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## On Maximal Proper Subgroups of Field Automorphism Groups

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# ON MAXIMAL PROPER SUBGROUPS OF FIELD AUTOMORPHISM GROUPS

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ABSTRACT. Let  $G$  be the automorphism group of an extension  $F/k$  of algebraically closed fields of characteristic zero of transcendence degree  $n$ ,  $1 \leq n \leq \infty$ . In this paper we

- construct some maximal closed non-open, and some (all, in the case of countable transcendence degree) maximal open subgroups of  $G$ ;
- describe, in the case of countable transcendence degree, the automorphism subgroups over the intermediate subfields (a question of Krull, [K2]);
- construct a subfunctor  $\Gamma$  of the identity functor on the category of smooth representations of  $G$ , coincident (via the forgetful functor) with the functor  $\Gamma$  on the category of smooth admissible semi-linear representations of  $G$  constructed in [R2] in the case  $n = \infty$  and  $k = \overline{\mathbb{Q}}$ .

## 1. STRUCTURE OF $G$

Let  $F/k$  be an extension of countable or finite transcendence degree  $n$ ,  $1 \leq n \leq \infty$ , of algebraically closed fields of characteristic zero, and  $G = G_{F/k}$  be its automorphism group.

Following [Jac, IIII-III, Sh, I] (and generalizing the case [K1] of algebraic extension), consider  $G$  as a topological group with the base of open subgroups given by the stabilizers of finite subsets of  $F$ . Then  $G$  is a totally disconnected Hausdorff group, and for any intermediate subfield  $k \subseteq K \subseteq F$  the topology on  $G_{F/K}$  coincides with the restriction of the topology on  $G$ .  $G$  is locally compact if and only if  $n < \infty$ .

The classical morphism  $\beta : \{\text{subfields } F \text{ over } k\} \hookrightarrow \{\text{closed subgroups of } G\}$ , given by  $K \mapsto G_{F/K}$ , is injective, inverts the inclusions, transforms the compositum of subfields to the intersection of subgroups, and respects the units:  $k \mapsto G$ . The image of  $\beta$  is stable under the passages to sup-/sub- groups with compact quotients;  $\beta$  identifies the subfields over which  $F$  is algebraic with the compact subgroups of  $G$  ([Jac, IIII-III, Sh, I]).

In particular, the proper subgroups in the image of  $\beta$  are the compact subgroups in the case  $n = 1$ .

The map  $H \mapsto F^H$ , left inverse of  $\beta$ , preserves the order, but it is not a morphism.

Let  $\text{AII}$  be the set of algebraically closed subfields of  $F$  of finite transcendence degree over  $k$ . There is a morphism of partially ordered commutative associative unitary monoids (transforming the intersection of subgroups to the algebraic closure of the compositum of subfields)  $\alpha : \{\text{open subgroups of } G\} \twoheadrightarrow \text{AII}$ , uniquely determined by the condition  $G_{F/\alpha(U)} \subseteq U$  and the transcendence degree of  $\alpha(U)$  over  $k$  is minimal. Details are in Proposition 2.6.

**Theorem 1.1** ([R1]).

1. *The subgroup  $G^\circ$  of  $G$ , generated by the compact subgroups, is open and topologically simple, if  $n < \infty$ . If  $n = \infty$  then  $G^\circ$  is dense in  $G$ .*
2. *Any closed normal proper subgroup of  $G$  is trivial, if  $n = \infty$ .*

If  $n < \infty$ , the left  $G$ -action on the one-dimensional oriented  $\mathbb{Q}$ -vector space of right-invariant measures on  $G$  gives rise to a surjective homomorphism, the modulus,  $\chi : G \rightarrow \mathbb{Q}_+^\times$ , which is trivial on  $G^\circ$ . However, I know nothing about the discrete group  $\ker \chi / G^\circ$ .

Then one can characterize the image of  $\beta$  in the case  $n = \infty$  in the following 4 steps.

1. The normalizers  $G_{\{F,L\}/k}$  of  $G_{F/L}$  in  $G$  for all  $L \in A\Pi \setminus \{k\}$  are the maximal open proper subgroups of  $G$ . (This follows from Proposition 2.6.)
2. The subgroups  $G_{F/L}$  of  $G$  for all  $L \in A\Pi \setminus \{k\}$  are minimal closed non-trivial normal subgroups in  $G_{\{F,L\}/k}$  from (1). (This follows from Theorem 1.1 (2).)
3. The subgroups  $G_{F/L}$  of  $G$  for all non-trivial extensions  $L \subset F$  of  $k$  of finite type are the open subgroups containing normal co-compact subgroups of type  $G_{F/\bar{L}}$  from (2). (This follows from the above Galois theory for  $\bar{L}/k$ .)
4. The proper subgroups in the image of  $\beta$  are intersections of subgroups from (3).

*Remark.* The subgroups  $G_{F/L}$  of  $G$  for all extensions  $L \subset F$  of  $k$  of finite type and transcendence degree one are the subgroups  $G_{F/L}$  from (3) with the only maximal proper subgroup of  $G$  containing them.

## 2. MAXIMAL OPEN SUBGROUPS

In this section we assume that  $\text{tr.deg}(F/k) = n \leq \infty$ .

**Lemma 2.1.** *If  $\bar{L}_1, \bar{L}_2$  are proper subfields of  $F$  and  $\text{tr.deg}(\bar{L}_1/\bar{L}_1 \cap \bar{L}_2) > 1$  then the group  $H$ , generated by  $G_{F/\bar{L}_1}$  and  $G_{F/\bar{L}_2}$ , contains  $G_{F/\bar{L}}$ , where  $\bar{L}$  is a subfield of  $F$ , not containing  $L_1$ , and such that  $\bar{L}_1 \cap \bar{L}$  contains  $\bar{L}_1 \cap \bar{L}_2$  as a proper subfield.*

*Proof.* Set  $k := \bar{L}_1 \cap \bar{L}_2$ . Clearly,  $H$  contains  $G_{F/\sigma(\bar{L}_1)}$  for any  $\sigma \in G_{F/\bar{L}_2}$ . As  $\sigma(\bar{L}_1)$  is algebraically closed and  $\bar{L}_1 \cap \sigma(\bar{L}_1) \supseteq k$ , it remains to choose  $\sigma \in G_{F/\bar{L}_2}$  such that  $\sigma^{-1}(\bar{L}_1) \not\subseteq \bar{L}_1$  and  $\bar{L}_1 \cap \sigma(\bar{L}_1) \neq k$ , and to set  $L := \sigma(\bar{L}_1)$ .

Let  $x \in \bar{L}_1 - k$  and  $y \in \bar{L}_1 - k(x)$ . We need  $\sigma \in G_{F/\bar{L}_2}$  such that  $\sigma x \in \bar{L}_1$  and  $\sigma^{-1}y \notin \bar{L}_1$ . If  $y$  is not in  $\bar{L}_2(x)$  then such  $\sigma \in G_{F/\bar{L}_2}$ , clearly, exists.

Let now  $y$  be algebraic over  $L_2(x)$ . Then  $x$  and  $y$  are related by a polynomial  $P(x, y) = 0$  with coefficients in  $\bar{L}_2$ . Let us enumerate the non-zero coefficients of  $P$  by a set  $\{0, \dots, N\}$ . We shall assume that the polynomial  $P(X, Y) = \sum_{s=0}^N p_s X^i Y^j$  is irreducible over  $\bar{L}_2$ , and  $p_0 = 1$ . Suppose that  $\sigma^{-1}(\bar{L}_1) \subseteq \bar{L}_1$  for any  $\sigma \in G_{F/\bar{L}_2}$  such that  $\sigma x \in \bar{L}_1$ . Then  $-(dP)(\sigma x, \sigma y) = P_I(\sigma x, \sigma y)d(\sigma x) + P_{II}(\sigma x, \sigma y)d(\sigma y) \in F \otimes_{L_1} \Omega_{L_1/k}^1$ , which can be rewritten as  $\sum_{s=1}^N \sigma x^i \sigma y^j dp_s \in F \otimes_{L_1} \Omega_{L_1/k}^1$ . It remains to show that the whole space  $F^N$  is the linear envelope of the vectors  $(x^{i_1} \sigma y^{j_1}, \dots, x^{i_N} \sigma y^{j_N})$  for  $\sigma \in G_{F/\bar{L}_2}$  and  $\sigma x \in \bar{L}_1$  (since then  $dp_s \in F \otimes_{L_1} \Omega_{L_1/k}^1$  for all  $s$ , i.e.  $p_s \in k$ ).

If  $\sum_{s=1}^N \lambda_s \sigma x^i \sigma y^j = 0$  for  $\sigma \in G_{F/\bar{L}_2}$  as above, then we may assume that  $\lambda_1 = 1$  and the number  $M$  of non-zero  $\lambda_s$  is minimal:  $\sum_{s=1}^M \lambda_s \sigma x^i \sigma y^j = 0$ . Subtracting from this equality its image under the action of an appropriate  $\sigma'$ , we get that  $\lambda_s \in \bigcap_{z \in \bar{L}_1 - k} \bar{L}_2(z) \subseteq \bigcap_{b=1}^\infty \bar{L}_2(x^b) = \bar{L}_2$ , contradicting the minimality of the polynomial  $P$ .  $\square$

**Corollary 2.2.** *If  $\text{tr.deg}(L_1/L_1 \cap L_2) < \infty$ ,  $L_1, L_2$  are proper algebraically closed subfields in  $F$  and  $L_1$  is not contained in  $L_2$  then  $H \supseteq G_{F/L}$  for some algebraically closed subfield  $L$  such that  $\text{tr.deg}(L_1/L_1 \cap L) = 1$ .*

*Proof.* The set of algebraically closed subfields of  $L$ , not containing  $L_1$  and such that  $H \supseteq G_{F/L}$ , is non-empty, since it contains  $L_2$ . Let  $\text{tr.deg}(L_1/L_1 \cap L)$  be minimal. According to Lemma 2.1,  $\text{tr.deg}(L_1/L_1 \cap L) = 1$ .  $\square$

**Lemma 2.3.** *If  $L_1, L_2$  are algebraically closed subfields in  $F$  and  $\text{tr.deg}(L_1/L_1 \cap L_2) = 1$  then the subgroup  $H$  generated by  $G_{F/L_1}$  and  $G_{F/L_2}$  is dense in  $G_{F/L_1 \cap L_2}$ .*

*Proof.* This is a version of Lemma 2.16 from [R1]. We may assume that  $L_2$  is a proper subfield of  $F$  not contained in  $L_1$ . Thus,  $L_1$  is an algebraic closure of  $k(x)$  for some  $x \in F - L_2$ , where  $k := L_1 \cap L_2$ . Then for any  $z \in F - L_2$ ,  $y \in F - L_1$  there exist  $\sigma \in G_{F/L_2}$ ,  $\tau \in G_{F/L_1}$  such that  $\sigma x = z$ ,  $\tau x = y$ . Then for any  $y \in F - k$  there exists  $\sigma \in G_{F/L_1} G_{F/L_2}$  such that  $\sigma x = y$ . It follows that  $H$  is a normal subgroup of  $G$ . If  $n = \infty$  then the topological group  $G$  is simple (Theorem 2.9, [R1]), i.e.,  $H$  is dense in  $G$ . If  $n < \infty$  then, according to the same Theorem 2.9, [R1], the topological group  $G^\circ$  is also simple, and thus, the closure of  $H$  contains  $G^\circ$ . It is known (Lemma 2.15, [R1]) that  $G = G_{F/L_1} G^\circ$ , i.e., the closure of  $H$  again coincides with  $G$ .  $\square$

**Corollary 2.4.** *If  $L_1, L_2$  are proper algebraically closed subfields of  $F$ ,  $L_1$  is not contained in  $L_2$  and  $\text{tr.deg}(L_1/L_1 \cap L_2) < \infty$  then the closure of  $H$  contains  $G_{F/L}$  for some proper algebraically closed subfield  $L$  in  $L_1$ .*

*Proof.* According to Corollary 2.2, there exists an algebraically closed subfield  $L'$  in  $F$  such that  $\text{tr.deg}(L_1/L_1 \cap L') = 1$  and  $H \supseteq G_{F/L'}$ . It follows from Lemma 2.3 that the subgroup generated by  $G_{F/L_1}$  and  $G_{F/L'}$  is dense in  $G_{F/L}$ , where  $L := L_1 \cap L'$ . We deduce from this that the closure of  $H$  contains some  $G_{F/L}$  of the desired type.  $\square$

**Proposition 2.5.** *The subgroup  $H = \langle G_{F/\overline{L_1}}, G_{F/\overline{L_2}} \rangle$  is dense in  $G_{F/\overline{L_1 \cap L_2}}$  for any subfields  $L_1$  and  $L_2$  in  $F$  such that  $\text{tr.deg}(\overline{L_1}/\overline{L_1} \cap \overline{L_2}) < \infty$ .*

*Proof.* We may assume that  $\overline{L_2}$  does not contain  $L_1$ , and  $\overline{L_1} \neq F$ . Set  $k := \overline{L_1} \cap \overline{L_2}$ . Let  $L = \overline{L} \supseteq k$  be such that  $H \supseteq G_{F/L}$  and let  $\text{tr.deg}(L/k) (\leq \text{tr.deg}(\overline{L_1}/k))$  be minimal. If  $L \not\subseteq \overline{L_j}$  ( $j = 1$  or  $j = 2$ ) then, according to Corollary 2.4, ( $\text{tr.deg}(L/L \cap \overline{L_j}) \leq \text{tr.deg}(L/k) < \infty$ ), the closure of the subgroup generated by  $G_{F/L}$  and  $G_{F/\overline{L_j}}$ , (and thus, the closure of  $H$  as well) contains  $G_{F/L'}$ , where  $L'$  is a proper algebraically closed subfield in  $L$ , contradicting the minimality of  $\text{tr.deg}(L/k)$ . Thus,  $L = k$ .  $\square$

**Proposition 2.6.** *There is a morphism of commutative associative unital monoids inverting inclusions (transforming the intersection of subgroups to the algebraic closure of the compositum of subfields, and the identity  $G$  to the identity  $k$ )*

$$\left\{ \begin{array}{c} \text{open} \\ \text{subgroups of } G \end{array} \right\} \xrightarrow{\alpha} \left\{ \begin{array}{c} \text{algebraically closed subfields of } F \\ \text{of finite transcendence degree over } k \end{array} \right\} =: \text{APII},$$

*uniquely determined by the following equivalent conditions:*

- $G_{F/\alpha(H)}$  is a normal subgroup of  $H$  and, if possible,  $\alpha(H) \neq F$ ;
- $G_{F/\alpha(H)} \subseteq H$  and  $\text{tr.deg}(\alpha(H)/k)$  is minimal.

*In particular, for any  $L \in \text{APII}$  distinct from  $F$  and  $k$ , the normalizer  $G_{\{F,L\}/k}$  of  $G_{F/L}$  is maximal among proper subgroups of  $G$ .*

*If  $n = \infty$  then any proper open subgroup of  $G$  is contained in a maximal proper subgroup of  $G$ , and any maximal proper open subgroup of  $G$  is of type  $G_{\{F,L\}/k}$  for some  $L \in \text{APII}$ ,  $L \neq k$ .*

*Proof.* If a subgroup  $H$  of  $G$  is open then there exists  $L \in A\Pi$  such that  $G_{F/L} \subseteq H$ . Let  $L$  be of minimal possible transcendence degree. For any  $\sigma \in H$  the group  $H$  contains the closure of the subgroup generated by  $G_{F/L}$  and  $G_{F/\sigma(L)}$ . Then according to Proposition 2.5,  $H$  contains  $G_{F/L \cap \sigma(L)}$ , and therefore,  $\sigma(L) \supseteq L$ . Thus,  $H \subseteq G_{\{F,L\}/k}$ . In other words,  $G_{F/L} \triangleleft H$ .

Let us check the maximality of  $G_{\{F,L\}/k}$  for  $L \neq k, F$ . If  $G_{\{F,L\}/k} \subseteq U$  then  $G_{F/K} \subseteq U \subseteq G_{\{F,K\}/k}$  for some  $K = \overline{K}$  such that  $\text{tr.deg}(K/k) \leq \text{tr.deg}(L/k)$ , since  $G_{F/L} \subseteq U$ . The inclusion  $G_{\{F,L\}/k} \subseteq G_{\{F,K\}/k}$  takes place only if either  $K = L$ , or  $K = k$ . In the second case  $U = G$ .

If, moreover,  $G_{F/L'} \subseteq H$  for another  $L' \in A\Pi$  then either  $L \subseteq L'$ , or  $L = F$ . If, in addition,  $H \subseteq G_{\{F,L'\}/k}$  then either  $L = L'$ , or  $L = F$ , or  $L' = F$ . This shows that  $\alpha$  is well-defined.

Let us check that  $\alpha$  is a morphism. If  $G_{F/\overline{K}} \subseteq U \subseteq G_{\{F,\overline{K}\}/k}$  and  $G_{F/\overline{L}} \subseteq V \subseteq G_{\{F,\overline{L}\}/k}$  then  $G_{F/\overline{K}\overline{L}} \subseteq U \cap V \subseteq G_{\{F,\overline{K},\overline{L}\}/k}$ . As  $G_{F/\overline{K}\overline{L}} \supseteq G_{F/\overline{K}\overline{L}}$  and  $G_{\{F,\overline{K},\overline{L}\}/k} \subseteq G_{\{F,\overline{K}\overline{L}\}/k}$ , it remains to show that  $\alpha(U \cap V) = F$  in the case  $\overline{K}\overline{L} = F$ . If  $\alpha(U) = F$  then evidently  $\alpha(U \cap V) = F$ . If  $\overline{K}$  and  $\overline{L}$  are distinct from  $F$  then  $\alpha(G_{\{F,\overline{K},\overline{L}\}/k}) = \overline{K}\overline{L}$ , i.e. again  $\alpha(U \cap V) = F$ .  $\square$

*Remark.* If  $H \subset G$  is contained in a group of type  $G_{\{F,L\}/k}$  for neither  $L \in A\Pi \setminus \{k, F\}$  then, for any  $x \in F - k$ ,  $F$  is algebraic over the subfield generated by the  $H$ -orbit of  $x$ .

**Corollary 2.7.**  *$G$  is not the union of its proper open subgroups if  $n = \infty$ . In particular, there exist  $\sigma \in G$  such that  $W^{(\sigma)} = W^G$  for any smooth (i.e. with open stabilizers) representation  $W$  of  $G$ .*

*Proof.* For instance, if  $\{x_i \mid i \in \mathbb{Z}\}$  is a transcendence basis of  $F$  over  $k$  and  $\sigma x_i = x_{i+1}$  for any  $i \in \mathbb{Z}$  then  $G$  is the only open subgroup containing  $\sigma$ .  $\square$

*Questions.* 1. The preimage of any subgroup of a prime index in  $\mathbb{Q}_+^\times$  under the modulus character gives, if  $n < \infty$ , an example of a maximal open proper subgroup, not encountered by Proposition 2.6. Are there any other?

2. Do there exist closed subgroups not contained in maximal proper ones?

### 3. VALUATION SUBGROUPS

Let  $\mathcal{O}_v$  be a valuation ring in  $F$ ,  $\mathfrak{m}_v = \mathcal{O}_v - \mathcal{O}_v^\times$  be the maximal ideal, and  $\kappa(v)$  be the residue field. If  $k \subseteq \mathcal{O}_v$ , fix a subfield  $k \subseteq F' \subseteq \mathcal{O}_v$  identified with  $\kappa(v)$  by the reduction modulo  $\mathfrak{m}_v$ . In this case  $\kappa(v)$  is of characteristic zero (and algebraically closed).

Set  $G_v := \{\sigma \in G \mid \sigma(\mathcal{O}_v) = \mathcal{O}_v\}$ . This is a closed subgroup in  $G$ .

The group  $\Gamma := F^\times / \mathcal{O}_v^\times \cong \mathbb{Q}$  is totally ordered:  $v(x) \geq v(y)$  if and only if  $xy^{-1} \in \mathcal{O}_v$ , where  $v : F^\times \rightarrow \Gamma$  is the natural projection. The rank of  $v$  is  $r = \dim_{\mathbb{Q}} \Gamma$ .

Let us call the transcendence degree of  $F$  over  $F'$  the codimension of  $v$ .

The basic example of  $\mathcal{O}_v$  is, after a choice of  $x_1, \dots, x_n$  algebraically independent over  $k$  and of an embedding  $F_j \hookrightarrow \lim_{N \rightarrow \infty} F_{j-1}((x_j^{1/N}))$  over  $F_{j-1}(x_j)$ , where  $F_j = \overline{F_{j-1}(x_j)}$ , preimage in  $F$  of the ring  $\widehat{\mathcal{O}}_n = k \oplus \widehat{\mathfrak{p}}_1 = \lim_{N \rightarrow \infty} k[[x_1^{1/N}]] \oplus \widehat{\mathfrak{p}}_2 = \widehat{\mathcal{O}}_{j-1} \oplus \widehat{\mathfrak{p}}_j$ , where  $\widehat{\mathfrak{p}}_{n+1} = 0$  and  $\widehat{\mathfrak{p}}_j = \lim_{N \rightarrow \infty} x_j^{1/N} k((x_1^{1/N})) \dots ((x_{j-1}^{1/N}))[[x_j^{1/N}]] \oplus \widehat{\mathfrak{p}}_{j+1}$  are prime ideals for all  $1 \leq j \leq n+1$ . In this case  $v(x_1^{m_1}) < \dots < v(x_n^{m_n})$  for all  $m_1, \dots, m_n > 0$ .

If  $r < \infty$  and  $\sigma(\mathcal{O}_v) \subseteq \mathcal{O}_v$  for some  $\sigma \in G$  then  $\sigma \in G_v$ , since  $\sigma$  induces surjective endomorphism  $\Gamma$ , i.e. an automorphism.

It is well-known, Exercise 32, Chapter 5, [AM], that  $v(\mathcal{O}_p - \wp)$  is the set of all non-negative elements in some isolated subgroup, and

$$\mathbf{Spec} \mathcal{O}_p \xrightarrow{\sim} \{\text{isolated subgroups in } L^\times / \mathcal{O}_p^\times\},$$

$\wp \mapsto \langle v(\mathcal{O}_p - \wp) \rangle$ , so there are exactly  $r + 1$  prime ideals in  $\mathcal{O}_v$ .

REMARKS. 1. If  $\wp \neq 0$  is a non-maximal prime ideal of finite codimension in  $\mathcal{O}_v$  and  $\mathcal{O}_{v'} := (\mathcal{O}_v)_{\wp}$  then  $G_v \subseteq G_{v'}$  (since any element  $\sigma \in G_v$  preserves  $\wp$ , thus also  $\mathcal{O}_v - \wp$ , i.e. induces an automorphism  $\mathcal{O}_{v'}$ ).

2. There are proper inclusions  $G_{\{F, \mathcal{O}_v[x_1^{-1}]\}/\overline{k(x_1)}} \subset G_v \subset G_{\{F, \mathcal{O}_v[x_1^{-1}]\}/k}$  (since  $x_j x_1^{\mathbb{Z}} \subset \mathcal{O}_v$  for any  $j \geq 2$ , and ...) for  $r > 1$ , i.e.  $G_v$  is not maximal.

Let  $\mathcal{P}_L^r$  is the set of valuation rings or rank and codimension  $r$  in  $L$ , containing  $k$ , admitting also the following description. Let  $\mathcal{C}_X^r$  be the set of chains of irreducible normal subvarieties up to codimension  $r$  on an irreducible proper normal variety  $X$  over  $k$ . Any birational morphism  $X' \xrightarrow{\pi} X$  induces an embedding  $\mathcal{C}_X^r \hookrightarrow \mathcal{C}_{X'}^r$ ,  $(Z^1 \supset \dots \supset Z^r) \mapsto (W^1 \supset \dots \supset W^r)$ , where  $W^0 := X'$  and  $W^j := (\pi|_{W^{j-1}})_{\text{prop}}^{-1}(Z^j)$  for  $1 \leq j \leq r$  (and  $\pi|_{W_1} : W_1 \xrightarrow{\sim} Z_1$ ). If  $L$  is of finite type over  $k$  then  $\mathcal{P}_L^r \cong \lim_{X \rightarrow k} \mathcal{C}_X^r$ , where  $X$  runs over the models of  $L/k$ , and  $\mathcal{P}_F^r = \lim_{\leftarrow L} \mathcal{P}_L^r$ . In particular,  $\mathcal{P}_L^1 = C(k)$ , if  $n = 1$ , where  $C$  is a smooth proper model of the field  $L$  over  $k$ .

**Lemma 3.1.** *If  $0 \leq r < n + 1 \leq \infty$  then the  $G$ -action on  $\mathcal{P}_F^r$  is transitive.*

*Proof.* Let  $v : F^\times \twoheadrightarrow \Gamma \cong \mathbb{Q}^r$  be an element of  $\mathcal{P}_F^r$ .

Let  $0 = \Gamma_0 \subset \Gamma_1 \subset \dots \subset \Gamma_{r-1} \subset \Gamma_r = \Gamma$  be the isolated subgroups in  $\Gamma$ . Choose  $x_1, x_2, \dots \in \mathcal{O}_v$  such that  $v(x_j) \in \Gamma_j - \Gamma_{j-1}$  for  $1 \leq j \leq r$  and  $x_{r+1}, x_{r+2}, \dots$  modulo  $\mathfrak{m}_v$  form a transcendence basis of  $\mathcal{O}_v/\mathfrak{m}$  over  $k$ . Clearly,  $x_1, x_2, \dots$  are algebraically independent over  $k$ . Set  $k' = \overline{k(X_{r+1}, X_{r+2}, \dots)}$  and  $\widehat{F}_r := \lim_{N \rightarrow \infty} k'((X_1^{1/N})) \dots ((X_r^{1/N}))$ .

Consider the set  $S$  of embeddings into  $\widehat{F}_r$  of subfields in  $F$  containing  $k(x_1, \dots, x_n)$ , extending  $\varphi$  and respecting the valuation. The set  $S$  is partially ordered. Clearly,  $S$  contains maximal elements. Let  $L' \xrightarrow{\xi} \widehat{F}_r$  be a maximal element of  $S$ . If  $\sum_s a_s y^s = 0$  is a minimal polynomial of  $y \in F$  over  $L'$  then there exist  $i < j$  such that  $v(y) = \frac{v(a_i/a_j)}{j-i}$ . There exists  $z \in \widehat{F}_r$  such that  $\sum_s \xi(a_s) z^s = 0$  and  $v(z) = \frac{v(\xi(a_i/a_j))}{j-i}$ . Therefore,  $\xi$  extends to  $L'(z)$ , and thus,  $L' = F$ .

This shows that the embedding  $k(x_1, x_2, \dots) \xrightarrow{\varphi} k'((X_1)) \dots ((X_r))$ ,  $x_j \mapsto X_j$ , respects the valuation, and extends to an embedding  $F \hookrightarrow \widehat{F}_r$ , respecting the valuation.

Thus, any element of  $\mathcal{P}_F^r$  is determined by a choice of  $x_1, x_2, \dots \in F$  algebraically independent over  $k$  and by an embedding of  $F$  into  $\widehat{F}_r$  over  $k(x_1, x_2, \dots)$ . Clearly, the  $G$ -action is transitive on these data.  $\square$

The  $G_v$ -action on  $\kappa(v)$  induces a homomorphism  $G_v \twoheadrightarrow G_{\kappa(v)/k}$ .

Let  $G_v^\dagger := \{\sigma \in G_v \mid \sigma x \equiv x \pmod{\mathfrak{m}_v} \text{ for any } x \in \mathcal{O}_v\}$  be its kernel.

A continuous<sup>1</sup> section of  $G_{\kappa(v)/k} \hookrightarrow G_v$  is determined by a subfield  $F' \subseteq \mathcal{O}_v$ , identified with  $\kappa(v)$  by the reduction modulo  $\mathfrak{m}_v$ , and by an embedding of  $F'$  into the field of iterated Puiseux series  $\lim_{N \rightarrow \infty} F'((t_1^{1/N})) \dots ((t_r^{1/N}))$  over  $F'$  compatible with valuations (i.e. by a choice of a section  $\Gamma \hookrightarrow F^\times$  of the valuation  $v$ ).

As the centralizer of  $G_v^\dagger$  is trivial, such sections form a  $G_v^\dagger$ -torsor. One has a decomposition  $G_v = G_v^\dagger(G_v \cap G_{\{F, F'\}/k})$ , where  $G_v^\dagger \cap G_{\{F, F'\}/k} = G_v \cap G_{F/F'}$ .

Let  $B_+$  be the group of linear transformations of  $\Gamma$  (isomorphic to the group of upper-triangular rational  $r \times r$  matrices with positive diagonal entries), respecting the order, i.e. the flag of isolated subgroups  $0 = \Gamma_0 \subset \Gamma_1 \subset \dots \subset \Gamma_r = \Gamma$  and the orientation of each  $\Gamma_j/\Gamma_{j-1} \cong \mathbb{Q}$ ,  $1 \leq j \leq r$ . Then  $\mathcal{P}_L^+$  consists of (some) valuations  $(L^\times/k^\times) \otimes \mathbb{Q} \twoheadrightarrow \mathbb{Q}$  modulo the  $B_+$ -action. The  $G_v$ -action on  $F^\times$  induces a linear action on  $\Gamma$ , preserving the order, i.e. a homomorphism  $G_v \twoheadrightarrow B_+$ , surjective and splitting, if the rank of  $v$  coincides with its codimension.

Let  $G_v^\circ := \{\sigma \in G_v \mid \sigma x/x \in \mathcal{O}_v^\times \text{ for any } x \in F^\times\}$  be its kernel.

There is a homomorphism  $G_v^\dagger \cap G_v^\circ \twoheadrightarrow \text{Hom}(\Gamma, \kappa(v)^\times)$ ,<sup>2</sup>  $\sigma \mapsto (v(x) \mapsto \sigma x/x \bmod \mathfrak{m}_v)$ , surjective and splitting, if the rank of  $v$  coincides with its codimension.

**Lemma 3.2.** *Its kernel  $G_v^1 := \{\sigma \in G_v \mid \sigma x/x \in 1 + \mathfrak{m}_v \text{ for any } x \in F^\times\}$  is a discrete subgroup if  $n < \infty$ . The functors  $H^0(G, -)$  and  $H^0(G_v^1, -)$  coincide on the category  $\text{Sm}_G$  of smooth representations of  $G$ , if  $n = \infty$  and the rank of  $v$  is finite, non-zero and coincides with its codimension.*

*Proof.* The  $G_v$ -action on  $F$  extends to a continuous  $G_v$ -action on the completion  $F_v$  of  $F$ , and the continuous  $G_v^1$ -action on  $F_v$  is determined by the action on some  $r$ -tuple of elements, representing each of isolated subgroups of  $\Gamma$  and by the action on some maximal subfield with the trivial valuation. Clearly, the latter action is determined by its restriction to any transcendence basis over  $k$ . The second assertion follows from the fact that any open subgroup containing  $G_v^1$  coincides with  $G$ , which follows from Proposition 2.6.  $\square$

**Proposition 3.3.** *The set  $\{L \xrightarrow{/k} F\}$  of embeddings into  $F$  over  $k$  of the function field  $L$  of a  $d$ -dimensional variety over  $k$  is a disjoint union of (non-empty)  $G_v$ -orbits  $\mathcal{O}_{p,v} := \{L \xrightarrow{\sigma} F \mid \sigma^{-1}(\mathcal{O}_v) = \mathcal{O}_p\}$ , where  $v \in \mathcal{P}_F^r$ ,  $p \in \mathcal{P}_L^j$  and  $m := \max(0, r + d - n) \leq j \leq M := \min(d, r)$ . In particular,  $\mathbb{Q}[\{L \xrightarrow{/k} F\}]_{G_v^\dagger} = \bigoplus_{j=m}^M \bigoplus_{p \in \mathcal{P}_L^j} \mathbb{Q}[\{\kappa(p) \xrightarrow{/k} \kappa(v)\}]$  and  $G_v \backslash \{L \xrightarrow{/k} F\} = \coprod_{j=m}^M \mathcal{P}_L^j$ . If  $d = n$  then the stabilizers are compact and isomorphic to  $\widehat{\mathbb{Z}}^r$ .*

*Proof.* Any element  $x \in \sigma(L^\times)$  is either contained in  $\mathcal{O}_v$ , or its inverse is contained in  $\mathcal{O}_v$ , i.e.,  $\mathcal{O}_p := \sigma^{-1}(\mathcal{O}_v)$  is a valuation ring.<sup>3</sup>

Clearly,  $\text{rk}(L^\times/\mathcal{O}_p^\times) \leq M$ . Let us check that  $\text{rk}(L^\times/\mathcal{O}_p^\times) \geq m$ , and in particular, the inclusion  $(L^\times/\mathcal{O}_p^\times) \otimes \mathbb{Q} \xrightarrow{\sigma} F^\times/\mathcal{O}_v^\times$  is bijective for  $d = n$ . Let  $x \in F^\times$  and  $\sum_{j=0}^m a_j x^j = 0$

<sup>1</sup>One can apply the well-known fact that the coefficients of any algebraic (over  $k(t)$ ) element of  $k((t))$  generate in  $k$  a subfield of finite type over  $\mathbb{Q}$ .

<sup>2</sup>Clearly,  $\text{Hom}(\Gamma, \kappa(v)^\times) \cong (\lim_{\leftarrow N} M_N)^r$ , where  $M_{NN'} := \kappa(v)^\times \twoheadrightarrow M_{N'} := \kappa(v)^\times$  is the raising to the  $N$ -th power.

<sup>3</sup>Note, that the henselian property does not suffice. For instance, if  $k(X) = k(x_1, \dots, x_d)$  is embedded into the field of fractions of  $\mathcal{O} := k[[T_1, \dots, T_N]]$ ,  $x_j \mapsto T^{\alpha_j}$ ,  $|\alpha_j| = 0$  then  $k(X) \cap \mathcal{O} = k$ , since it consists of homogeneous functions in  $T_1, \dots, T_N$  of degree 0.

be a minimal polynomial over  $\sigma(\mathcal{O}_p)$ . Then there exist  $0 \leq i < j \leq m$  such that  $a_i a_j \neq 0$  and  $v(a_i x^i) = v(a_j x^j)$ , so  $v(x) = \frac{v(a_i/a_j)}{j-i}$ , i.e., the element  $v(x) \in F^\times/\mathcal{O}_v^\times$  is in the image of  $(L^\times/\mathcal{O}_p^\times) \otimes \frac{1}{m!}\mathbb{Z}$ .

We may assume that  $a_j = 1$  for some  $j$ . Thus, if  $x \in \mathcal{O}_v$  then its image in  $\mathcal{O}_v/\mathfrak{m}_v$  is algebraic over  $\sigma(\mathcal{O}_p)/\sigma(\mathfrak{m}_p)$ , i.e.  $\mathcal{O}_v/\mathfrak{m}_v \cong \overline{\mathcal{O}_p/\mathfrak{m}_p}$ , and therefore,  $p \in \mathcal{P}_L^r$ .

To check that  $O_{p,v}$  is a  $G_v$ -orbit, we have to show that for any pair of embeddings  $\sigma, \tau : L \xrightarrow{/k} F$  such that  $\sigma(\mathcal{O}_p), \tau(\mathcal{O}_p) \subset \mathcal{O}_v$  there exists  $\xi \in G_v$ , extending  $\tau\sigma^{-1} : \sigma(\mathcal{O}_p) \xrightarrow{\sim} \tau(\mathcal{O}_p)$ . According to Zorn's lemma, there are maximal elements in the set

$$S := \left\{ A \xrightarrow{\varphi} \mathcal{O}_v \mid \begin{array}{l} v(\varphi(x)) \geq v(\varphi(y)), \text{ if } v(x) \geq v(y) \text{ for } x, y \in A, \\ \sigma(\mathcal{O}_p) \subseteq A \subseteq \mathcal{O}_v, \quad \varphi|_{\sigma(\mathcal{O}_p)} = \tau\sigma^{-1} \end{array} \right\} \ni (\sigma(\mathcal{O}_p) \xrightarrow{\tau\sigma^{-1}} \mathcal{O}_v),$$

for instance,  $B \xrightarrow{\psi} \mathcal{O}_v$ . Then  $B$  is integrally closed in  $\mathcal{O}_v$ , since for any  $x \in \mathcal{O}_v$  integral over  $B$  an embedding  $\psi$  extends to  $B[x] \subseteq \mathcal{O}_v$ , even respecting the order,<sup>4</sup> since  $\mathcal{O}_v$  is integrally closed in  $F$ . Thus, any element of  $\mathcal{O}_v$  can be presented as  $a/b$ , where  $a, b \in B$  and  $v(a) \geq v(b)$ . As  $\psi$  respects the order, it maps  $\mathcal{O}_v$  into  $\mathcal{O}_v$ , i.e.,  $\mathcal{O}_v = B$ .

The set  $O_{p,v}$  is non-empty, since the valuation  $p$  extends to  $\overline{L} \cong F$ , and the group  $G$  permutes the elements of  $\mathcal{P}_F^r$  (Lemma 3.1). Then  $O_{p,v} \cong G_v/St_{p,v}$ , where  $St_{p,v} = G_v \cap G_{F/\sigma(L)}$  is an open compact subgroup. The compact subgroups of  $G_v$  are contained in  $G_v^\circ$  and they project to  $\text{Hom}(\Gamma, k^\times)$  injectively (Lemma 3.2), so  $St_{p,v} \cong \widehat{\mathbb{Z}}(1)^r$ .  $\square$

**3.1. Valuations and maximal subgroups.** EXAMPLE. If  $n = 1$  then to any valuation  $v$  the decomposition  $\{k(C) \xrightarrow{/k} F\} = C(F) - C(k) = \coprod_{C(k)}(\mathfrak{m}_v - \{0\})$  is associated.

**Proposition 3.4.** *If  $n = 1$  then  $O_{p,v} \cap O_{q,v'}$  is non-empty for any pair of distinct  $v, v' \in \mathcal{P}_F^1$  and any pair of distinct points  $p, q \in C(k)$  on a smooth proper curve  $C$  over  $k$ , i.e. there is an embedding  $\sigma : k(C) \xrightarrow{/k} F$  such that  $\sigma(\mathcal{O}_p) \subset \mathcal{O}_v$  and  $\sigma(\mathcal{O}_q) \subset \mathcal{O}_{v'}$ .*

*Proof.* We consider  $v$  and  $v'$  as a compatible system of points on smooth proper curves over  $k$  with the function fields embedded into  $F$ : if  $C_\beta \rightarrow C_\alpha$  then  $v_\beta \mapsto v_\alpha$  and  $v'_\beta \mapsto v'_\alpha$ . One needs to check that there exist  $\beta$  and a map  $C_\beta \rightarrow C$  such that  $v_\beta \mapsto p$  and  $v'_\beta \mapsto q$ .

Choose a non-constant function  $x \in \mathcal{O}(C \setminus \{p\})$ , i.e. a surjective morphism  $C \rightarrow \mathbb{P}^1$  sending to  $\infty$  only  $p$ . Let  $C' \rightarrow C$  be a cover such that the composition  $C' \rightarrow C \rightarrow \mathbb{P}^1$  is a Galois cover with the group  $A$ . For some  $\alpha$  such that  $v_\alpha \neq v'_\alpha$  choose a surjection  $C_\alpha \rightarrow \mathbb{P}^1$  such that  $v_\alpha \mapsto \infty$  and  $v'_\alpha \mapsto x(q)$ . Consider the normalization  $D$  of an irreducible component of  $C' \times_{\mathbb{P}^1} C_\alpha$ . The surjection  $D \rightarrow C_\alpha$  is isomorphic to the surjection  $C_\beta \rightarrow C_\alpha$  for some  $\beta$ . Let  $\pi : C_\beta \rightarrow C'$  be the projection. As  $A$  acts transitively on the fibres of the composition  $C' \rightarrow C \rightarrow \mathbb{P}^1$ , there is an element  $\gamma \in A$  such that  $\gamma\pi(v'_\beta)$  belongs to the preimage of  $q$ . Then the composition  $C_\beta \xrightarrow{\gamma\pi} C' \rightarrow C$  maps  $v_\beta$  to  $p$ , and  $v'_\beta$  to  $q$ .  $\square$

**Proposition 3.5.** *If  $r = 1$  then the subgroup  $H$ , generated by  $G_v$  and  $G_{v'}$ , acts transitively on  $\{L \xrightarrow{/k} F\}$ , i.e.  $H$  is dense in  $G$ .*

*Proof.* Let  $L$  be an extension of  $k$  and  $v, v' : L^\times/k^\times \rightarrow \mathbb{Q}$  be a pair of discrete valuations. If  $\mathcal{O}_v^\times \subseteq \mathcal{O}_{v'}^\times$  in  $L$  then  $\mathcal{O}_{v'}/\mathcal{O}_v$  is the kernel of  $\mathbb{Q} \supseteq L^\times/\mathcal{O}_v^\times \twoheadrightarrow L^\times/\mathcal{O}_{v'}^\times \subseteq \mathbb{Q}$ , which is

<sup>4</sup>i.e., if  $\sum_{s=0}^m a_s x^s = 0$ ,  $a_s \in B$  and  $v(x) = \frac{v(a_i/a_j)}{j-i}$  for some  $i < j$  then one can choose  $y \in \mathcal{O}_v$  such that  $\sum_{s=0}^m \psi(a_s) y^s = 0$  and  $v(y) = \frac{v(\psi(a_i/a_j))}{j-i}$ .



evidently injective, so  $v = v'$ . Let  $v \neq v'$ . Then for any  $x \in \mathcal{O}_v^\times$  one has either  $x \in \mathcal{O}_{v'}$ , or  $x^{-1} \in \mathcal{O}_{v'}$ , that is there is some  $t \in \mathcal{O}_v^\times \cap \mathcal{O}_{v'}$  such that  $t \notin \mathcal{O}_{v'}^\times$ . Fix such  $t$  and  $t^{1/N}$  for all integers  $N \geq 1$ . Let  $x_1, x_2, \dots \in \mathcal{O}_v^\times$  be a lifting of a transcendence basis of  $\kappa(v)$  over  $k(t)$ . Set  $k_0 := k$  and define inductively a strictly increasing sequence of algebraically closed subfields in  $\{0\} \cup (\mathcal{O}_v^\times \cap \mathcal{O}_{v'}^\times) \subset L$  as follows. For any  $i \geq 1$  there exist  $P \in k_{i-1}[T]$ , an integer  $N \geq 1$  and  $M \in \mathbb{Q}_+^\times$  such that  $y_i := t^{-M}(x_i^{v'(t)} - t^{v'(x_i)}P(t^{1/N})) \in \mathcal{O}_{v'}^\times$  and  $y_i \notin k_{i-1} + \mathfrak{m}_{v'}$ . Then  $y_1, y_2, \dots$  is another lifting of a transcendence basis of  $\kappa(v)$  over  $k(t)$  in  $\mathcal{O}_v^\times$ . Set  $k_i := k_{i-1}(y_i)$  and  $k' = \bigcup_{i \geq 1} k_i$ . Then  $\kappa(v)$  is algebraic over the reduction of  $k'$  modulo  $\mathfrak{m}_v$  and  $\kappa(v')$  is algebraic over the reduction of  $k'$  modulo  $\mathfrak{m}_{v'}$ . This shows that we are reduced to the case of  $n = 1$ .

By Proposition 3.4, for any  $\xi : L \xrightarrow{/k} F$  the map  $G_v \times G_{v'} \rightarrow \{L \xrightarrow{/k} F\}$ , given by  $(\sigma, \tau) \mapsto \sigma\tau\xi$ , is surjective.  $\square$

If  $n = 1$  define  $\varphi : G_v^1 \rightarrow \Gamma$  by  $\sigma \mapsto v(\sigma x/x - 1)$  for any  $x \in \mathfrak{m} - \{0\}$ , or  $x \in F - \mathcal{O}_v$ . Independence of  $x$ : if  $y = \sum_{j \geq 1} a_j x^{j/N}$  and  $\sigma x/x = 1 + ax^\alpha + o(x^\alpha)$ , where  $a \in k^\times$ , then  $\sigma y - y = \sum_{j \geq 1} a_j x^{j/N} ((1 + ax^\alpha + o(x^\alpha))^{j/N} - 1)$ , i.e.

$$(1) \quad \sigma y/y - 1 = \frac{v(y)}{v(x)} ax^\alpha + o(x^\alpha).$$

Thus,  $v(\sigma y - y) = v(y) + [x^\alpha]$ , and therefore,  $v(\sigma y/y - 1) = v(\sigma x/x - 1)$ .

From the equality  $\sigma\tau x/x - 1 = (\sigma(\tau x)/\tau x - 1)\tau x/x + \tau x/x - 1$  we get that  $\varphi(\sigma\tau) \geq \min(\varphi(\sigma), \varphi(\tau))$ , and  $\varphi(\sigma) = \varphi(\sigma^{-1})$ :  $v(\sigma^{-1}x/x - 1) = v(x/\sigma x - 1) = \varphi(\sigma)$ .

Let  $G_v^1(\beta) := \{\sigma \in G_v^1 \mid \varphi(\sigma) \geq \beta\}$ , where  $\beta \in \Gamma \otimes \mathbb{R}$ . This is a normal subgroup in  $G_v^\circ$ . Then  $G_v^1 = G_v^1(0) = G_v^1(0)^+$ , where  $G_v^1(\beta)^+ := \bigcup_{\gamma > \beta} G_v^1(\gamma) = \{\sigma \in G_v^1 \mid \varphi(\sigma) > \beta\}$ . Clearly,  $G_v^1(\beta) \neq G_v^1(\gamma)$ , if  $\beta \neq \gamma$ .

**Lemma 3.6.** *There is a canonical isomorphism  $G_v^1(\beta)/G_v^1(\beta)^+ \xrightarrow{\sim} \text{Hom}(\Gamma, \mathfrak{m}^{[\beta]})$ , where*

$$\mathfrak{m}^{[\beta]} = \{x \in \mathfrak{m} \mid v(x) \geq \beta\} / \{x \in \mathfrak{m} \mid v(x) > \beta\} \cong \begin{cases} k, & \text{if } \beta \in \Gamma \text{ and } \beta > 0 \\ 0, & \text{otherwise} \end{cases}$$

*Proof.* It is clear from the formula (1) that any element  $\sigma \in G_v^1(\beta)$  induces a homomorphism  $\Gamma \rightarrow \mathfrak{m}^{[\beta]}$ ,  $[y] \mapsto \sigma y/y - 1$ . This gives a surjective homomorphism  $G_v^1(\beta)/G_v^1(\beta)^+ \rightarrow \text{Hom}(\Gamma, \mathfrak{m}^{[\beta]})$ :  $\sigma\xi \mapsto ([x] \mapsto \sigma\xi x/x - 1 = \sigma(\xi x/x - 1)\sigma x/x + (\sigma x/x - 1) \equiv (\xi x/x - 1) + (\sigma x/x - 1))$ .

Let us check its injectivity:  $\sigma x = x(1 + ax^\beta + x^\beta h)$ ,  $h \in \mathfrak{m}$ . Let  $\tau[x(1 + ax^\beta + x^\beta h)] := x(1 + ax^\beta)$ . Then  $\tau\sigma x/x = 1 + ax^\beta$  and, if we set  $y := x(1 + ax^\beta)$  then  $x(1 + ax^\beta + x^\beta h) = y + o(y^{\beta+1})$ , and thus,  $\tau \in G_v^1(\beta)^+$ . Finally,  $G_v^1(\beta)/G_v^1(\beta)^+ = \text{Hom}(\Gamma, \mathfrak{m}^{[\beta]})$ .  $\square$

**Lemma 3.7.**  *$G_v^1(\beta)$  is surjective over  $G/G^\circ$  for any  $\beta \in \Gamma \otimes \mathbb{R}$ .*

*Proof.* As  $G_v$  is maximal and does not contain  $G^\circ$ , it suffices to show that the subgroup generated by  $G_v^1(\beta)$  and  $G^\circ$  contains  $G_v$ . A choice of a section  $s : \Gamma \rightarrow F^\times$  of the projection  $v : F^\times \rightarrow \Gamma$  determines an additive section  $\text{Hom}(\Gamma, k^\times) \rightarrow G_v^\circ \cap G^\circ$  of the projection  $G_v^\circ \rightarrow \text{Hom}(\Gamma, k^\times)$ . A choice of  $x \in \mathfrak{m} \cap s(\Gamma)$  gives a bijection of sets  $G_v^1$  and  $\mathfrak{m}$ :  $\mathfrak{m} \ni m : x \mapsto x(1 + m)$ . The elements  $(x \mapsto (1 + x^\alpha)^{1/\alpha} - 1) \in G_v \cap G^\circ$ ,  $\alpha \in \mathbb{Q}_+^\times$ , determine a set-theoretic section of the projection  $G_v \rightarrow \mathbb{Q}_+^\times$ . It remains to check that the subgroup generated by  $G_v^1(\beta)$  and  $G_v^1 \cap G^\circ$  contains  $G_v^1$ . Clearly,  $x(1 + ax^\alpha)^{-1/\alpha} \in G_v^1 \cap G^\circ$ . If an element of  $G_v^1$  is presented by  $h = x(1 + ax^\alpha + o(x^\alpha)) \in x(1 + \mathfrak{m}) \cap k[[x^{1/N}]]$  then

$h(1 + a\alpha h^\alpha)^{-1/\alpha} = x(1 + o(x^\alpha)) \in x(1 + \mathfrak{m}) \cap k[[x^{1/N}]]$ . So the composition of  $h$  with an appropriate element of  $G_v^1 \cap G^\circ$  will be in  $G_v^1(\beta)$ .  $\square$

#### 4. VALUATIONS AND ASSOCIATED FUNCTORS

**4.1. The “globalization” functor.** For a discrete valuation  $v \in \mathcal{P}_F^r$  and for any  $W \in \mathcal{S}m_G$  set  $W_v := \sum_{\sigma \in G_v} W^{G_{F/\sigma(F')}} = \sum_{\sigma \in G_v^\dagger} W^{G_{F/\sigma(F')}} \subseteq W$ . Clearly, the additive functor  $\mathcal{S}m_G \rightarrow \mathcal{S}m_{G_v}$ ,  $W \mapsto W_v$ , is faithful and preserves surjections if  $n = \infty$  (and injections in general). Set  $\Gamma_r(W) := \bigcap_{v \in \mathcal{P}_F^r} W_v$  and  $\Gamma := \Gamma_1$ , so  $\Gamma_r : \mathcal{S}m_G \rightarrow \mathcal{S}m_G$  are additive functors.

Denote by  $\mathcal{I}_G$  is the full subcategory in  $\mathcal{S}m_G$  consisting of representations  $W$  such that  $W^{G_{F/M}} = W^{G_{F/M'}}$  for any extension  $M$  of  $k$  in  $F$  and any purely transcendental extension  $M'$  of  $M$  in  $F$ , Denote by  $\mathcal{I} = \mathcal{I}_{/k} = \mathcal{I}_{F/k} : \mathcal{S}m_G \rightarrow \mathcal{I}_G$  the left adjoint to the inclusion functor  $\mathcal{I}_G \hookrightarrow \mathcal{S}m_G$ , and set  $C_L := \mathcal{I}_{F/k} \mathbb{Q}[\{L \xrightarrow{/k} F\}]$  for any extension  $L$  of  $k$  of finite type, cf. [R1], §6.

**Lemma 4.1.** *If  $n = \infty$  and  $r = 1$  then the projection  $\mathbb{Q}[\{k(X) \xrightarrow{/k} \mathcal{O}_v\}] \rightarrow C_{k(X)}$  is surjective for any irreducible variety  $X$  over  $k$ .*

*Proof.* Let  $P : k(X) \xrightarrow{/k} F$  be a generic point. Choose a subfield  $k' \subset \mathcal{O}_v \cap \overline{P(k(X))}$  over  $k$  projecting isomorphically onto  $(\mathcal{O}_v \cap \overline{P(k(X))}) / (\mathfrak{m}_v \cap \overline{P(k(X))}) \subset \kappa(v)$ .

Let  $k'(C) := k'P(k(X)) \subset F$ . If  $P$  does not factor through  $\mathcal{O}_v$  then  $k'(C)$  is the function field of a smooth proper curve over  $k'$ . As the class of  $P$  in  $C_{k(X)}$  belongs to the image of  $\mathbb{Q}[\{k'(C) \xrightarrow{/k'} F\}] \rightarrow \mathcal{I}_{/k'} \mathbb{Q}[\{k'(C) \xrightarrow{/k'} F\}] = \text{Pic}(C_F)_{\mathbb{Q}} \rightarrow C_{k(X)}$ , it remains only to check the surjectivity of  $\mathbb{Q}[\{k'(C) \xrightarrow{/k'} \mathcal{O}_v\}] \rightarrow \text{Pic}(C_F)_{\mathbb{Q}}$ .

By Corollary 3.5 from [R1], the generic points  $k'(C) \xrightarrow{/k'} \kappa(v)$  generate  $\text{Pic}(C_{\kappa(v)})$ .

Let us check the transitivity of the  $(G_v^\dagger \cap G_{F/k'})$ -action on the generic fibres of the specialization  $\text{Pic}(C_F) \xrightarrow{s} \text{Pic}(C_{\kappa(v)})$  (i.e. over all  $k'(\text{Pic}^j C) \xrightarrow{\sigma} \kappa(v)$ ). Let  $x_1, \dots, x_g$  be a transcendence basis of  $k'(\text{Pic}^j C)$  over  $k'$ , and  $\xi_1, \xi_2 : k'(\text{Pic}^j C) \xrightarrow{/k} \mathcal{O}_v$  be a pair of liftings of  $\sigma$ . Then there exists an element  $\tau \in G_v^\dagger \cap G_{F/k'}$  such that  $\tau \xi_1 x_j = \xi_2 x_j$  for all  $1 \leq j \leq g$ . The field  $k'(\text{Pic}^j C)$  is generated over  $k'(x_1, \dots, x_g)$  by an algebraic element  $f$ . According to Hensel’s lemma,  $\tau \xi_1 f = \xi_2 f$ . (Note, that one can replace  $\text{Pic} C$  by an arbitrary smooth variety over  $k'$ .)

Thus, the kernel of  $s$  coincides with  $\{\tau \tilde{\sigma} - \tilde{\sigma} \mid \tau \in G_v^\dagger \cap G_{F/k'}\}$ , and therefore, the generic points  $k'(C) \xrightarrow{/k'} \mathcal{O}_v$  (i.e. whose specializations are also generic) generate  $\text{Pic}(C_F)$ .  $\square$

**Corollary 4.2.**  $\Gamma(W) = W_v = W$  for any  $W \in \mathcal{I}_G$ .

*Proof.* This follows from Lemma 4.1 in the case of  $W = C_{k(X)}$ . As  $C_{k(X)}$  for all irreducible varieties  $X$  over  $k$  form a system of generators of  $\mathcal{I}_G$ , and the functor  $W \mapsto W_v$  preserves the surjections, we get that  $W_v = W$  for arbitrary  $W \in \mathcal{I}_G$ .  $\square$

**Lemma 4.3.** *Suppose that  $n = \infty$ . Then  $(W_1 \otimes W_2)_v \subseteq (W_1)_v \otimes (W_2)_v$  and  $\Gamma(W_1 \otimes W_2) \subseteq \Gamma(W_1) \otimes \Gamma(W_2)$  for any  $W_1, W_2 \in \mathcal{S}m_G$ . However,  $(W \otimes W)_v \neq W_v \otimes W_v$  if  $W = \mathbb{Q}[F - k]$ .*

If either  $W_1$  is a quotient of  $A(F)$  for a commutative algebraic  $k$ -group  $A$ , or  $W_1 \in \mathcal{I}_G$  then  $(W_1 \otimes W_2)_v = (W_1)_v \otimes (W_2)_v$  for any  $W_2 \in \mathcal{S}m_G$ .

*Proof.* The first follows from  $(W_1 \otimes W_2)^{G_{F/F'}} = W_1^{G_{F/F'}} \otimes W_2^{G_{F/F'}}$ , the second follows from the first. One has  $(W \otimes W)_v \not\supseteq [x] \otimes [x'] \in W_v \otimes W_v$  for any pair of distinct  $x, x' \in \mathcal{O}_v^\times$  such that  $x \equiv x' \pmod{\mathfrak{m}_v}$ .

Let  $w \in W_2^{G_{F/F'}}$ . Then  $G_{F/F'} \subset G_{F/L} \subseteq \text{Stab}_w$ , where  $L \subset F'$  is of finite type over  $k$ .

If  $F''$  is an algebraically closed subfield in  $\mathcal{O}_v$  and either  $F''$  and  $L$  are algebraically independent over  $k$  in  $\kappa(v)$ , or  $L \subseteq F''$  then  $\overline{LF''} \subset \mathcal{O}_v$ , so  $W_1^{G_{F/F''}} \otimes w \subseteq (W_1 \otimes W_2)^{G_{F/\overline{LF''}}} \subseteq (W_1 \otimes W_2)_v$ . If  $W_1$  is of type as above then  $W_1^{G_{F/F''}}$ , with  $F''$  and  $L$  algebraically independent over  $k$  in  $\kappa(v)$ , generate  $(W_1)_v$ , i.e.,  $(W_1)_v \otimes w \subseteq (W_1 \otimes W_2)_v$ , thus finally,  $(W_1)_v \otimes (W_2)_v \subseteq (W_1 \otimes W_2)_v$ .  $\square$

REMARKS. 1. By Corollary 4.2 and Lemma 4.3,  $\Gamma(W \otimes F) = W \otimes k$  for any  $W \in \mathcal{I}_G$ , so  $\Gamma(V) \otimes F \twoheadrightarrow V$  for any semi-linear quotient  $V$  of  $W \otimes F$ .

2. If  $W$  is an  $F$ -vector space then the  $F$ -vector space structure  $F \otimes W \rightarrow W$  on  $W$  induces an  $\mathcal{O}_v$ -module structure  $(F \otimes W)_v = \mathcal{O}_v \otimes W_v \rightarrow W_v$  on  $W_v$ . Clearly,  $F \otimes_{\mathcal{O}_v} W_v \rightarrow W$  is injective, but not surjective, as shows the example of  $W = F[\{L \xrightarrow{k} F\}]$ .

3. Clearly,  $\Gamma_r$  preserves the injections, but not the surjections. Namely, let  $W := \bigotimes_k^N F \rightarrow \Omega_{F/k}^{N-1}$  be given by  $a_1 \otimes \cdots \otimes a_N \mapsto a_1 da_2 \wedge \cdots \wedge da_N$ . Then  $W_v = \bigotimes_k^N \mathcal{O}_v$  if  $n \geq 2N$ , so  $(\bigotimes_F^{N-1} \Omega_{F/k_0}^1)_v = \bigotimes_F^{N-1} \Omega_{\mathcal{O}_v/k_0}^1$  for any  $k_0 \subseteq k$ ; and  $\Gamma(\bigotimes_k^N F) = k$ , but  $\Gamma_r(\Omega_{F/k}^\bullet) = \Omega_{F/k, \text{reg}}^\bullet$  for any  $r \geq 1$ .

*Proof.* Clearly,  $W^{G_{F/F'}} = \bigotimes_k^N F'$ , so  $W_v \subseteq \bigotimes_k^N \mathcal{O}_v$ . Let  $\omega = x_1 \otimes \cdots \otimes x_N$  for some  $x_1, \dots, x_N \in F'$  whose images in  $\kappa(v)$  algebraically independent over  $k(\overline{y_1}, \dots, \overline{y_N})$  for some  $y_1, \dots, y_N \in \mathcal{O}_v$ . Then for each  $1 \leq i \leq N$  there exists an element  $\sigma_i \in G_v \cap G_{F/k(x_1, \dots, \hat{x}_i, \dots, x_N, y_1, \dots, y_N)}$  such that  $\sigma_i x_i = x_i + y_i$ . Then  $\prod_{i=1}^N (\sigma_i - 1)\omega = y_1 \otimes \cdots \otimes y_N$ .  $\square$

In the case  $n = \infty$  one can also apply Lemma 4.3.

$$4. \mathbb{Q}[\{L \xrightarrow{k} F\}]_v = \mathbb{Q}[\{L \xrightarrow{k} \mathcal{O}_v\}].$$

For an integral normal  $k$ -variety  $X$  with  $k(X) \subset F$  let  $\mathfrak{B}(X)$  be the set of all discrete valuations of  $F$  of rank one trivial on  $k$  such that their restrictions to  $k(X)$  are either trivial, or correspond to divisors on  $X$ . Set  $\mathcal{W}(X) := W^{G_{F/k(X)}} \cap \bigcap_{v \in \mathfrak{B}(X)} W_v \subseteq W$ .

REMARK.  $W^{G_{F/k(X)}} \cap W_v$  depends only on the restriction of  $v$  to  $k(X)$ , since the set of  $G_{F/k(X)}$ -orbits  $G_{F/k(X)} \backslash G/G_v$  of the valuations of  $F$  coincides, by Proposition 3.3, with the set of valuations of  $k(X)$  of rank  $\leq r$ . E.g., if the restriction of  $v$  to  $k(X)$  is trivial then  $W^{G_{F/k(X)}} \subseteq W_v$ .

Consider the following site  $\mathfrak{H}$ . Objects of  $\mathfrak{H}$  are the smooth varieties over  $k$ . Morphisms in  $\mathfrak{H}$  are the locally dominant morphisms, transforming non-dominant divisors to divisors. Coverings are smooth morphisms surjective over the generic point of any divisor on the target.

**Lemma 4.4.** *A choice of  $k$ -embeddings into  $F$  of all generic points of all smooth  $k$ -varieties defines a sheaf  $\mathcal{W}$  on  $\mathfrak{H}$  for any  $W \in \mathcal{S}m_G$ .*

*Proof.* Clearly, if a dominant morphism  $f : U \rightarrow X$  transforms divisors on  $U$ , non-dominant over  $X$ , to divisors on  $X$  then  $\mathfrak{B}(U) \subseteq \mathfrak{B}(X)$ , so  $\mathcal{W}(X) \subseteq \mathcal{W}(U)$ .

If, moreover, the pull-back of any divisor on  $X$  is a divisor on  $U$  then  $\mathfrak{B}(X) = \mathfrak{B}(U)$ .

By Lemma 1.1 of [JR], the sequence  $0 \rightarrow \prod_{x \in X^0} W^{G_{F/k(x)}} \rightarrow \prod_{x \in U^0} W^{G_{F/k(x)}} \rightarrow \prod_{x \in (U \times_X U)^0} W^{G_{F/k(x)}}$  is exact (in fact,  $X \mapsto \prod_{x \in X^0} W^{G_{F/k(x)}}$  is a sheaf on a topology  $\mathfrak{D}m_k$ ). As  $\mathfrak{B}(X) = \mathfrak{B}(U) = \mathfrak{B}(U \times_X U)$ , the sheaf property for the covering  $f$  amounts to the exactness of the above sequence restricted to  $\prod_{x \in U^0} \bigcap_{v \in \mathfrak{B}(X)} W_v$ .  $\square$

#### 4.2. The “specialization” functor.

**Lemma 4.5.** *If  $r = 1$  and  $n = \infty$  then  $H_0(G_v^\dagger, -) = -_{G_v^\dagger}$  gives functors  $Sm_G \rightarrow Sm_{G_{\kappa(v)/k}}$  and  $\mathcal{I}_G \rightarrow \mathcal{I}_{G_{\kappa(v)/k}}$ . More precisely, there are natural surjections  $W^{G_{F/F'}} \twoheadrightarrow H_0(G_v^\dagger, W_v)$  and  $\mathcal{I}_{\kappa(v)/k} H_0(G_v^\dagger, W) \twoheadrightarrow H_0(G_v^\dagger, \mathcal{I}W)$  for any  $W \in Sm_G$ .*

*Proof.* For any smooth representation  $W$  of  $G$  the stabilizer of any vector  $\bar{w} \in H_0(G_v^\dagger, W)$  contains the stabilizer in  $G_v$  of its preimage  $w \in W$ , which implies the smoothness of  $H_0(G_v^\dagger, W)$ , since the projection  $G_v \twoheadrightarrow G_{\kappa(v)/k}$  is open.

The first surjection is evident. Clearly, it is  $G_{F'/k}$ -equivariant.

By Lemma 6.7 of [R1], the functor  $H^0(G_{F/F'}, -)$  induces equivalences of categories  $Sm_G \xrightarrow{\sim} Sm_{G_{F'/k}}$  and  $\mathcal{I}_G \xrightarrow{\sim} \mathcal{I}_{G_{F'/k}}$ , so  $H_0(G_v^\dagger, W_v) \in Sm_{G_{\kappa(v)/k}}$  and, by Corollary 4.2,  $H_0(G_v^\dagger, \mathcal{I}W) = H_0(G_v^\dagger, (\mathcal{I}W)_v) \in \mathcal{I}_{G_{\kappa(v)/k}}$ .  $\square$

**Lemma 4.6.** *Let  $X$  be a smooth proper variety over  $k$  and  $q \geq 0$ .*

*Then  $H_0(G_v^\dagger, CH^q(X_F)) = CH^q(X_{\kappa(v)})$  and  $H_0(G_v^\dagger, \Omega_{F/k, \text{reg}}^\bullet) = \Omega_{\kappa(v)/k, \text{reg}}^\bullet$ .*

*Proof.* The specialization homomorphism  $CH^q(X_F) \twoheadrightarrow CH^q(X_{\kappa(v)})$  (cf. [S]) is  $G_v^\dagger$ -invariant, so it factors through the coinvariants  $H_0(G_v^\dagger, CH^q(X_F))$ . Clearly, the composition of the surjection  $CH^q(X_{F'}) = CH^q(X_F)^{G_{F'/k}} \xrightarrow{\xi} H_0(G_v^\dagger, CH^q(X_F))$  from Lemma 4.5 with the specialization coincides with the natural isomorphism  $CH^q(X_{F'}) \xrightarrow{\sim} CH^q(X_{\kappa(v)})$ . The case of  $\Omega_{F/k, \text{reg}}^\bullet$  is similar.  $\square$

REMARK. Let us show that  $CH^q(X \times_k \mathcal{O}_v) = CH^q(X_F)$ . One has a short exact sequence of Gersten complexes of flasque sheaves on  $X \times_k \mathcal{O}_v$ :

$$0 \rightarrow \prod_{x \in X^{\bullet-1}} K_{q-\bullet}(\kappa(x)) \rightarrow \prod_{x \in (X \times_k \mathcal{O}_v)^\bullet} K_{q-\bullet}(\kappa(x)) \rightarrow \prod_{x \in X_F^\bullet} K_{q-\bullet}(\kappa(x)) \rightarrow 0,$$

the end of whose long exact cohomological sequence looks as

$$H^{q-1}(X_F, \mathcal{K}_q) \xrightarrow{\partial} CH^{q-1}(X) \rightarrow CH^q(X \times_k \mathcal{O}_v) \rightarrow CH^q(X_F) \rightarrow 0.$$

The composition of the surjections  $CH^{q-1}(X_F) \otimes F^\times \xrightarrow{id \cdot v} CH^{q-1}(X_F) \xrightarrow{sp} CH^{q-1}(X)$  factors through  $CH^{q-1}(X_F) \otimes F^\times \rightarrow H^{q-1}(X_F, \mathcal{K}_q)$ , which implies the surjectivity of  $\partial$ , and therefore, that  $CH^q(X \times_k \mathcal{O}_v) \rightarrow CH^q(X_F)$  is an isomorphism.  $\square$

Let  $\mathcal{I}_G^r$  be the maximal full subcategory of  $\mathcal{I}_G$ , for whose objects  $W$  the natural map  $W^{G_{F/F'}} \rightarrow H_0(G_v^\dagger, W)$  is an isomorphism.

**Corollary 4.7.** *If  $n = \infty$  then  $\mathcal{I}_G^1$  is an abelian category closed under taking subquotients in  $\mathcal{I}_G$ .*

*Proof.* For any  $W \in \mathcal{I}_G^1$  and any short exact sequence  $0 \rightarrow W_1 \rightarrow W \rightarrow W_2 \rightarrow 0$  the rows in the commutative diagram

$$\begin{array}{ccccccc} 0 & \longrightarrow & W_1^{G_{F/F'}} & \longrightarrow & W^{G_{F/F'}} & \longrightarrow & W_2^{G_{F/F'}} \longrightarrow 0 \\ & & \alpha_1 \downarrow & & \downarrow \cong & & \downarrow \alpha_2 \\ & & H_0(G_v^\dagger, W_1) & \longrightarrow & H_0(G_v^\dagger, W) & \longrightarrow & H_0(G_v^\dagger, W_2) \longrightarrow 0 \end{array}$$

are exact (the upper – since,  $H^0(G_{F/F'}, -) : \mathcal{S}m_G \rightarrow \mathcal{S}m_{G_{F'/k}}$  is an equivalence of categories, cf. [R1], Lemma 6.7). By Corollary 4.2 and Lemma 4.5,  $\alpha_1$  and  $\alpha_2$  are surjective, so they are isomorphisms.  $\square$

REMARKS. 1. So far I do not know any smooth representation  $W$  with non-injective  $W^{G_{F/F'}} \rightarrow H_0(G_v^\dagger, W_v)$ . But first, I do not know, whether  $W \mapsto W_v$  is exact.

2. The functor  $H_0(G_v^\dagger, -) : \mathcal{S}m_G \rightarrow \mathcal{S}m_{G_{\kappa(v)/k}}$  is neither full, since  $\mathbb{Q}[\{k(X) \xrightarrow{/k} F\}]^G = 0$  for  $r \geq d > 0$ , but  $H_0(G_v^\dagger, \mathbb{Q}[\{k(X) \xrightarrow{/k} F\}])^{G_{\kappa(v)/k}} = \mathbb{Q}[\mathcal{P}_{k(X)}^d]$ , nor faithful, since  $(F^\times)^G = k^\times$  and  $F^G = k$ , but  $H_0(G_v^\dagger \cap G_{F/F'}, F^\times) = H_0(G_v^\dagger \cap G_{F/F'}, F) = 0$ .<sup>5</sup> Also one has  $H_0(G_v^\dagger, V) = 0$  for any semi-linear representation  $V$  of  $G$  over  $F$ , if  $\text{tr.deg}(\kappa(v)/k) = \infty$ .

*Proof.* Let  $w \in V$  and  $G_{F/L} \subseteq \text{Stab}_w$  for some  $L$  of finite type over  $k$ . Choose  $t \in \mathfrak{m}_v \setminus \{0\}$  and  $f \in F'$  transcendental over  $L(t)$ . Then there is  $\sigma \in G_{F/L(t)} \cap G_v^\dagger$  such that  $\sigma f - f = t$ , i.e.  $w = \sigma(t^{-1}fw) - t^{-1}fw$ .  $\square$

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<sup>5</sup>since for any  $x \in F \setminus \mathcal{O}_v$  there are  $\sigma, \tau \in G_v^\dagger \cap G_{F/F'}$  such that  $\sigma t = tx^{-1}$  and  $\tau x = 2x$ , and  $F \setminus \mathcal{O}_v$  generates both  $F^\times$  and  $F$ .

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