,547	97.6	8,007	97.2		
Yes	3,724	2.3	2,144	2.2	1,349	2.4	231	2.8		
Rheumatoid arthritis										
No	159,082	97.5	95,501	97.5	55,570	97.7	8,011	97.2		
Yes	4,009	2.5	2,456	2.5	1,326	2.3	227	2.8		
Tobacco dependence										
No	159,809	98.0	96,047	98.1	55,661	97.8	8,101	98.3		
Yes	3,282	2.0	1,910	1.9	1,235	2.2	137	1.7		
Vehicle-related Fx										
No	162,842	99.8	97,827	99.9	56,799	99.8	8,216	99.7		
Yes	249	0.2	130	0.1	97	0.2	22	0.3		

COPD, chronic obstructive pulmonary disease; Dx, diagnosis; Fx, fracture; NSAID, non-steroidal anti-inflammatory drugs.

0.95; currently at risk: 49,618) after 24 months for head/ neck fractures, from 0.45% (95% CI 0.39 to 0.51; currently at risk: 36,967) after 12 months to 0.92% (95% CI 0.84 to 1.01, currently at risk: 27,691) after 24 months for intertrochanteric fractures and from 1.23% (95% CI 1.00 to 1.40, currently at risk: 5,625) after 12 months to 1.99% (95% CI 1.69 to 2.33, currently at risk: 4,380) after 24 months for subtrochanteric fractures (Figure 1). Union failure was significantly less common in patients aged 75 to 79 years (hazard ratio (HR) 0.73 (95% CI 0.63 to 0.84); p < 0.001) and in patients older than 80 years (HR 0.42 (95% CI 0.37 to 0.47); p < 0.001) compared to patients aged 65 to 69 years. Further, in comparison to femoral neck fractures, failure of union was more likely to occur after subtrochanteric fractures (HR 1.54 (95% Cl 1.39 to 1.71); p < 0.001). Significant risk factors included cerebrovascular disease, concomitant fracture, congestive heart failure, fall-related fracture, hypertension, osteoporosis, and rheumatoid disease (Table II).

**Fracture-related infection.** For all three fracture types, the occurrence of a fracture-related infection increased with time (Figure 2). The infection rate rose from 0.51% (95% Cl 0.46 to 0.55; currently at risk: 90,101) after one month, to 1.59% (95% Cl 1.51 to 1.67; currently at risk: 49,449) after 24 months for head/neck fractures, from 0.32% (95% Cl 0.28 to 0.37; currently at risk: 52,057)



Fig. 1

Risk of proximal femur fracture consolidation failure (malunion or nonunion) as a function of time.



Risk of mechanical complications after proximal femur fracture as a function of time.

after one month to 1.13% (95% CI 1.05 to 1.23; currently at risk: 27,708) after 24 months for intertrochanteric fractures and from 0.56% (95% CI 0.42 to 0.74; currently at risk: 7,575) after one month to 1.64% (95% CI 1.38 to 1.94; currently at risk: 4,431)) after 24 months for subtrochanteric fractures. A fracture-related infection was more likely to occur after subtrochanteric fractures than after head/neck fractures (HR 1.01 (95% CI 0.87 to 1.17); p < 0.001) as well as after intertrochanteric fractures (HR 1.31 (95% CI 1.12 to 1.52); p < 0.001).

The occurrence of a fracture-related infection was significantly less common in patients aged 70 to 74 years (HR 0.83 (95% CI 0.70 to 0.99); p = 0.036), in patients aged 75 to 79 years (HR 0.62 (95% CI 0.52 to 0.74); p < .001) and in patients older than 80 years (HR 0.33 (95% CI 0.28 to 0.39); p < 0.001) compared to patients aged 65 to 69 years. Further significant risk factors



Risk of fracture-related infections after proximal femur fracture as a function of time

included anticoagulant use, cerebrovascular disease, a concomitant fracture, diabetes mellitus, hypertension, obesity, open fracture, and rheumatoid disease (Table I). Mechanical complications. Mechanical complications were also found to increase with time in proximal femoral fractures (Figure 3). The complication rate rose from 1.28% (95% CI 1.21 to 1.3; currently at risk: 89,410) after one month to 3.52% (95% CI 3.41 to 3.64; currently at risk: 48,282) after 24 months for head/neck fractures, from 0.69% (95% CI 0.63 to 0.76; currently at risk: 51,863) after one month to 2.54% (95% CI 2.41 to 2.68; currently at risk: 27,207) after 24 months for intertrochanteric fractures and from 0.72% (95% CI 0.55 to 0.92; currently at risk: 7,562) after one month to 2.98% (95% CI 2.62 to 3.37; currently at risk: 4,351) after 24 months for subtrochanteric fractures. A mechanical complication was more likely to occur after head/neck fractures compared to intertrochanteric fractures (HR 1.35 (95% CI 1.29 to 1.40); p < 0.001) and subtrochanteric fractures (HR 1.20 (95% CI: 1.07 to 1.35); p < 0.001).

The occurrence of a mechanical complication was significantly less common in patients aged 75 to 79 years (HR 0.73 (95% Cl 0.65 to 0.83); p < 0.001) and in patients older than 80 years (HR 0.45 (95% Cl 0.40 to 0.50); p < 0.001) compared to patients aged 65 to 69 years. Further significant risk factors included COPD, cerebrovascular disease, congestive heart failure, fall-related fracture, hypertension, rheumatoid disease, and poverty (Table I).

# Discussion

The present analysis provides an estimation of complication rates after PFF, with its associated risk factors, based on Medicare registry data of elderly patients. Impaired fracture consolidation was reported in 0.89% of head/neck fractures and 0.92% of intertrochanteric fractures after 24 months. Subtrochanteric fractures

Union failure			Fracture-related infection			Mechanical complications		
HR (95% CI)	χ2	p-value	HR (95% CI)	χ2	p-value	HR (95% CI)	χ2	p-value
0.90 (0.78 to 1.04)	2.06	0.151	0.83 (0.70 to 0.99)	4.39	0.036	0.90 (0.79 to 1.03)	2.36	
0.73 (0.63 to 0.84)	19.65	< 0.001	0.62 (0.52 to 0.74)	27.62	< 0.001	0.73 (0.65 to 0.83)	22.96	< 0.001
0.42 (0.37 to 0.47)	180.28	< 0.001	0.33 (0.28 to 0.39)	184.26	< 0.001	0.45 (0.40 to 0.50)	189.24	< 0.001
0.98 (0.90 to 1.08)	0.11	0.736	1.11 (0.99 to 1.25)	3.08	0.079	1.04 (0.96 to 1.13)	1.06	0.302
1.04 (0.89 to 1.21)	0.24	0.621	1.36 (1.15 to 1.61)	12.60	< 0.001	1.02 (0.89 to 1.17)	0.11	0.735
0.95 (0.86 to 1.05)	1.00	0.317	0.99 (0.87 to 1.12)	0.04	0.842	0.90 (0.82 to 0.98)	5.25	0.022
0.88 (0.79 to 0.99)	1 57	0.023	0 81 (0 69 to 0 94)	792	0.005	0 87 (0 70 to 0 97)	6.06	0.008
1.03 (0.91 to 1.16)	4.57	0.033	1.08(0.93  to  1.25)	0.93	0.005	0.87 (0.79 to 0.97)	1 11	0.008
1.20 (1.07 to 1.34)	9.92	0.002	1.16 (1.00 to 1.35)	3.90	0.048	0.96 (0.86 to 1.07)	0.49	0.483
0.88 (0.79 to 0.99)	4.45	0.035	0.92 (0.80 to 1.06)	1.35	0.245	0.83 (0.75 to 0.93)	11.17	< 0.001
1.01 (0.92 to 1.11)	0.02	0.881	1.19 (1.06 to 1.34)	8.27	0.004	0.94 (0.86 to 1.02)	2.06	0.151
0.90 (0.83 to 0.97)	6.89	0.009	0.95 (0.85 to 1.06)	0.93	0.335	0.90 (0.84 to 0.97)	8.27	0.004
1.22 (1.11 to 1.33)	19.17	< 0.001	1.27 (1.13 to 1.43)	15.88	< 0.001	1.23 (1.14 to 1.33)	28.21	< 0.001
1.17 (0.86 to 1.61)	0.99	0.319	1.20 (0.82 to 1.75)	0.91	0.340	0.79 (0.55 to 1.14)	1.57	0.211
1.04 (0.94 to 1.14)	0.56	0.455	1.01 (0.89 to 1.14)	0.01	0.915	1.05 (0.96 to 1.15)	1.15	0.283
1.39 (0.96 to 2.01)	3.00	0.083	2.84 (2.10 to 3.84)	46.38	< 0.001	1.28 (0.92 to 1.80)	2.10	0.147
0.79 (0.58 to 1.07)	2.39	0.122	1.41 (1.05 to 1.89)	5.22	0.022	1.13 (0.90 to 1.42)	1.09	0.296
1.26 (1.13 to 1.42)	16.11	< 0.001	0.99 (0.85 to 1.16)	0.01	0.928	1.10 (0.99 to 1.23)	3.41	0.065
1.34 (1.10 to 1.63)	8.63	0.003	1.84 (1.45 to 2.33)	25.22	< 0.001	1.53 (1.29 to 1.81)	23.68	< 0.001
1.07 (0.85 to 1.35)	0.32	0.573	1.02 (0.76 to 1.38)	0.02	0.892	1.03 (0.82 to 1.29)	0.06	0.807
	Union failure HR (95% Cl) 0.90 (0.78 to 1.04) 0.73 (0.63 to 0.84) 0.42 (0.37 to 0.47) 0.98 (0.90 to 1.08) 1.04 (0.89 to 1.21) 0.95 (0.86 to 1.05) 0.88 (0.79 to 0.99) 1.03 (0.91 to 1.16) 1.20 (1.07 to 1.34) 0.88 (0.79 to 0.99) 1.01 (0.92 to 1.11) 0.90 (0.83 to 0.97) 1.22 (1.11 to 1.33) 1.17 (0.86 to 1.61) 1.04 (0.94 to 1.14) 1.39 (0.96 to 2.01) 0.79 (0.58 to 1.07) 1.26 (1.13 to 1.42) 1.34 (1.10 to 1.63) 1.07 (0.85 to 1.35)	Union failure           HR (95% Cl)         x2           0.90 (0.78 to 1.04)         2.06           0.73 (0.63 to 0.84)         19.65           0.42 (0.37 to 0.47)         180.28           0.98 (0.90 to 1.08)         0.11           1.04 (0.89 to 1.21)         0.24           0.95 (0.86 to 1.05)         1.00           0.88 (0.79 to 0.99)         4.57           1.03 (0.91 to 1.16)         0.21           1.20 (1.07 to 1.34)         9.92           0.88 (0.79 to 0.99)         4.45           1.01 (0.92 to 1.11)         0.02           0.90 (0.83 to 0.97)         6.89           1.22 (1.11 to 1.33)         19.17           1.17 (0.86 to 1.61)         0.99           1.04 (0.94 to 1.14)         0.56           1.39 (0.96 to 2.01)         3.00           0.79 (0.58 to 1.07)         2.39           1.26 (1.13 to 1.42)         16.11           1.34 (1.10 to 1.63)         8.63           1.07 (0.85 to 1.35)         0.32	Union failure           HR (95% CI)         x2         p-value           0.90 (0.78 to 1.04)         2.06         0.151           0.73 (0.63 to 0.84)         19.65         < 0.001	Union failureFracture-related in HR (95% CI) $\chi^2$ p-valueFracture-related in HR (95% CI)0.90 (0.78 to 1.04)2.060.1510.83 (0.70 to 0.99)0.73 (0.63 to 0.84)19.65< 0.001	Union failure         Fracture-related infection           HR (95% CI) $\chi^2$ p-value         HR (95% CI) $\chi^2$ 0.90 (0.78 to 1.04)         2.06         0.151         0.83 (0.70 to 0.99)         4.39           0.73 (0.63 to 0.84)         19.65         < 0.001	Union failure         Fracture-related infection           HR (95% CI) $\chi^2$ p-value         HR (95% CI) $\chi^2$ p-value           0.90 (0.78 to 1.04)         2.06         0.151         0.83 (0.70 to 0.99)         4.39         0.036           0.73 (0.63 to 0.84)         19.65         < 0.001	Union failure         Fracture-related infection         Mechanical complemental conditin condinal complemental complementan complemental compleme	Union failure         Fracture-related infection         Mechanical complications           HR (95% CI) $\chi^2$ p-value         HR (95% CI) $\chi^2$ 0.90 (0.78 to 1.04)         1.965         <0.011

Table II. Multivariate analysis of risk factors for union failure, fracture-related infection, and mechanical complications after proximal femur fractures.

CI, confidence interval; HR, hazard ratio.

were associated with a higher risk of union failure (1.99% (95% CI 1.69 to 2.33)). The rates were lower compared to findings in the literature. For instance, a multicentre, randomized trial showed a 21% revision rate after low-energy femoral neck fractures in patients aged over 50 years treated with sliding hip screw or cannulated screws. Further, only 67% of all fractures consolidated fully by 24 months.<sup>15</sup> Whereas here, cerebrovascular disease, concomitant fractures, congestive heart failure, fall-related fracture, hypertension, osteoporosis, and rheumatoid disease were determined as risk factors. Other studies have also found female sex, high BMI, and displaced fractures to be a prerequisite for necessary revision surgeries.<sup>16,17</sup>

The occurrence of a fracture-related infection was reported in 1.59% of head/neck fractures and 1.13% of intertrochanteric fractures after 24 months. Subtrochanteric fractures were associated with a higher risk of infection (1.64% (95% CI 1.38 to 1.94)). A recent metaanalysis of pooled data from 20 studies reporting on 88,615 patients estimating a incidence of 2.1% (95% CI 1.54 to 2.62), whereby infection incidences were higher after hemiarthroplasty (2.87% (95% CI 1.99 to 3.75)) compared to sliding hip screws (1.35% (95% CI 0.78 to 1.93)).<sup>18</sup> Comparable infection rates of 1.05% after proximal femoral fractures were also reported.<sup>19</sup> Determined risk factors included anticoagulant use, cerebrovascular disease, a concomitant fracture, diabetes mellitus, hypertension, obesity, open fracture, and rheumatoid disease. Other authors also found BMI (p = 0.031), corticosteroid therapy (p = 0.003), and anaemia (p = 0.041), length of hospital stay (15 vs 8 days, p < 0.001), and operating time (117 vs 77 minutes, p < 0.001) as associative factors in the development of an infection.<sup>20,21</sup>

This study revealed a maximum mechanical complication rate of 3.52% after head/neck fractures. The numbers were considerably lower compared to patients younger than 60 years, for which up to 10% out of 1,600 fractures have been reported.<sup>22</sup> Other investigations found 7% mechanical failure rates. <sup>23,24</sup>

A limitation of the study is that the data, based on the Medicare 5% sample equivalent to the records from approximately 1.6 million enrollees, are not truly a clinical data set, but administrative claims data. In particular, the coding of surgical therapies is often inaccurate from a surgical perspective and can only describe the exact surgical procedure to a limited extent. Therefore, detailed analysis of treatment concepts was not a focus of the present study. However, it was possible to ensure that all included patients underwent a surgical procedure for fracture fixation. In contrast, it can be assumed that the extensive information on patient characteristics and complications has a high level of quality due to its relevance for reimbursement of costs. In terms of the range of available parameters, the Medicare dataset is characterized by a richness of relevant parameters that is incomparable to other registry data.

In conclusion, despite a prevalence lower than 5%, complications after surgical management of PFFs can be challenging. The determination of complication rates for each fracture type can be useful for informed patientclinician communication. Risk factors for complications could be identified for distinct PFFs in elderly patients, which are accessible for therapeutical treatment in the management of these complications.



## Take home message

- The determination of complication rates for each fracture type can be useful for informed patient-clinician communication.

- Risk factors for complications could be identified for distinct proximal femur fractures in elderly patients, which are accessible for therapeutical treatment in the management of these complications.

# Supplementary material

A table of the ICD-9 and ICD-10 codes of the clinical indicator used.

# References

- 1. Schoeneberg C, Pass B, Oberkircher L, et al. Impact of concomitant injuries in geriatric patients with proximal femur fracture: an analysis of the Registry for Geriatric Trauma. Bone Joint J. 2021;103-B(9):1526-1533
- 2. Court-Brown CM, McQueen MM. Global forum: Fractures in the elderly. J Bone Joint Surg Am. 2016;98-A(9):e36.
- 3. Veronese N, Maggi S. Epidemiology and social costs of hip fracture. Injury. 2018;49(8):1458-1460
- 4. Alexiou KI, Roushias A, Varitimidis SE, Malizos KN. Quality of life and psychological consequences in elderly patients after a hip fracture: a review. Clin Interv Aging. 2018;13:143-150.
- 5. Brauer CA, Coca-Perraillon M, Cutler DM, Rosen AB. Incidence and mortality of hip fractures in the United States. JAMA. 2009;302(14):1573-1579.
- 6. Welford P, Jones CS, Davies G, et al. The association between surgical fixation of hip fractures within 24 hours and mortality: a systematic review and meta-analysis. Bone Joint J. 2021;103-B(7):1176-1186.
- 7. Roberts KC, Brox WT, Jevsevar DS, Sevarino K. Management of hip fractures in the elderly. J Am Acad Orthop Surg. 2015;23(2):131-137.
- 8. Williamson S, Landeiro F, McConnell T, et al. Costs of fragility hip fractures globally: a systematic review and meta-regression analysis. Osteoporos Int. 2017;28(10):2791-2800.
- 9. Szymski D, Walter N, Lang S, et al. Incidence and treatment of intracapsular femoral neck fractures in Germany. Arch Orthop Trauma Surg. 2023;143(5):2529-2537.
- 10. Saul D, Riekenberg J, Ammon JC, Hoffmann DB, Sehmisch S. Hip fractures: Therapy, timing, and complication spectrum. Orthop Surg. 2019;11(6):994-1002.
- 11. Socci AR, Casemyr NE, Leslie MP, Baumgaertner MR. Implant options for the treatment of intertrochanteric fractures of the hip: rationale, evidence, and recommendations. Bone Joint J. 2017;99-B(1):128-133.
- 12. No authors listed. Centers for Disease Control and Prevention (CDC). 2021. https:// www.cdc.gov/nchs/icd/icd9cm.htm (date last accessed 1 July 2023).
- 13. No authors listed. Centers for Disease Control and Prevention (CDC). 2021. https:// www.cdc.gov/nchs/icd/icd10.htm (date last accessed 1 July 2023).
- 14. Nolan EK, Chen H-Y. A comparison of the Cox model to the Fine-Gray model for survival analyses of re-fracture rates. Arch Osteoporos. 2020;15(1):86
- 15. Chughtai M, Khlopas A, Mont MA. Fixation methods in the management of hip fractures. Lancet. 2017;389(10078):1493-1494.
- 16. Sprague S, Schemitsch EH, Swiontkowski M, et al. Factors associated with revision surgery after internal fixation of hip fractures. J Orthop Trauma. 2018;32(5):223-230.

- 17. Yang J-J, Lin L-C, Chao K-H, et al. Risk factors for nonunion in patients with intracapsular femoral neck fractures treated with three cannulated screws placed in either a triangle or an inverted triangle configuration. J Bone Joint Surg Am. 2013:95-A(1):61-69.
- 18. Masters J, Metcalfe D, Ha JS, Judge A, Costa ML. Surgical site infection after hip fracture surgery: a systematic review and meta-analysis of studies published in the UK. Bone Joint Res. 2020;9(9):554-562.
- 19. Theodorides AA, Pollard TCB, Fishlock A, et al. Treatment of post-operative infections following proximal femoral fractures: our institutional experience. Injury. 2011;42 Suppl 5:S28-34.
- 20. Ji C, Zhu Y, Liu S, et al. Incidence and risk of surgical site infection after adult femoral neck fractures treated by surgery: A retrospective case-control study. Medicine (Baltimore). 2019;98(11):e14882
- 21. Marom O, Yaacobi E, Shitrit P, et al. Proximal femoral fractures in geriatric patients: Identifying the major risk factors for postoperative infection in a singlecenter study. Isr Med Assoc J. 2021;23(8):494-496.
- 22. Slobogean GP, Sprague SA, Scott T, Bhandari M. Complications following young femoral neck fractures. Injury. 2015;46(3):484-491.
- 23. Zhang YL, Zhang W, Zhang CO. A new angle and its relationship with early fixation failure of femoral neck fractures treated with three cannulated compression screws. Orthop Traumatol Surg Res. 2017;103(2):229-234.
- 24. Schipper IB, Steyerberg EW, Castelein RM, et al. Treatment of unstable trochanteric fractures. Randomised comparison of the gamma nail and the proximal femoral nail. J Bone Joint Surg Br. 2004;86-B(1):86-94.

#### Author information:

- N. Walter, PhD, Researcher, Department of Trauma Surgery, University Medical Center Regensburg, Regensburg, Germany; Department of Psychosomatic Medi-cine, University Medical Center Regensburg, Regensburg, Germany.
   D. Szymski, MD, Physician
- V. Alt, PhD, MD, Clinical Professor M. Rupp, MD, Senior Physician
- Department of Trauma Surgery, University Medical Center Regensburg, Regensburg, Germany
- S. M. Kurtz, PhD, Research Professor, Implant Research Center, Drexel University, Philadelphia, Pennsylvania, USA.
- D. W. Lowenberg, MD, Clinical Professor, Department of Orthopaedic Surgery, Stanford University School of Medicine, Stanford, California, USA. E. C. Lau, PhD, Senior Managing Scientist, Exponent Inc, Menlo Park, California,
- USA

### Author contributions:

- N. Walter: Conceptualization, Methodology, Validation, Investigation, Writing Original draft. D. Szymski: Investigation, Validation, Writing – review & editing.
- S. M. Kurtz: Investigation, Validation, Writing review & editing.
- D. W. Lowenberg: Investigation, Validation, Writing review & editing.
   V. Alt: Investigation, Validation, Supervision, Writing review & editing.
- E. C. Lau: Conceptualization, Methodology, Formal analysis, Writing original
- draft M. Rupp: Conceptualization, Methodology, Validation, Project administration,
- Supervision, Writing review & editing

#### Funding statement:

The authors received no financial or material support for the research, authorship, and/or publication of this article. The authors have no conflict of interest to disclose.

#### ICMIE COI statement:

E. Lau is an employee of Exponent Inc, and S. M. Kurtz holds shares in Exponent Inc, which has been paid fees by companies and suppliers for their consulting services, including Stryker Orthopedics, Ferring Pharmaceutical, Boston Scientific, Medtronic Inc., Sanofi Incs, Ceramtec Inc., Relievant Medsystem Inc., and Alcon Inc.

# Data sharing:

The data that support the findings for this study are available to other researchers from the corresponding author upon reasonable request.

#### Ethical review statement:

Since the CMS data is deidentified, IRB approval was waived by the ethic committee of the University Hospital Regensburg, Germany. This work was performed in accordance with the Declaration of Helsinki.

Open access funding: Open access funding was provided by the University Regensburg, Germany.

© 2023 Author(s) et al. This is an open-access article distributed under the terms of the Creative Commons Attribution Non-Commercial No Derivatives (CC BY-NC-ND 4.0) licence, which permits the copying and redistribution of the work only, and provided the original author and source are credited. See https://creativecommons.org/licenses/ bv-nc-nd/4.0/